

University of Alabama at Birmingham UAB Digital Commons

#### All ETDs from UAB

**UAB Theses & Dissertations** 

2018

## Hiv Disclosure, Retention In Hiv Care, And Viral Load Suppression : A Study Among New To Hiv Care Patients

Riddhi Modi University of Alabama at Birmingham

Follow this and additional works at: https://digitalcommons.library.uab.edu/etd-collection

Part of the Public Health Commons

#### **Recommended Citation**

Modi, Riddhi, "Hiv Disclosure, Retention In Hiv Care, And Viral Load Suppression : A Study Among New To Hiv Care Patients" (2018). *All ETDs from UAB*. 2485. https://digitalcommons.library.uab.edu/etd-collection/2485

This content has been accepted for inclusion by an authorized administrator of the UAB Digital Commons, and is provided as a free open access item. All inquiries regarding this item or the UAB Digital Commons should be directed to the UAB Libraries Office of Scholarly Communication.

## HIV DISCLOSURE, RETENTION IN HIV CARE, AND VIRAL LOAD SUPPRESSION: A STUDY AMONG NEW TO HIV CARE PATIENTS

by

## RIDDHI ARVIND MODI

## GERALD MCGWIN JR, COMMITTEE CHAIR RUSSELL L. GRIFFIN KIMBERLY D. MARTIN MICHAEL J. MUGAVERO JAMES H. WILLIG

#### A DISSERTATION

Submitted to the graduate faculty of the University of Alabama at Birmingham, in partial fulfillment of the requirements for the degree of Doctor of Philosophy

BIRMINGHAM, ALABAMA

Copyright by Riddhi Arvind Modi 2018

#### HIV DISCLOSURE, RETENTION IN HIV CARE, VL SUPPRESSION: A STUDY AMONG NEW TO HIV CARE PATIENTS

#### **RIDDHI ARVIND MODI**

#### EPIDEMIOLOGY

#### ABSTRACT

HIV remains a major ongoing public health problem globally and in the United States (US). Despite the advances in the antiretroviral therapy (ART), new HIV cases continue to occur. Hence, understanding the factors that influence patient's decision to HIV treatment and prevention is of paramount. HIV disclosure, an important modifiable behavior, is a barrier to linkage and engagement in HIV care. Thus far, studies examining HIV disclosure and its impact on HIV related outcomes, retention in care (RIC) and viral load (VL) suppression among HIV patients newly initiating care are scant. While these studies provide insights on risk factors of HIV disclosure and its impact of HIV related outcomes to some extent, they are limited to examining few risk factors of HIV disclosure and different ascertainment of HIV disclosure generating inconsistent results. Additionally, the majority of the studies conducted have HIV cohorts with enrollment not restricted to couple of weeks from initiating care. The aim of this dissertation was to assess factors associated with HIV disclosure/patterns of HIV disclosure and its impact on RIC and VL suppression. Using new to HIV care integrating ENGagement and Adherence Goals upon Entry (iENGAGE) data, we observed that Black race, emotional support, and unmet needs predicted any HIV and broad disclosure, whereas males, emotional support, active coping and acceptance were associated with selective disclosure. We observed that HIV disclosure and patterns of disclosure did not significantly improve RIC and 48-week VL suppression. However, any disclosure, broad

iii

and selective disclosure groups were significantly less likely to achieve VL suppression over time. Finally, we did not observe effect modification by HIV disclosure and patterns of HIV disclosure on the relationship of iENGAGE intervention with 48-week VL suppression. In conclusion, this dissertation contributes to the existing knowledge of early HIV disclosure and HIV related outcomes among patients initiating care.

Keywords: HIV disclosure, patterns of HIV disclosure, viral load suppression, retention in care, new to care, iENGAGE, effect modifier

## DEDICATION

To my mother:

## Pramodini Arvind Modi

&

In loving memory of:

My Father

Arvind Jamnadas Modi

#### ACKNOWLEDGEMENTS

Above all, I acknowledge God who gave me the strength and courage to get through the training of Ph.D. and make this dissertation possible.

I would extend my sincere gratitude to my family who stood by me throughout my journey. I honor the memory of my loving father Arvind Jamnadas Modi for instilling confidence and perseverance. To my mother Pramodini Modi and brother Jignesh Modi for their constant reminders to work on the dissertation. To my husband Madhav Devani for his love and support to endure and my son Jai Madhav Devani for being my strength.

A profound thank you to the Committee Chair, Dr. Gerald McGwin for his mentorship throughout the PhD program. His direction on the selection of courses, designing research hypothesis, and setting realistic goals played a vital role in my PhD completion. I would thank Dr. Michael Mugavero for continued support, excellent mentoring and providing iENGAGE data for this dissertation. Special thanks to Dr. James Willig for being an outstanding teacher and encouraging me to sit through the comprehensive exams and providing opportunity to write my first scientific paper. I would also thank Dr. Russell Griffin for being an outstanding teacher providing good directions on data analysis. I would thank Dr. Kimberly Martin for her encouragement of going through proposal defense and reviews. In addition, I thank Andy Westfall for his valuable inputs on iENGAGE data. I want to thank the UAB School of Public Health; Research and Informatics Service Center (RISC) and the UAB 1917 for providing the training and resources to complete this dissertation.

## TABLE OF CONTENTS

Page

ABSTRACTiii
DEDICATIONv
ACKNOWLEDGMENTS vi
LIST OF TABLES ix
LIST OF FIGURESx
LIST OF ABBREVIATIONS xi
INTRODUCTION1
HIV disclosure status and patterns of HIV disclosure2
HIV disclosure retention in HIV care and viral load suppression4
Aims and Implications6
FACTORS OF HIV DISCLOSURE STATUS AMONG PATIENTS NEW TO HIV CARE IN URBAN US HIV CLINICS
IMPACT OF HIV DISCLOSURE ON RETENTION IN CARE AND VIRAL LOAD SUPPRESSION AMONG NEW TO HIV CARE PATIENTS
HIV DISCLOSURE: A POTENTIAL EFFECT MODIFIER OF THE ASSOCIATION BETWEEN IENGAGE INTEREVNTION COMPONENET AND HIV VIRAL LOAD SUPPRESSION
SUMMARY AND CONCLUSIONS
GENERAL LIST OF REFERENCES
APPENDIX: INSTITUTIONAL REVIEW BOARD APPROVAL

## LIST OF TABLES

Table Page		
	FACTORS OF HIV DISCLOSURE AND DISCLOSURE PATTERNS AMONG PATIENTS NEW TO HIV CARE IN URBAN US HIV CLINICS	
1	Table 1. Baseline patient characteristics by HIV disclosure status and patterns of HIV disclosure at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 (n = 371)	
2	Table 2. Unadjusted logistic and multinomial logistic regression models for HIVdisclosure status and patterns of HIV disclosure at the 4 US HIV clinics enrolledin the iENGAGE study during 2013-2016	
3	Table 3. Adjusted logistic regression models for HIV disclosure status at the 4 USHIV clinics enrolled in the iENGAGE study during 2013-2016	
4	Table 4. Adjusted multinomial logistic regression models for patterns of HIVdisclosure at the 4 US HIV clinics enrolled in the IENGAGE study during2013-2016	
	IMPACT OF HIV DISCLOSURE ON RETENTION IN CARE AND VIRAL LOAD SUPPRESSION AMONG NEW TO HIV CARE PATIENTS	
1	Table 1. Baseline patient characteristics by 48-week viral load (VL) suppression at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 (n = 371)	
2	Table 2. Baseline patient characteristics by visit adherence and 4-month visitconstancy at the 4 US HIV clinics enrolled in the iENGAGE study during2013-2016 (n =371)	
3	Table 3. Logistic Regression models for association between 48-week viral load (VL) suppression and HIV disclosure status/ patterns of HIV disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016	
4	Table 4. Cox Proportional Hazards models for association between time to VL suppression censored at 48 weeks and HIV disclosure status/patterns of HIV	

	disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016
5	Table 5. Logistic Regression models for association between visit adherence(Yes/No) and HIV disclosure status/patterns of HIV disclosure among new to HIVcare patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016
6	Table 6. Ordinal Logistic Regression models for association between 4-month visitconstancy and HIV disclosure status/patterns of HIV disclosure among new to HIVcare patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016
ł	HV DISCLOSURE: A POTENTIAL EFFECT MODIFIER OF THE ASSOCIATION BETWEEN THE IENGAGE INTERVENTION COMPONENT AND HIV VRAL LOAD SUPPRESSION
1	Table 1. The iENGAGE study arm and HIV disclosure status/patterns of HIVdisclosure patient demographics (n =)
2	Table 2. Logistic regression model to examine the independent association studyarm, HIV disclosure status and patterns of disclosure with 48-week viral load (VL)suppression
3	Table 3. Stratified analysis by HIV disclosure status to generate stratum specific odds ratios (ORs) and 95% confidence intervals (CIs) for iENGAGE study arm and 48-week viral load (VL) suppression
4	Table 4. Stratified analysis by patterns of HIV disclosure to generate stratumspecific odds ratios (ORs) and 95% confidence intervals (CIs) for iENGAGEstudy arm and 48-week viral load (VL) suppression101

#### LIST OF FIGURES

#### Figures

Page

## FACTORS OF HIV DISCLOSURE AND DISCLOSURE PATTERNS AMONG PATIENTS NEW TO HIV CARE IN URBAN US HIV CLINICS

## IMPACT OF HIV DISCLOSURE ON RETENTION IN CARE AND VIRAL LOAD SUPPRESSION AMONG NEW TO HIV CARE PATIENTS

## LIST OF ABBREVIATIONS

AIDS	acquired immune deficiency syndrome or acquired immunodeficiency
	syndrome
ART	anti-retroviral therapy
AUDIT-C	alcohol use disorders identification test
ASSIST	alcohol, smoking and substance involvement screening test
CASI	computer administered self-interview
CDC	Centers for Disease Control and Prevention
CNICS	CFAR Network of Integrated Clinical Systems
CFAR	Centers for AIDS Research
CI	confidence interval
EMR	electronic medical record
JHU	Johns Hopkins University at Baltimore
HR	hazards ratio
HRAP	HIV risk assessment for positives
HIV-ASES	HIV adherence self-efficacy scale
iENGAGE	integrating ENGagement and Adherence Goals upon Entry
IDU	intravenous drug user
IRB	institutional review board
MOS-4	medical outcomes study social support survey-4

MSM	men who have sex with men
OR	odds ratio
PHQ	patient health questionnaire
PLWH	people living with HIV
SD	standard deviation
UAB	University of Alabama at Birmingham
UNC	University of North Carolina at Chapel Hill
UW	University of Washington at Seattle
VL	viral load
WHO	World Health Organization

#### INTRODUCTION

In 2016, about 36.7 million people were living with HIV and 1.8 million were newly infected worldwide [1]. HIV is a global public health epidemic which not only affects individuals but their social network and communities [2, 3]. In the US, more than 1.2 million people are living with HIV. The success of Anti-Retroviral Therapy (ART) has significantly improved the life expectancy of people living with HIV (PLWH) [4]. Once considered a life-threatening illness, HIV infection is now perceived as a multifaceted chronic illness [5, 6]. The importance of engagement and retention in HIV care is emphasized by the US National HIV/AIDS Strategy [7]. The HIV treatment cascade projects that about 86% diagnosed with HIV, 40% are engaged in HIV care, 37% prescribed ART and 30% achieved viral load (VL) suppression [8-10].

Inconsistent HIV care has negative implications at individual and population level. At individual level, there is lower ART adherence, development of resistant virus, sustained viremia, and poor health outcomes [11, 12]. At a population level, continuous HIV transmission adds to the HIV epidemic. Alternatively, virally suppressed state improves the overall health and reduces the risk of HIV transmission [13]. This underscores the importance of the continuous efforts required to curb transmission ad reduce the occurrence of new infections. Regardless of decline in new HIV cases, about 40,000 new HIV infections occur annually in the US and still remains a major challenge for government organizations to scale up prevention efforts [4]. The first year of HIV diagnosis is stressful where newly diagnosed HIV infected individuals adjust to the new diagnosis [5, 14] and simultaneously learn behavioral skills to engage and retain in HIV care. During this initial year, HIV disclosure plays a critical role [14, 15] supporting patients to develop coping strategies to reduce stress from the new diagnosis [16], link and retain in HIV care to achieve VL suppression for better health related outcomes [17, 18].

#### **HIV Disclosure and Patterns of HIV Disclosure**

HIV disclosure is an important HIV behavior of talking about one's HIV serostatus to individual(s) or organization(s) [19]. From public health perspective, role of HIV disclosure to HIV treatment and prevention is noteworthy [20-22]. Patients who disclose prior to ART initiation have better ART adherence and achieve VL suppression which improves the overall quality of life among PLWH [23]. Timing of HIV disclosure after HIV diagnosis is equally important [24]. Early HIV disclosure to sexual partners reduces the spread of HIV infection with individuals choosing less risky sexual behaviors and adopting HIV testing and counselling [25]. Disclosure to family, friends, co-workers or religious leaders may provide opportunities to gain social support [26-28] to link to HIV care in timely manner, initiate ART and achieve virally suppressed state [29] to prevent transmission of the virus to sex partners [30, 31].

Potential benefits of HIV disclosure include gaining social support to cope with the new diagnosis, reduced mental health problems, engagement and retention in HIV care, ART initiation and adherence and overall good quality of life [17, 25-28, 30, 32-36]. Risks include stigma, discrimination, rejection, blame, violence and loss of financial support [22, 25, 37-40]. Addressing negative implications associated with HIV disclosure is beyond the scope of this dissertation. Despite the benefits, the rates of HIV disclosure range from 42-100% in developed countries [25]. In the US, CDC estimated disclosure rates to be 72% [41]. If HIV disclosure rates were 100%, the HIV transmission risk would reduce to 32.5-74% [42, 43]. However, a cross sectional survey conducted by Marks (2002) among active HIV patients across six clinics in California reported only 50% participants were asked about HIV disclosure by health care providers [44].

HIV disclosure is a complicated process and PLWH may evaluate benefits and risks of disclosure [26, 27]. Depending on the choice, an individual may choose to disclose to no one resulting in non-disclosure; may selectively disclose to a specific group like family, friends, religious leaders or broadly disclose to more than one group [39]. Several studies so far have examined role of HIV disclosure but the focus has been on patients established in HIV care [5, 45], men who have sex with men (MSM) population [18, 46-49], intravenous drug users (IDU), alcohol users [50] or women [33, 51-53]. However, literature is scant on early HIV disclosure [24, 54] among patients newly initiating HIV care [23, 35, 39, 46, 47, 55-57]. Additionally the ascertainment of HIV disclosure varies across studies [51, 58, 59].

Crossby (2017) conducted study on association of disclosure and condom use among young black MSM aged 15-29 years and found that about 70% disclosed their HIV status to initial male partner but only 9% reported using condom while having sex [47]. Elopre (2016) showed that 87% of the participants disclosed their HIV status to someone before initiating care and of those 58% were selective disclosers among adults 19 years and older, new to HIV care. The same study showed that Blacks were 4 times more likely to non-disclosure (OR = 4.0; 95% CI = 1.8, 8.9) and about 2 times more

likely to selective disclosure (OR = 1.7; 95% CI = 1.0, 2.7) compared to whites. CD4 count <200cells/ml of blood at initial HIV care appointment was associated with nondisclosure and selective disclosure but the results were not statistically significant [39]. Trinh (2016) conducted a retrospective cohort study among ART naïve patients and found that among participants 38 years of age and younger, disclosure was associated with higher CD4 recovery compared to who did not disclose 6 months' post ART initiation [60]. Abler (2015) assessed the association of depression with HIV disclosure to sex partners among newly diagnosed MSM, 18 years and older, found that higher the depression (score of  $\geq 16$  on Beck depression inventory), lesser was early disclosure to sexual partners [46]. Skunodom (2006) focused on association of non-disclosure among pregnant women and found that almost 70% women disclosed their HIV status within a month of pregnancy and additional 24% disclosed by 4months. This study showed that not having HIV positive partner or not knowing if partner was tested for HIV before, learning of HIV status during delivery and having more than one sexual partner was associated with non-disclosure [57]. Olley (2004) found that among HIV patients with less than 1 year of HIV diagnosis, non-disclosure was significantly associated with males, alcohol abuse prior to sex, no condom use during last sexual encounter, and having multiple sexual encounters in last 6 months [56].

To our knowledge, no study has been conducted so far to examine the factors associated with disclosure among new to HIV care patients within 14 days of their primary HIV care appointment across geographically diverse urban HIV clinics.

#### HIV disclosure, Retention in Care (RIC) and Viral Load (VL) Suppression

Early HIV disclosure is an important modifiable factor to promote RIC and achieve VL suppression. Among patients initiating care, RIC is inconsistent with negative implications on VL suppression [12, 61-64]. PLWH who disclose their HIV status may gain required mental support, transportation help and reminders to regularly attend clinic visit appointments, reassurance to cope with the diagnosis and initiate ART, reminders and financial assistance to start medications for better survival outcomes [23, 65-68].

Few studies have assessed role of HIV disclosure and HIV related outcomes. Breger (2017) reported among ART naïve patients in Cameroon, HIV disclosure to at least one person prior to ART initiation was associated with a marginal increase in chances of patients retained in HIV care measured as one clinical visit within 180 days of baseline visit (RR = 1.14; 95%CI = 0.94, 1.38) [69]. Elopre (2015) showed that among adult HIV patients establishing care, non-disclosure was found to be associated with poor RIC measured as no HIV care appointments missed in at least 6 months [70]. The same authors showed that participants who chose selective disclosure to friends or family had almost double the odds of detectable viral load ( $\geq 200$  copies/ml of blood). The limitation of the study was differential missing viral loads among disclosure groups [71]. Halperin (2013) reported that among newly diagnosed (within 6 months of HIV diagnosis) early disclosure to at least one person was significantly associated with RIC measured as having 2 or more appointments at least 3 months apart during 1 year time frame [72]. The authors also reported that among patients who disclosed 66.7% had a visit constancy of 80% or greater compared to 22.2% among those who did not disclose [72]. Buma (2015)

showed that 80% of ART naïve patients who disclosed their HIV status achieved VL suppression compared to 19% who did not disclose. The same study showed almost 97% of early disclosers (disclosure prior to ART) and 81% of late disclosers (disclosure post ART) achieved VL suppression (<400 copies/ml of blood) [23]. Conversely, Daskalopoulou (2017) conducted a study among HIV patients in care and showed that non-disclosure was not associated with detectable VL [5].

Few studies were done so far to explain the impact of HIV disclosure on RIC and VL suppression especially among new to care patients. These studies ascertain RIC measures differently and results vary. RIC and VL suppression being important components predicting favorable survival outcomes among patients initiating care, delineating the association of HIV disclosure with RIC and VL suppression is critical.

#### **Aims and Implications**

The Joint United Nations Programme on HIV and AIDS (UNAIDS) proposed a 90-90-90 initiative to scale up efforts to end AIDS epidemic [31]. HIV disclosure is key component of HIV prevention strategies and it is important to address the existing gaps in the literature about factors associated with HIV disclosure and its impact on HIV related outcomes, especially among new to HIV care patients. Therefore, this dissertation aimed to 1) determine the factors associated with HIV disclosure status and patterns of HIV disclosure among new to care patients 2) examine the association of HIV disclosure status and patterns of disclosure with 48-week VL suppression, time to VL suppression and RIC measures 3) evaluate if HIV disclosure is an effect modifier of association of behavioral intervention with 48-week VL suppression. Overall, the findings of this dissertation contribute to the understanding of role of HIV disclosure among new to HIV care patients. Identifying risk factors for HIV disclosure is the initial step to identify patients at risk of non-disclosure allowing health care team to initiate a dialogue to promote disclosure. Furthermore, examining relationship of HIV disclosure with RIC and VL suppression provides insight on development of interventions targeted to patients initiating care to promote disclosure at initial visit.

## FACTORS ASSOCIATED WITH HIV DISCLOSURE STATUS AMONG PATIENTS NEW TO HIV CARE IN URBAN US HIV CLINICS

by

# RIDDHI A. MODI, GERALD MCGWIN JR., JAMES H. WILLIG, RUSSELL L. GRIFFIN, KIMBERLY D. MARTIN, MICHAEL J. MUGAVERO

In Preparation for 'AIDS and Behavior'

Format adapted for dissertation

#### ABSTRACT

**Introduction**: HIV disclosure is an important behavior with implications for HIV treatment and prevention but understudied among new to HIV care patients who face unique challenges adjusting to a new diagnosis. This study evaluated the factors associated with HIV disclosure status and patterns of HIV disclosure among new to HIV care patients.

**Methods**: A cross-sectional study was conducted evaluating the integrating ENGagement and Adherence Goals upon Entry (iENGAGE) participant cohort. The primary and secondary outcomes included HIV disclosure status (Yes/No) and patterns of disclosure (Broad, Selective and Non-disclosure) respectively. Logistic and Multinomial Logistic Regression were used to evaluate the association of participant factors with HIV disclosure and patterns of HIV disclosure respectively.

**Results:** Of 371 participants, the average age was 37 (±12) years, 79.3% were males, and 62.3% were African Americans. A majority of participants (78.4%) disclosed their HIV status at baseline, 63.1% were broad disclosers and 15.2% were selective disclosers. Blacks demonstrated lower odds of any HIV (OR = 0.28; 95%CI = 0.13, 0.58) and broad disclosure (OR = 0.23; 95%CI = 0.10, 0.53). Need for substance use treatment or counseling services was associated with higher odds of any HIV (OR = 2.07; 95%CI = 1.05, 4.07) and broad disclosure (OR = 2.47; 95%CI = 1.12, 5.51). One unit increase in the use of emotional support was associated with higher log odds of any HIV (OR = 1.62; 95%CI = 1.39, 1.89) and broad disclosure (OR = 1.75; 95%CI = 1.45, 2.12). Males were associated with lower odds of selective disclosure (OR = 0.28; 95%CI = 0.09, 0.85). The log odds of selective disclosure increased with one unit in emotional support (OR = 1.42;

95%CI = 1.13, 1.79), active coping (OR = 1.43; 95%CI = 1.07, 1.90) and decreased with one unit increase in acceptance (OR = 0.73; 95%CI = 0.55, 0.96).

**Conclusion:** Black race, emotional support, and unmet needs predicted any HIV and broad disclosure, whereas males, emotional support, active coping and acceptance were associated with selective disclosure. Interventions to promote early HIV disclosure among new to HIV care patients should focus on coping strategies and unmet needs. **Keywords:** HIV disclosure and patterns, new to HIV care, coping, unmet needs, multisite, iENGAGE.

#### **INTRODUCTION**

Informing other individual(s) or organization(s) about one's HIV infection status is defined as the process of HIV disclosure [1-4]. HIV disclosure is an important behavior with implications for HIV prevention strategies and health outcomes in the lives of people living with HIV (PLWH) [5]. The benefits of HIV disclosure[6] include increased opportunities for social support [7-9], improved engagement [10] and retention in HIV care [11], earlier ART initiation [12-14], and better ART adherence [10, 15]. HIV disclosure is also associated with decreased mental illnesses [15]. However, there are chances of undesirable outcomes like discrimination, rejection, stigma [16-18] and hence the rates of disclosure vary considerably across settings [3]. The average rate of disclosure in developed countries varies from 42-100% [11, 19] and is about 72% in the US [20].

HIV disclosure is identified as a complex selective process [21] and the choice of disclosure ranges from nondisclosure (disclosed to no one) to selective/partial disclosure (disclosed to one person or group) to broad disclosure (more than one person or group) [22-24]. Disclosure was reported utmost to mothers [25] and non-family members [26, 27] and lowest among past or causal sex partners [19]. A study conducted among newly diagnosed HIV individuals in the US showed that about 13% of participants chose non-disclosure [17].

Several factors that have been associated with HIV disclosure and patterns of disclosure. Age, gender, race, ethnicity, education, marital status, education, time since HIV diagnosis, number of sexual partners, sexual orientation are known to be correlated with HIV disclosure [7, 23, 28, 29]. However, results vary across studies contingent on

study design, population and outcome ascertainment [7, 21, 30-36]. The majority of prior studies on HIV disclosure focus on patients established in HIV care [35, 37], men who have sex with men (MSM) population [38-42], injection drug users (IDU), alcohol users [43] or women [13, 25, 44, 45]; few studies have focused on HIV patients who were not established in care [17, 34, 38, 39, 46-49]. These studies were specific to populations such as ART naïve patients [34], patients initiating ART [50], those diagnosed who had less than 1 year of HIV infection [46, 47], which were either focused on specific populations like MSM [38, 39], new mothers [48]. Also, the focus was on only a few specific factors and their relationship with HIV disclosure like condom use [39], depression [38], stress and coping during disclosure process [46], CD4 response [50]. A study conducted by Elopre, et.al., among new to care HIV patients was limited to evaluating socio-demographic factors, church attendance, and living arrangement [17]. The association of specific coping behaviors, supportive services needed, HIV treatment self-efficacy with HIV disclosure remains unmapped among new to care HIV cohort.

Additional studies are required to identify factors associated with disclosure [19] especially among new to HIV care patients to achieve better HIV related outcomes [17]. To address these gaps, we evaluated the factors associated with HIV disclosure status and patterns among new to outpatient HIV care patients enrolled in iENGAGE (integrating ENGagement and Adherence Goals upon Entry) study.

#### METHODS

#### Study Design

We conducted a cross-sectional study evaluating the iENGAGE cohort. Participants were enrolled in this randomized behavioral trial from Dec 2013 to June 2016.

#### Setting

iENGAGE is an NIAID funded randomized controlled behavioral intervention trial evaluating the impact of a 4-session counselor-delivered semi-tailored intervention implemented in a clinic setting (R01 AI 103661 and clinical trials.gov NCT01900236). New to outpatient HIV care patients were enrolled within 14 days of their initial primary HIV care provider appointment at four US HIV clinics: the University of Alabama at Birmingham (UAB), the University of North Carolina at Chapel Hill (UNC), John Hopkins University (JHU), and the University of Washington at Seattle (UW). Clinic patients were eligible for study inclusion if they were adults 18 years and older, with documented HIV infection, who were initiating care at one of the four participating sites. Only English speaking, those not planning to move in the next 12 months and able/willing to provide informed consent patients were enrolled. Patients who received prior outpatient HIV care at any other facility or site were excluded. Institutional Review Board (IRB) approvals were obtained at each site for this study. Details of the iENGAGE study can be found elsewhere [51].

As a part of the iENGAGE study, participants completed a study assessment at baseline (questionnaires) and at 48 weeks (questionnaire plus blood draw). Questionnaires were completed using CASI (computer-administered self-interview) that asked questions about mental health, alcohol use, substance use, sexual risk assessment,

disclosure, social support, unmet needs, coping, and stigma using standardized, validated instruments.

All data were extracted from the iENGAGE database and Centers for AIDS Research (CFAR) Network of Integrated Clinical Systems (CNICS) through electronic data queries. CNICS is a clinic-based cohort of HIV infected patients across 8 US HIV clinics and collects comprehensive clinical data using electronic medical records (EMR) and other established sources at each clinic [52].

#### Participant cohort

Of the 941 patients screened, 372 new to HIV care participants were enrolled in the iENGAGE study across sites (Figure 1). One participant was found to be not new to care after being randomized to the intervention arm and was withdrawn from the study due to protocol violation, resulting in a sample size of 371.

#### Outcomes

For the current study, the primary dependent variable of interest was HIV disclosure status (Yes/No) and the secondary dependent variable was patterns of HIV disclosure (non-disclosure, selective disclosure, broad disclosure).

Participants completed a 3-item HIV disclosure questionnaire as a part of the baseline CASI assessment. The following questions were asked to assess if participants disclosed HIV status: Q1) 'Have you told anyone about your HIV status, not including your health provider?' (Responses: Yes/No/No response). If the participants responded 'Yes' to Q1 they were asked two follow up questions: Q2) 'Have you told more than 1 person about your HIV status?' (Responses: Yes/No) and Q3) 'Who have you told about your HIV status?' [Responses: Spouse/significant other, current sexual partner(s), past

sexual partner(s), family member(s), friend(s), religious leader(s) (e.g., priest, rabbi, pastor/ No response/ NA - skip question)].

#### HIV disclosure status

HIV disclosure was defined as disclosure of HIV status to someone other than health care provider i.e. if participants responded 'Yes' to Q1. For data analysis, HIV disclosure status was dichotomized as Yes/No variable.

#### Patterns of Disclosure

Patterns of disclosure was categorized as non-disclosure, selective disclosure, and broad disclosure. Non-disclosure was defined as participants who did not disclose their HIV status to anyone other than healthcare provider. Participants who responded 'No" to question 1 were categorized as non-disclosers. Selective disclosure was defined as disclosed to only one group from the categorical response items. Participants who responded 'No' to Q2 (did not disclose to more than one person and disclosed HIV status to only one group on Q3 (Spouse/significant other only, current sexual partner(s) only, past sexual partner(s) only, family member(s) only, friend(s) only, or religious leader(s) only) were categorized as selective disclosers. Broad disclosure was defined as disclosed to more than one group. Participants who responded 'Yes' to Q 2 and selected more than 1 group on Q3 were categorized as broad disclosers.

#### Independent variables

#### Socio-demographic variables

Socio-demographic variables included age (years), gender (Male, Female/Transgender), race (White, Black, Other (Native American, Asian), ethnicity (Hispanic, Non-Hispanic) were collected at the time of screening.

#### ART use

ART use at enrollment (Yes/No) was obtained from the CNICS data repository for participants across sites. Participants started ART prior to or on the date of enrollment were grouped as 'Yes'.

#### Baseline VL value

Baseline laboratory value for plasma VL was obtained from the CNICS data repository for participants across sites. The closest value to the enrollment date was recorded (preferably -90days, +14 days). In instances where more than 2 values were available, the highest value was selected.

#### Baseline CD4 count

Baseline CD4 count at the time of entering HIV care was obtained using CNICS data repository for participants across sites. The closest value to study enrollment date was recorded (-90days and +14 days). For data analysis CD4 count was categorized as <200 and  $\geq$ 200 cells/µL of blood.

Psychosocial factors

At enrollment visit participants, completed questionnaires on psychosocial factors using CASI [51].

#### Depression

The 8-item Patient Health Questionnaire (PHQ-8) was used to assess how often the depressive symptoms bothered participants over the past 2 weeks [53, 54]. A 4-point Likert-like scale ('not at all'= 0 to 'nearly every day'= 3) was used to rate each question and scores ranged from 0-24. A score of <10 was considered no depressive disorder,  $\geq$ 10 was considered major depression and  $\geq$ 20 was considered severe major depression. For analysis purposes, we dichotomized as depression yes/no variable, consistent with prior use in the literature.

#### Anxiety

The 5-item PHQ-5 questionnaire was used to assess if participants experienced anxiety (sudden fear or panic) in the past 4 weeks [55]. The response options were yes (score of 1)/no (score of 0). The composite score ranged from 0-5. Anxiety scores were categorized as no anxiety (score = 0), panic symptoms (score  $\leq$ 4) and panic syndrome (score =5). For analysis purposes, we dichotomized as anxiety yes/no variable. *Social support* 

The 4-item abbreviated Medical Outcomes Study Social Support Survey (MOS-4) was used to measure perceived social support [56, 57]. Each question measures a different type of perceived support (informational, tangible, positive social interaction, affectionate). Items were rated on a 5-point scale ranging from "none of the time" (1) to "all of the time" (5). For data analysis, we will use a composite score which ranges from 0-100 [58]. The higher the composite score, the greater the support received.

#### HIV stigma

HIV stigma was measured using Bunn and Earnshaw instruments [59, 60]. The domains assessed were enacted stigma, disclosure concerns, negative self-image or internalized stigma, and concerns with public attitudes about PLWH or public stigma [59]. A 4-point Likert-like scale ranging from 'strongly agree' (1) to 'strongly disagree' (4) was used for rating. A composite score was calculated summing responses to all questions [59]. Anticipated stigma to family, friends and healthcare providers was measured. The responses ranged from 'very unlikely' (1) to 'very likely' (5) [60]. The higher the composite scores, the higher the stigma [59, 60].

#### Coping

Participant's coping skills were measured using an adapted brief cope questionnaire to assess 9 of the 14 domains: active coping, positive reframing, acceptance, religion, using emotional support, denial, substance use, behavioral disengagement, and self-blame. Each domain was measured using 2 items [61, 62]. Using emotional support, positive reframing, acceptance, and religion were perceived as adaptive coping strategies and denial, substance use, behavioral disengagement, and self-blame were perceived as maladaptive coping strategies [63]. Items were rated on a 4-point Likert scale ranging from 'not doing this at all' (1), to 'doing this all the time' (4) and an average score was used for each domain.

#### *Supportive services*

Supportive services needed in the last 6 months was assessed using an instrument previously used in the CDC Retention in Care (RiC) trial [64]. Supportive services included counseling, substance use treatment, housing, emergency financial assistance,

employment assistance, transportation, food, groceries or meals, benefits assistance and childcare. For analysis purposes, services were classified in 3 categories: counseling/substance abuse treatment; housing expenditure (housing, transportation, food, groceries, meals, and childcare) and financial assistance (financial, employment, and benefits assistance).

## Quality of life

EuroQOL-5D was used to measure the five health-related quality of life: mobility, selfcare, usual activities, pain/discomfort, anxiety/depression. Each quality was measured using a single question. Response for each question ranged from 'no problems' (1) to 'severe problems' (3) [65].

#### *Self-Efficacy*

The 12-item HIV Adherence Self-Efficacy Scale (HIV-ASES) was used to measure selfefficacy in HIV treatment adherence. This questionnaire assessed patient's confidence to carry out important treatment-related behaviors [66]. Answer choice ranged from 'cannot do it at all' (0) to 'certain can do it' (10). In addition, participants had option to select 'refuse to answer' or 'don't know'. Composite scores were calculated and the higher the score, greater is the adherence self-efficacy. [66].

#### Sexual risk factors

Participants completed questionnaires on alcohol use, substance use and sexual behavior at the enrollment visit and HIV transmission risk factor was obtained using the CNICS data repository.

#### HIV Transmission risk factor

HIV Transmission risk factor was recorded as either MSM, IDU, or heterosexuals. For participants who reported multiple risk factors, IDU was given the priority followed by MSM and then heterosexual in the CNICS database.

#### Alcohol use

The 3-item Alcohol Use Disorders Identification Test (AUDIT–C) questionnaire was used to measure alcohol consumption during the past year among participants. AUDIT-C scores were categorized as no risk (score of 0-2 for men (M), 0-1 for women (W)), low risk (score of 3 for M, 2 for W) and high risk (score of 4 for M, 3 for W) [67]. The transgender patients were treated as females for AUDIT-C scores for analysis.

## Substance use

The Alcohol, Smoking and Substance Involvement Screening Test (ASSIST) was used to measure substance use (cocaine/crack, amphetamines, opiates, injection drug use) [68]. For data analysis substance use was categorized as Never (responded 'no' to drug use), Prior (responded 'never' used drugs in past 3 months) and Current (responded used drugs once or twice, weekly, monthly or daily in last 3 months) [69].

#### Sexual behavior

HIV Risk Assessment for Positives (HRAP) was used to assess the number of sexual partners in the past 6 months. For data analysis, the number of sexual partners was categorized as  $0, 1, 2, 3, 4-5, \ge 6$  partners.

#### **Statistical Analysis**

Descriptive statistics were calculated as means and standard deviation for continuous variables and counts and percentages for categorical variables. Q-Q plots were used to determine normality of continuous variables.

Logistic regression was used to estimate odds ratios (ORs) and their respective 95% confidence intervals (CIs) to evaluate the association of risk factors with HIV disclosure status (Yes/No). To assess the association of risk factors with patterns of disclosure (non-disclosure, selective disclosure, broad disclosure) multinomial logistic regression was used to calculate ORs and their respective CIs.

The variables included in the adjusted models were based on the evidence from the literature, recommendations from expert clinicians and statistical significance (<0.10) in unadjusted models. We further employed a stepwise method to generate the parsimonious models. A two-sided p-value of <0.05 was considered significant for this analysis.

#### RESULTS

The average age of participants was 37 ( $\pm$  12) years, 79.3% were males, 62.3% were African Americans, and 94.6% Non-Hispanics (Table 1). Overall, 78.4% of the participants disclosed their HIV status at baseline, 63.1% were broad disclosers and 15.2% were selective disclosers. Among participants who disclosed, 30.8% reported depression and the percentage was similar for broad disclosers (28.7%) compared to selective disclosers (34%) or non-disclosers (34.6%). However, a higher percentage of participants who disclosed their HIV status reported anxiety symptoms (33.2%) compared to participants who did not disclose (25%). Current substance use was

reported by a lower percentage of participants who disclosed (16.9%) compared to participants who did not disclose (21.1%). The higher average score indicative of better active coping was reported by broad disclosers (score =6.8) compared to selective disclosers (score =7) and non-disclosers (score=6). Detectable baseline VL value ( $\geq$ 200) was reported by 93.8% and 69.3% reported no baseline ART use.

#### HIV disclosure status

In the final parsimonious logistic regression model, Blacks had significantly lower odds of disclosure compared to Whites (OR = 0.28; 95%CI = 0.13, 0.58). One unit increase in using emotional support as coping behavior was associated with significantly higher log odds of disclosure (OR = 1.62; 95%CI = 1.39, 1.89). The odds of disclosure was double among participants receiving substance use or counseling services in last 6 months (OR = 2.07; 95%CI = 1.05, 4.07) compared to those who did not.

#### Patterns of disclosure

In the final parsimonious multinomial logistic regression model, Blacks had significantly lower odds of broad disclosure (OR = 0.23; 95%CI = 0.10, 0.53) compared to Whites. Participants who reported use of emotional support as coping behavior (OR = 1.75; 95%CI = 1.45, 2.12) and need for substance use treatment or counseling in past 6 months (OR = 2.47; 95%CI = 1.12, 5.51) had significantly higher odds of broad disclosure. The log odds of selective disclosure increased with one unit increase in use of emotional support (OR = 1.42; 95%CI = 1.13, 1.79) and active coping behaviors (OR = 1.43; 95%CI = 1.07, 1.90). Males (OR = 0.28; 95%CI = 0.09, 0.85) were associated with lower odds of selective disclosure. With one unit increase in acceptance as coping behavior (OR = 0.73; 95%CI = 0.55, 0.96), lower was the log odds of selective disclosure.

#### DISCUSSION

Early disclosure is an important HIV prevention and treatment strategy [70, 71] but little is known about factors associated with early HIV disclosure among new to care PLWH [17, 19]. In this cross-sectional study among new to HIV care patients enrolled within 14 days of their HIV primary care appointment across 4 US HIV clinics, we found that males had 72% lower odds of selective disclosure and about half the odds for broad disclosure compared to females. Black race was associated with 70% lower odds of disclosure to anyone and broad disclosure and 34% lower odds of selective disclosure compared to the White race. Substance use or counseling services need in the last 6 months was associated with more than double the odds of disclosure to anyone and broad disclosure. Coping behaviors were found to be associated with all types of disclosure, and may represent a modifiable factor for behavioral interventions to enhance disclosure among new to care PLWH. One unit increase in the use of emotional support was associated with almost double the increase in log odds of disclosure to anyone, broad and selective disclosure. One unit increase in active coping resulted in the increase in log odds of selective disclosure.

We observed that men were 46% less likely to broadly disclose and 72% less likely to selectively disclose their HIV status compared to females after adjusting for other variables in the analysis. Males generally have poor at medical attending services compared to females who disclose to gain support to seek the medical help needed [34, 72-75]. Our results are consistent with prior studies among new patients seeking HIV care [17, 47]. Furthermore, the fear of being perceived as a homosexual which may not be accepted culturally may result in non-disclosure [7]. A study conducted among

patients within 6 months of HIV diagnosis showed that about 55.6% of males did not disclose their HIV status [76]. Buma (2015) showed that only 15% of males disclosed their HIV status before prior to starting ART [34]. Our results contradict the findings of another cross-sectional study conducted among HIV patients enrolled within a year of diagnosis where there was no difference in the odds of disclosure among males and females [77]. The difference in results is likely due to the study conducted in the Nigerian population and the lower overall disclosure rates. Additionally, in the unadjusted analysis, we examined transmission risk factors and found that MSM were more likely to disclose their HIV status compared to the heterosexual group, though the results were not significant. These results may suggest that males who are MSM were more likely to disclose as suggested by another prior study [17].

Black race was associated with lower odds of HIV disclosure to anyone (72%), broad (77%) and selective disclosure (34%). Results from a prior study showed that Blacks were 4 times more likely to non-disclose and about 2 times more likely to selective disclosure compared to broad disclosure[17]. Blacks are more susceptible to stigma from cultural context [46] resulting in non-disclosure. In addition, Blacks have increased depressive symptoms from the stress of the new diagnosis and adjustment disorder resulting in non-disclosure and social isolation [38]. Our results were in a similar direction with other studies except for some differences in the magnitude of results, which could be attributed to diverse geographic HIV clinic data used in our study, which was the single site for the prior study.

To our knowledge, this is the first study to assess the association of need for supportive services in the last 6 months and HIV disclosure among new to HIV care

patients. The initial year of HIV care is challenging and it is important to address unmet needs in this cohort. In this study, the need for substance use treatment or counseling services in the last 6 months was significantly associated with almost 2 times the odds of disclosure to anyone and broad disclosure. PLWH face significant challenges related to substance use [78] and mental health issues [79-81]. Further among new to care patients, these challenges are exaggerated with the added stress of coming to frequent medical appointments, taking regular medications and learning the skills to navigate through the diagnosis during this initial year. Hence, they may choose to disclose to more people to gain social support and help with other necessities. Our results suggest that addressing unmet needs during initial HIV primary care appointment is important. Conversely, the odds of selective disclosure was almost halved with the need for the same. The reason for this finding was not clear but is possible due to the smaller sample size of selective disclosure group. It is possible that HIV infected individuals in need of substance use or counseling services may be dealing with multiple health-related issues and disclosed to the social network broadly rather being selective to be able to get all the help required to address different issues. Future studies among the larger cohort of new to HIV care patients may provide more insight on the role of unmet needs and its association with the early disclosure; if addressing these needs during the initial visit would be beneficial and if unmet needs be a focus for intervention targeted for new to HIV care patients.

Interestingly, the trends for one unit increase in different adaptive coping strategies was towards higher log odds of disclosure. There was significant increase in log odds of disclosure to anyone, broad and selective disclosure compared to nondisclosure for every unit increase in active coping and the use of emotional support in

unadjusted analysis. In adjusted analysis, the results remained statistically significant for use of emotional support for all disclosures and for active coping and selective disclosure. It is probably because patient actively trying to cope with the diagnosis seek support by disclosing. We found that the higher the acceptance, the lower the disclosure. One possible explanation is participants who may have accepted their HIV diagnosis may not have felt the need to disclose their HIV status to gain support. Based on the unadjusted and adjusted results of this study focusing on adaptive and maladaptive coping strategies may motivate newly diagnosed patients to disclose their serostatus early and achieve better HIV related outcomes. Use of different coping strategies may depend on the outcomes of disclosure. One prior study which looked at the relationship coping perspective and found that non-disclosure actually became a coping strategy after experiencing negative outcomes from initial disclosure [46]. Nevertheless, our results are supportive of using coping strategies as a part of the intervention for early HIV disclosure for patients new to HIV to aid disclosure. We recommend future studies explore the relationship of type and magnitude of each coping strategy and early HIV disclosure among new to HIV care patients.

#### Strengths

Our study provides an understanding of the association of several factors with HIV disclosure status and patterns of disclosure and adds to the existing literature among new to HIV care patients. The results of our study add to the future efforts to build HIV disclosure specific interventions for new to HIV care patients. We have a geographically diverse sample population and a geographically diverse cohort of individuals who have never received outpatient HIV care before.

## Limitations

The cross-sectional design of this study did not allow for assessment of temporal relationship and no inferences on causality can be made. However, our associations can gauge the strength of effect and possibility of potential factors to consider. Results of the study may not be generalized beyond the geographic areas covered by the iENGAGE study but the sites used for study implementation are representative of national estimates. Data collected during the iENGAGE study is self-reported and there is a possibility of recall bias or information bias. Prior studies have shown that self-reported data are acceptable for capturing HIV behaviors.

# CONCLUSION

Our study found that Black race, emotional support, and unmet needs were associated with any HIV and broad disclosure, whereas males, emotional support, active coping and acceptance were associated with selective disclosure. Interventions of early HIV disclosure targeted for new to HIV care patients may require a multifaceted approach and focus on coping strategies and unmet needs as intervention components. Future studies on early HIV disclosure in larger cohorts of PLWH may provide insight on evidencebased intervention recommendations for new to HIV care patients.

#### ACKNOWLEDGEMENTS

We would like to thank Research and Informatics Center (RISC) at UAB and the UAB 1917 Clinic investigators and administrative team for their help with this project. Thanks to all UAB School of Public Health faculty and staff for the epidemiology and biostatistics training all through the PhD program. Special thanks to the all the iENGAGE participants for their time on the study. The iENGAGE study was supported by the National Institutes of Allergy and Infectious Diseases (NIAID) grant (5R01AI103661-05).

# REFERENCES

- 1. Adejumo, A.O., *Perceived HIV stigmatization, HIV/AIDS cognition and personality as correlates of HIV self-disclosure among people living with HIV in Ibadan, Nigeria.* Gender and Behaviour, 2011. **9**(2): p. 3854-3869.
- 2. Klopper, C.E., *Factors influencing HIV status disclosure*. 2011, Stellenbosch: Stellenbosch University.
- 3. Philogene, J., *Patterns of HIV Serostatus Disclosure Among HIV-Positive Young Adults in Haiti: a Mixed-Methods Investigation*. 2014, Duke University.
- 4. Project, T.W., *Disclosure and HIV*. June 28, 2016.
- 5. Joint United Nations Programme on HIV/AIDS (UNAIDS), 90-90-90 An ambitious treatment target to help end the AIDS epidemic. 2014.
- 6. Atuyambe, L.M., et al., *HIV/AIDS status disclosure increases support, behavioural change and, HIV prevention in the long term: a case for an Urban Clinic, Kampala, Uganda.* BMC health services research, 2014. **14**(1): p. 276.
- 7. Bouillon, K., et al., Factors correlated with disclosure of HIV infection in the French Antilles and French Guiana: results from the ANRS-EN13-VESPA-DFA Study. AIDS, 2007. **21 Suppl 1**: p. S89-94.
- 8. Obermeyer, C.M., P. Baijal, and E. Pegurri, *Facilitating HIV disclosure across diverse settings: a review.* American journal of public health, 2011. **101**(6): p. 1011-1023.
- 9. Ogoina, D., et al., *Types and predictors of partner reactions to HIV status disclosure among HIV-infected adult Nigerians in a tertiary hospital in the Niger Delta*. Afr Health Sci, 2015. **15**(1): p. 10-8.
- Govindasamy, D., N. Ford, and K. Kranzer, *Risk factors, barriers and facilitators for linkage to antiretroviral therapy care: a systematic review.* Aids, 2012.
   26(16): p. 2059-2067.
- 11. Maman, S. and A. Medley, *Gender dimensions of HIV status disclosure to sexual partners : rates, barriers and outcomes.* Geneva : World Health Organization, 2004.
- 12. Ding, Y., L. Li, and G. Ji, *HIV disclosure in rural China: predictors and relationship to access to care.* AIDS care, 2011. **23**(9): p. 1059-1066.
- 13. Gari, T., D. Habte, and E. Markos, *HIV positive status disclosure among women attending art clinic at Hawassa University Referral Hospital, South Ethiopia.* East Afr J Public Health, 2010. 7(1): p. 87-91.
- Go, V.F., et al., Variations in the Role of Social Support on Disclosure Among Newly Diagnosed HIV-Infected People Who Inject Drugs in Vietnam. AIDS Behav, 2016. 20(1): p. 155-64.
- 15. Stirratt, M.J., et al., *The role of HIV serostatus disclosure in antiretroviral medication adherence*. AIDS Behav, 2006. **10**(5): p. 483-93.
- 16. Derlega, V.J., et al., *Perceived HIV-related stigma and HIV disclosure to relationship partners after finding out about the seropositive diagnosis.* Journal of health psychology, 2002. 7(4): p. 415-432.
- 17. Elopre, L., et al., *Predictors of HIV Disclosure in Infected Persons Presenting to Establish Care.* AIDS Behav, 2016. **20**(1): p. 147-54.
- 18. Siegel, K., H. Lune, and I.H. Meyer, *Stigma management among gay/bisexual men with HIV/AIDS*. Qualitative Sociology, 1998. **21**(1): p. 3-24.

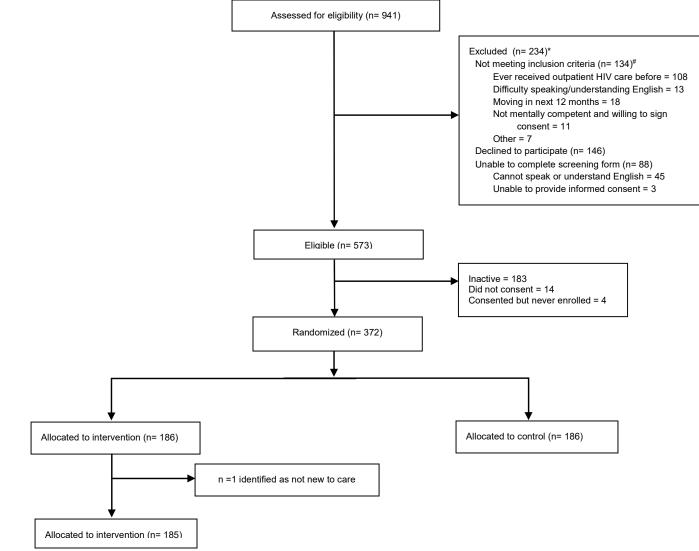
- 19. World Health Organization, *HIV Status Disclosure to Sexual Partners: Rates, Barriers and Outcomes for Women.* 2004.
- 20. Mattson, C.L., Freedman, M., Beer, L., Sullivan, P., Skarbinski, J., *Prevalence* and *Predictors of HIV Disclosure Among Adults Receiving Medical Care in the United States.* 2009, Centers for Disease Control and Prevention (CDC).
- 21. Sullivan, K.M., *Male self-disclosure of HIV-positive serostatus to sex partners: a review of the literature.* J Assoc Nurses AIDS Care, 2005. **16**(6): p. 33-47.
- 22. Elopre, L., et al., *The Role of Early HIV Status Disclosure in Retention in HIV Care*. AIDS Patient Care STDS, 2015. **29**(12): p. 646-50.
- 23. Lan, C.W., et al., *Community Disclosure by People Living With HIV in Rural China*. AIDS Educ Prev, 2016. **28**(4): p. 287-98.
- 24. Wiener, L., et al., *Disclosure of an HIV diagnosis to children: history, current research, and future directions.* J Dev Behav Pediatr, 2007. **28**(2): p. 155-66.
- 25. Armistead, L., et al., *African-American women and self-disclosure of HIV infection: Rates, predictors, and relationship to depressive symptomatology.* AIDS and Behavior, 1999. **3**(3): p. 195-204.
- 26. Hays, R.B., et al., *Disclosing HIV seropositivity to significant others*. Aids, 1993. 7(3): p. 425-432.
- 27. Simoni, J.M., et al., *Women's self-disclosure of HIV infection: rates, reasons, and reactions.* Journal of consulting and clinical psychology, 1995. **63**(3): p. 474.
- Akani, C. and O. Erhabor, *Rate, pattern and barriers of HIV serostatus disclosure in a resource-limited setting in the Niger delta of Nigeria.* Tropical doctor, 2006. 36(2): p. 87-89.
- 29. Simon Rosser, B.R., et al., *Predictors of HIV disclosure to secondary partners* and sexual risk behavior among a high-risk sample of HIV-positive MSM: results from six epicenters in the US. AIDS Care, 2008. **20**(8): p. 925-30.
- 30. Kalichman, S.C. and D. Nachimson, *Self-efficacy and disclosure of HIV-positive serostatus to sex partners*. Health Psychology, 1999. **18**(3): p. 281.
- 31. Bingman, C.R., G. Marks, and N. Crepaz, *Attributions about one's HIV infection and unsafe sex in seropositive men who have sex with men.* AIDS and Behavior, 2001. **5**(3): p. 283-289.
- 32. Crepaz, N. and G. Marks, *Serostatus disclosure, sexual communication and safer sex in HIV-positive men.* AIDS care, 2003. **15**(3): p. 379-387.
- 33. Mucheto, P., et al., *Determinants of nondisclosure of HIV status among women attending the prevention of mother to child transmission programme, Makonde district, Zimbabwe, 2009.* Pan Afr Med J, 2011. **8**: p. 51.
- 34. Buma, D., et al., *The Influence of HIV-Status Disclosure on Adherence, Immunological and Virological Outcomes among HIV-Infected Patients Started on Antiretroviral Therapy in Dar-es-Salaam, Tanzania.* 2015.
- 35. Daskalopoulou, M., et al., *Non-Disclosure of HIV Status and Associations with Psychological Factors, ART Non-Adherence, and Viral Load Non-Suppression Among People Living with HIV in the UK.* AIDS Behav, 2017. **21**(1): p. 184-195.
- 36. Marks, G., J.L. Richardson, and N. Maldonado, *Self-disclosure of HIV infection to sexual partners*. American Journal of Public Health, 1991. **81**(10): p. 1321-1322.

- 37. De Rosa, C.J. and G. Marks, *Preventive counseling of HIV-positive men and selfdisclosure of serostatus to sex partners: New opportunities for prevention.* Health Psychology, 1998. **17**(3): p. 224.
- 38. Abler, L., et al., *Depression and HIV Serostatus Disclosure to Sexual Partners Among Newly HIV-Diagnosed Men Who Have Sex with Men.* AIDS Patient Care STDS, 2015. **29**(10): p. 550-8.
- 39. Crosby, R.A., L. Mena, and T. Arnold, *Disclosure of newly diagnosed HIV infection and condom use at first sex after diagnosis: a study of young Black men who have sex with men.* Sex Health, 2017. **14**(4): p. 325-330.
- 40. Hart, T.A., et al., *Partner awareness of the serostatus of HIV-seropositive men who have sex with men: impact on unprotected sexual behavior.* AIDS Behav, 2005. **9**(2): p. 155-66.
- 41. Hightow-Weidman, L.B., et al., *Baseline clinical characteristics, antiretroviral therapy use, and viral load suppression among HIV-positive young men of color who have sex with men.* AIDS Patient Care STDS, 2011. **25 Suppl 1**: p. S9-14.
- 42. Hightow-Weidman, L.B., et al., *Patterns of HIV disclosure and condom use among HIV-infected young racial/ethnic minority men who have sex with men.* AIDS Behav, 2013. **17**(1): p. 360-8.
- 43. Conserve, D.F., et al., *Determinants of HIV serostatus disclosure to sexual* partner among HIV-positive alcohol users in Haiti. AIDS Behav, 2014. **18**(6): p. 1037-45.
- 44. Clum, G.A., et al., *Strategies and outcomes of HIV status disclosure in HIVpositive young women with abuse histories.* AIDS Patient Care STDS, 2013. 27(3): p. 191-200.
- 45. Comer, L.K., et al., *Illness disclosure and mental health among women with HIV/AIDS*. Journal of Community & Applied Social Psychology, 2000. **10**(6): p. 449-464.
- 46. Hult, J.R., et al., *Disclosure and nondisclosure among people newly diagnosed with HIV: an analysis from a stress and coping perspective.* AIDS Patient Care STDS, 2012. **26**(3): p. 181-90.
- 47. Olley, B.O., S. Seedat, and D.J. Stein, *Self-disclosure of HIV serostatus in recently diagnosed patients with HIV in South Africa*. Afr J Reprod Health, 2004.
  8(2): p. 71-6.
- 48. Skunodom, N., et al., *Factors associated with non-disclosure of HIV infection status of new mothers in Bangkok.* Southeast Asian J Trop Med Public Health, 2006. **37**(4): p. 690-703.
- 49. Winchester, M.S., et al., *Early HIV disclosure and nondisclosure among men and women on antiretroviral treatment in Uganda*. AIDS Care, 2013. **25**(10): p. 1253-8.
- 50. Trinh, T.T., et al., *Partner Disclosure and Early CD4 Response among HIV-Infected Adults Initiating Antiretroviral Treatment in Nairobi Kenya*. PLoS One, 2016. **11**(10): p. e0163594.
- 51. Modi, R., et al., Assessing effects of behavioral intervention on treatment outcomes among patients initiating HIV care: Rationale and design of iENGAGE intervention trial. Contemp Clin Trials, 2018. **69**: p. 48-54.

- 52. Kitahata, M.M., et al., *Cohort profile: the Centers for AIDS Research Network of Integrated Clinical Systems.* Int J Epidemiol, 2008. **37**(5): p. 948-55.
- 53. Kroenke, K., et al., *The PHQ-8 as a measure of current depression in the general population.* Journal of affective disorders, 2009. **114**(1): p. 163-173.
- 54. Monahan, P.O., et al., *Validity/reliability of PHQ-9 and PHQ-2 depression scales among adults living with HIV/AIDS in western Kenya*. Journal of General Internal Medicine, 2009. **24**(2): p. 189-197.
- Spitzer, R.L., K. Kroenke, and J.B. Williams, Validation and utility of a self-report version of PRIME-MD: the PHQ primary care study. Primary Care Evaluation of Mental Disorders. Patient Health Questionnaire. Jama, 1999. 282(18): p. 1737-44.
- 56. Gjesfjeld, C.D., C.G. Greeno, and K.H. Kim, *A confirmatory factor analysis of an abbreviated social support instrument: The MOS-SSS.* Research on Social Work Practice, 2008. **18**(3): p. 231-237.
- 57. Dour, H.J., et al., *Perceived social support mediates anxiety and depressive symptom changes following primary care intervention*. Depression and anxiety, 2014. **31**(5): p. 436-442.
- 58. Health, R., Social Support Survey Instrument Scoring Instructions.
- 59. Bunn, J.Y., et al., *Measurement of stigma in people with HIV: a reexamination of the HIV Stigma Scale.* AIDS Educ Prev, 2007. **19**(3): p. 198-208.
- 60. Earnshaw, V.A., et al., *Development and psychometric evaluation of the Chronic Illness Anticipated Stigma Scale*. J Behav Med, 2013. **36**(3): p. 270-82.
- 61. Carver, C.S., *You want to measure coping but your protocol' too long: Consider the brief cope.* International Journal of Behavioral Medicine, 1997. **4**(1): p. 92.
- 62. Carver, C.S., M.F. Scheier, and J.K. Weintraub, *Assessing coping strategies: a theoretically based approach*. J Pers Soc Psychol, 1989. **56**(2): p. 267-83.
- 63. Meyer, B., *Coping with Severe Mental Illness: Relations of the Brief COPE with Symptoms, Functioning, and Well-Being.* Journal of Psychopathology and Behavioral Assessment, 2001. **23**(4): p. 265-277.
- 64. Katz, M.H., et al., *Prevalence and predictors of unmet need for supportive services among HIV-infected persons: impact of case management.* Med Care, 2000. **38**(1): p. 58-69.
- 65. Mathews, W.C. and S. May, *EuroQol (EQ-5D) measure of quality of life predicts mortality, emergency department utilization, and hospital discharge rates in HIV-infected adults under care.* Health Qual Life Outcomes, 2007. **5**: p. 5.
- 66. Johnson, M.O., et al., *The role of self-efficacy in HIV treatment adherence: validation of the HIV Treatment Adherence Self-Efficacy Scale (HIV-ASES).* Journal of behavioral medicine, 2007. **30**(5): p. 359-370.
- 67. Bradley, K.A., et al., *Two brief alcohol-screening tests From the Alcohol Use Disorders Identification Test (AUDIT): validation in a female Veterans Affairs patient population.* Arch Intern Med, 2003. **163**(7): p. 821-9.
- 68. World Health Organization, *Management of Substance Abuse: The WHO ASSIST Project.* 2006.
- 69. Organization, W.H., *The Alcohol, Smoking and Substance Involvement Screening Test (ASSIST): manual for use in primary care.* Geneva: World Health Organization, 2010.

- Chaudoir, S.R., J.D. Fisher, and J.M. Simoni, Understanding HIV disclosure: a review and application of the Disclosure Processes Model. Soc Sci Med, 2011.
   72(10): p. 1618-29.
- 71. Pinkerton, S.D. and C.L. Galletly, *Reducing HIV transmission risk by increasing serostatus disclosure: a mathematical modeling analysis.* AIDS and Behavior, 2007. **11**(5): p. 698.
- 72. Forbat, L., et al., *The role of interpersonal relationships in men's attendance in primary care: qualitative findings in a cohort of men with prostate cancer.* Support Care Cancer, 2014. **22**(2): p. 409-15.
- 73. Gari, S., et al., *Sex differentials in the uptake of antiretroviral treatment in Zambia*. AIDS Care, 2014. **26**(10): p. 1258-62.
- 74. Jeffries, M. and S. Grogan, 'Oh, I'm just, you know, a little bit weak because I'm going to the doctor's': young men's talk of self-referral to primary healthcare services. Psychol Health, 2012. **27**(8): p. 898-915.
- 75. Smith, J.A., A. Braunack-Mayer, and G. Wittert, *What do we know about men's help-seeking and health service use?* Med J Aust, 2006. **184**(2): p. 81-3.
- 76. Halperin, J., I. Pathmanathan, and L.E. Richey, *Disclosure of HIV status to social networks is strongly associated with increased retention among an urban cohort in New Orleans*. AIDS Patient Care STDS, 2013. **27**(7): p. 375-7.
- Amoran, O.E., Predictors of disclosure of sero-status to sexual partners among people living with HIV/AIDS in Ogun State, Nigeria. Niger J Clin Pract, 2012. 15(4): p. 385-90.
- 78. Campbell, A.N., S. Tross, and D.A. Calsyn, *Substance use disorders and HIV/AIDS prevention and treatment intervention: research and practice considerations.* Soc Work Public Health, 2013. **28**(3-4): p. 333-48.
- 79. Bing, E.G., et al., *Psychiatric disorders and drug use among human immunodeficiency virus-infected adults in the United States.* Arch Gen Psychiatry, 2001. **58**(8): p. 721-8.
- Edwards, M., et al., Implementation of PHQ-9 depression screening for HIVinfected patients in a real-world setting. J Assoc Nurses AIDS Care, 2014. 25(3): p. 243-52.
- 81. Pence, B.W., et al., Assessing the effect of Measurement-Based Care depression treatment on HIV medication adherence and health outcomes: rationale and design of the SLAM DUNC Study. Contemp Clin Trials, 2012. **33**(4): p. 828-38.

Figure 1. Participant flow diagram for all new to care patients across 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016.



\*93 of the 234 were not new to care participants; # There were 5 questions for participants to meet the inclusion criteria and participants can choose multiple reasons.

Variables	Overall n=371		HIV Disclosure n=370		Disclosure patterns n=369	
		Yes	No	Non-	Selective	Broad
		n = 290	n = 80	disclosure n = 80	Disclosure n = 56	Disclosure n = 233
Socio-demographic						
factors						
Age (years)	37.1 (±12)	36.8(±12)	38.4(±12.1)	38.4 (±12.1)	37.2 (±13)	36.6 (±11.7)
Sex						
Male	294 (79.3)	227 (78.3)	66 (82.5)	66 (82.5)	39 (69.6)	188 (80.7)
Female	71 (19.1)	57 (19.7)	14 (17.5)	14 (17.5)	15 (26.8)	41 (17.6)
Transgender	6 (1.6)	6 (2.1)	0	0	2 (3.6)	4 (1.7)
Race						
Black	231 (62.3)	163 (56.2)	67 (83.8)	67 (83.8)	41 (73.2)	121 (52.9)
White	109 (29.4)	98 (33.8)	11 (13.8)	11 (13.8)	10 (17.9)	88 (37.8)
Other	31 (8.4)	29 (10.0)	2 (2.5)	2 (2.5)	5 (8.9)	24 (10.3)
Ethnicity						
Hispanic	20 (5.4)	19 (6.6)	1 (1.3)	1 (1.3)	3 (5.4)	16 (6.9)
Non-Hispanic	351 (94.6)	271 (93.5)	79 (98.8)	79 (98.8)	53 (94.6)	217 (92.1)
Insurance						
None	87 (23.6)	71 (24.7)	15 (19)	15 (19)	13 (23.2)	58 (25.1)
Private	107 (29.1)	87 (30.2)	20 (25.3)	20 (25.3)	19 (33.9)	68 (29.4)
Public ART <sup>1</sup>	174 (47.3)	130 (45.1)	44 (55.7)	44 (55.7)	24 (42.9)	105 (45.5)
Yes	114 (30.7)	88 (30.3)	25 (31.3)	25 (31.3)	18 (32.1)	70 (30)

Table 1. Baseline patient characteristics by HIV disclosure status and patterns of HIV disclosure at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 (n = 371).

No	257 (69.3)	202 (69.7)	55 (68.8)	55 (68.8)	38 (67.9)	163 (70)
Baseline CD4 count,						
cells/ml of blood						
<200	85 (24.3)	65 (24.4)	20 (26.7)	20 (26.7)	10 (18.9)	55 (25.9)
200-300	83 (24.9)	60 (22.6)	23 (30.7)	23 (30.7)	11 (20.8)	49 (23.1)
≥350	174 (50.9)	141 (53.0)	32 (42.7)	32 (42.7)	32 (60.4)	108 (50.9)
Baseline VL value <sup>2</sup> ,						
copies/ml of blood						
<200	16 (4.3)	15 (5.2)	1 (1.3)	1 (1.3)	2 (3.6)	13 (5.6)
>=200	348(93.8)	269 (92.8)	78 (97.5)	78 (97.5)	53 (94.6)	215 (92.3)
Missing	7 (1.9)	6 (2.1)	1 (1.3)	1 (1.3)	1 (1.8)	5 (2.2)
<b>Psychosocial factors</b>						
Depression						
No	241(69.3)	189 (70.3)	51 (65.4)	51 (65.4)	35 (66)	154 (71.3)
Yes	107 (30.8)	80 (29.7)	27 (34.6)	27 (34.6)	18 (34)	62 (28.7)
Anxiety						
Yes	113 (31.4)	94 (33.2)	19 (25)	19 (25)	15 (27.3)	78 (34.4)
No	247 (68.6)	189 (66.8)	57 (75)	57 (75)	40 (72.7)	149 (65.6)
Social support score	57 (±29.1)	59.4	48.5	48.5 (±26.9)	54.8	60.5 (±29.6)
		(±29.3)	(±26.9)		(±27.6)	
Quality of life						
No Mobility	317(85.9)	250 (86.5)	66 (83.5)	66 (83.5)	45 (80.4)	205 (88.4)
No Self-Care	358 (97.3)	280 (97.2)	77 (97.5)	77 (97.5)	54 (98.2)	225 (97)
No Usual activities	300 (81.1)	236 (81.7)	63 (78.8)	63 (78.8)	47 (83.9)	188 (81)
No Pain	209 (57)	165 (57.7)	43 (53.8)	43 (53.8)	30 (54.6)	135 (58.7)
No Depression /	163 (44.7)	129 (44.8)	35 (43.8)	35 (43.8)	27 (49.1)	101 (43.5)
Anxiety						
Stigma						
Enacted stigma	2.2 (±0.7)	2.2 (±0.7)	2.3 (±0.7)	2.3 (±0.7)	2.4 (±0.8)	2.1 (±0.7)
Disclosure concerns	3.1 (±0.6)	3.0 (±0.6)	3.2 (±0.5)	3.2 (±0.5)	3.3 (±0.5)	3.0 (±0.6)
Internalized stigma	2.3 (±0.7)	2.3 (±0.7)	2.4 (±0.8)	2.4 (±0.8)	2.5 (±0.7)	2.2 (±0.7)

Public stigma	2.7 (±0.7)	2.7 (±0.7)	2.8 (±0.7)	2.8 (±0.7)	2.9 (±0.7)	2.6 (±0.7)
Anticipated stigma	/ / .					
Family	2.7 (±1.4)	2.7 (±1.4)	2.8 (±1.3)	2.8 (±1.3)	2.9 (±1.4)	2.6 (±1.4)
Friends	2.8 (±1.3)	2.7 (±1.2)	3.1 (±1.3)	3.1 (±1.3)	3.0 (±1.3)	2.6 (±1.2)
Healthcare provider	1.8 (±0.9)	$1.8 (\pm 0.9)$	1.7 (±0.9)	$1.7 (\pm 0.9)$	$2.0(\pm 1.1)$	1.8 (±0.9)
Coping Behavior						
Active coping	6.7 (±1.7)	6.9 (±1.6)	6 (±2.2)	6 (±2.2)	7 (±1.3)	6.8 (±1.6)
Denial	3.6 (±1.9)	3.5 (±1.9)	3.7 (±1.9)	3.7 (±1.9)	4 (±1.9)	3.4 (±1.9)
Substance use	3.1 (±1.8)	3.2 (±19)	2.9 (±1.5)	2.9 (±1.5)	3.0 (±2.0)	3.2 (±1.8)
Emotional support	5.2 (±2.2)	5.6 (±2.0)	3.6 (±1.9)	3.6 (±1.9)	4.7 (±2.1)	5.8 (±2)
Behavioral	2.7 (±1.2)	2.7 (±1.3)	2.6 (±1.2)	2.6 (±1.2)	2.5 (±1.2)	2.7 (±1.3)
disengagement						
Positive reframing	5.7 (±2)	5.8 (±1.9)	5.3 (±2.0)	5.3 (±2.0)	5.5 (±2.0)	5.9 (±1.9)
Acceptance	6.8 (±1.5)	6.9 (±1.4)	6.5 (±1.8)	6.5 (±1.8)	6.5 (±1.7)	7 (±1.4)
Religion	5.5 (±2.2)	5.5 (±2.2)	5.3 (±2.3)	5.3 (±2.3)	6.1 (±2.1)	5.3 (±2.2)
Self-blame	4.7 (±2.1)	4.7 (±2.1)	4.7 (±2.2)	4.7 (±2.2)	4.6 (±2.2)	4.7 (±2.1)
HIV treatment self-	9 (±1.5)	9 (±1.5)	9 (±1.3)	9 (±1.3)	8.9 (±1.8)	9 (±1.4)
efficacy						
Supportive services						
needed in last 6 months						
Financial assistance <sup>3</sup>	179(49.2)	137 (48.2)	42 (52.5)	42 (52.5)	22 (40)	114 (50)
Household	194 (52.9)	145 (50.5)	49 (61.3)	49 (61.3)	22 (40.7)	122 (52.6)
expenditure <sup>4</sup>						
Substance use	125 (34)	109 (37.9)	16 (20)	16 (20)	11 (19.6)	98 (42.3)
treatment or						
counseling						
Sexual risk factors						
Transmission risk <sup>5</sup>						
MSM	219 (60)	175 (61.4)	43 (54.4)	43 (54.4)	35 (62.5)	218 (60.1)
Heterosexual	117 (32.1)	87 (30.5)	30 (38)	30 (38)	20 (35.7)	66 (29)
IDU	29 (8)	23 (8.1)	6 (7.6)	6 (7.6)	1 (1.8)	22 (9.7)

Sex partners						
0	76 (20.5)	59 (20.3)	17 (21.25)	17 (21.3)	11 (19.6)	48 (20.6)
1	100 (27)	74 (25.5)	26 (32.50)	26 (32.5)	19 (33.9)	55 (23.6)
2	55 (14.8)	38 (13.1)	17 (21.25)	17 (21.3)	5 (8.9)	32 (13.7)
3	38 (10.2)	31 (10.7)	7 (8.75)	7 (8.8)	9 (16.1)	22 (9.4)
4-5	40 (10.8)	33 (11. 4)	6 (7.50)	6 (7.5)	8 (14.3)	25 (10.7)
≥6	62 (16.8)	55 (19)	7 (8.75)	7 (8.8)	4 (7.1)	51 (21.9)
Alcohol use						
No risk	191 (52.3)	143 (50.2)	48 (61.5)	48 (61.5)	33 (60)	110 (48)
Low risk	46 (12.6)	35 (12.3)	11 (14.10)	11 (14.1)	7 (12.7)	28 (12.2)
High risk	127 (34.9)	107 (37.5)	19 (24.4)	19 (24.4)	15 (27.3)	91 (39. 7)
Substance use						
Never	198 (55.8)	148 (53.2)	50 (65.8)	50 (65.8)	38 (69.1)	110 (49.3)
Prior	93 (26.2)	83 (29.9)	10 (13.2)	10 (13.2)	10 (18.2)	73 (32.7)
Current	64 (18)	47 (16.9)	16 (21.1)	16 (21.1)	7 (12.7)	40 (17.9)
Other factors						
Site						
UAB	153 (41.2)	111 (38.3)	42 (52.5)	42 (52.5)	21 (37.5)	90 (38.6)
UNC	76 (20.5)	62 (21.4)	13 (16.3)	13 (16.3)	13 (23.2)	49 (21)
JHU	78 (21)	63 (21.7)	15 (18.8)	15 (18.8)	17 (30.4)	45 (19.3)
UW	64 (17.3)	54 (18. 6)	10 (12.5)	10 (12.5)	5 (8.9)	49 (21)
Study arm						
Control	186 (50.1)	146 (50.3)	40 (50)	40 (50)	28 (50)	118 (50.6)
Intervention	185 (49.9)	144 (49.7)	40 (50)	40 (50)	28 (50)	115 (49.4)

Numbers in the table represent n (%) for categorical variables and means (±Standard Deviation) for continuous variables <sup>1</sup>ART – antiretroviral therapy <sup>2</sup>VL value – viral load value

<sup>3</sup>Financial assistance category includes financial, employment, benefits assistance
 <sup>4</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare
 <sup>5</sup>Transmission risk – MSM – men who have sex with men, IDU – injection drug users

<sup>6</sup> Site - UAB – University of Alabama at Birmingham; UNC – University of North Carolina at Chapel Hill; JHU – Johns Hopkins University at Baltimore; UW – University of Washington at Seattle

Table 2: Unadjusted logistic and multinomial logistic regression models for HIV disclosure status and patterns of HIV
disclosure at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016.

Variables	HIV Disclosure (n=370)	HIV disclosu (n=3	-	
	Yes OR (95%CI)	Selective Disclosure OR (95%CI)	Broad Disclosure OR (95%CI)	
Socio-demographic factors				
Age (years) 10 unit change	0.90 (0.73, 1.10)	0.99 (0.97, 1.02)	0.99 (0.97, 1.01)	
Gender				
Male	0.76 (0.40, 1.45)	0.49 (0.22, 1.10)	0.89 (0.46,1.72)	
Female/Transgender	Ref	Ref	Ref	
Race				
Black	0.28 (0.14 0.54)	0.67 (0.26, 1.72)	0.23 (0.11, 0.45)	
Other	1.63 (0.34, 7.77)	2.75 (0.43, 17.49)	1.5 (0.31, 7.23)	
White	Ref	Ref	Ref	
Ethnicity				
Hispanic	5.54 (0.73, 42.02)	4.47 (0.45, 44.14)	5.83 (0.76, 44.64)	
Non-Hispanic	Ref	Ref	Ref	
Insurance				
None	1.09 (0.52, 2.28)	0.91 (0.35, 2.41)	1.14 (0.53, 2.42)	
Public	0.68 (0.38, 1.23)	0.57 (0.26, 1.28)	0.70 (0.38, 1.29)	
Private	Ref	Ref	Ref	
$ART^{1}$				
Yes	0.96 (0.56, 1.64)	1.04 (0.50, 2.17)	0.95 (0.55, 1.64)	
No	Ref	Ref	Ref	
Baseline CD4 count, cells/ml of				
blood				
200-350	0.80 (0.40, 1.60)	0.96 (0.34, 2.72)	0.78 (0.38, 1.58)	

≥350 <200	1.36 (0.72, 2.55) Ref	2.00 (0.81, 4.93) Ref)	1.23 (0.64, 2.34) Ref
Baseline VL value, copies/ml of		,	
blood <sup>2</sup>			
≥200	0.23 (0.03, 1.77)	0.34 (0.03, 3.84)	0.21 (0.03, 1.65)
Missing	0.40 (0.02, 7.48)	0.50 (0.01, 19.56)	0.39 (0.02, 7.40)
<200	Ref	Ref	Ref
Psychosocial factors			
Depression			
Yes	0.80 (0.47, 1.37)	0.97 (0.47, 2.03)	0.76 (0.44,1.32)
No	Ref	Ref	Ref
Anxiety			/
Yes	1.49 (0.84, 2.65)	1.13 (0.51, 2.48)	1.57 (0.87,2.8)
No	Ref	Ref	Ref
Social support score	1.01 (1.00, 1.02)	1.01 (1.00, 1.02)	1.02 (1.01, 1.02)
Quality of life			
No mobility	1.26 (0.64, 2.51)	0.81 (0.33, 1.96)	1.50 (0.73, 3.07)
No Self-Care	0.91 (0.19, 4.37)	1.40 (0.12, 15.81)	0.84 (0.17, 4.11)
No Usual activities	1.20 (0.65, 2.22)	1.41 (0.58, 3.44)	1.15 (0.62, 2.16)
No Pain	1.17 (0.71,1.93)	1.03 (0.52, 2.06)	1.22 (0.73, 2.04)
No Depression/Anxiety	1.04 (0.63, 1.72)	1.24 (0.62, 2.47)	0.99 (0.59, 1.66)
Stigma			
Enacted stigma	0.81 (0.56, 1.17)	1.23 (0.74, 2.05)	0.74 (0.50, 1.08)
Disclosure concerns	0.68 (0.44, 1.07)	1.52 (0.79, 2.94)	0.57 (0.36, 0.92)
Internalized stigma	0.82 (0.58, 1.16)	1.33 (0.82, 2.16)	0.73 (0.51, 1.05)
Public stigma	0.85 (0.58, 1.23)	1.36 (0.80, 2.33)	0.75 (0.51, 1.11)
Anticipated stigma			
Family	0.92 (0.76, 1.10)	1.01 (0.79, 1.31)	0.89 (0.74, 1.08)
Friends	0.79 (0.65, 0.97)	0.98 (0.74, 1.30)	0.76 (0.62, 0.93)
Healthcare provider	1.10 (0.83, 1.45)	1.29 (0.90, 1.84)	1.05 (0.79, 1.39)
Coping			

	Active coping	1.29 (1.11, 1.49)	1.35 (1.07, 1.70)	1.27 (1.10, 1.48)
	Denial	0.97 (0.84, 1.11)	1.09 (0.90, 1.31)	0.94 (0.81, 1.08)
	Substance use	1.10 (0.95, 1.29)	1.06 (0.86, 1.30)	1.12 (0.95, 1.32)
	Emotional support	1.62 (1.40, 1.88)	1.33 (1.10, 1.61)	1.71 (1.47, 2.00)
	Behavioral disengagement	1.09 (0.87, 1.36)	0.96 (0.69, 1.34)	1.12 (0.89, 1.40)
	Positive reframing	1.17 (1.02, 1.33)	1.06 (0.88, 1.28)	1.12 (0.09, 1.40)
	Acceptance	1.19 (1.02, 1.39)	1.01 (0.82, 1.26)	1.25 (1.06, 1.47)
	Religion	1.03 (0.92, 1.16)	1.18 (1.00, 1.39)	1.25(1.00, 1.47) 1.00(0.89, 1.12)
	Self-blame	1.00 (0.89, 1.13)	0.98 (0.83, 1.15)	1.00 (0.89, 1.12)
	HIV treatment self-efficacy	0.99 (0.84, 1.18)	0.98 (0.85, 1.15)	1.00 (0.89, 1.13)
	Supportive service needs in last	0.99(0.04, 1.10)	0.95(0.70, 1.19)	1.01 (0.63, 1.21)
	6 months			
	Financial assistance <sup>3</sup>	1.03 (0.68, 1.56)	0.60 (0.30, 1.21)	$0.01 (0.54 \ 1.51)$
				0.91 (0.54, 1.51)
	Household expenditure <sup>4</sup>	0.65(0.39, 1.07)	0.44 (0.22, 0.88)	0.70 (0.42, 1.18)
А	Substance use treatment or counseling	2.44 (1.34, 4.43)	0.98 (0.42, 2.30)	2.93 (1.60, 5.37)
43	Sexual risk factors			
	Transmission risk <sup>5</sup>			
	MSM	1.40 (0.82, 2.39)	1.22 (0.59, 2.51)	1.48 (0.85, 2.57)
	IDU	1.32 (0.49, 3.56)	0.25 (0.03, 2.24)	1.67 (0.61, 4.53)
	Heterosexual	Ref	Ref	Ref
	Sex partners	Rei	IXC1	Ku
	1	0.82 (0.41, 1.65)	1.13 (0.43, 2.96)	`0.75 (0.36, 1.55)
	2	0.64 (0.29, 1.41)	0.46 (0.13, 1.59)	0.67 (0.30, 1.50)
	3	1.28 (0.48, 3.41)	1.99 (0.57, 6.90)	1.11 (0.40, 3.07)
	3 4-5			· · · · · · · · · · · · · · · · · · ·
		1.59 (0.57, 4.41)	2.06 (0.56, 7.58)	1.48 (0.52, 4.21)
	$\geq 6$	2.26 (0.87, 5.88)	0.88 (0.21, 3.74)	2.58 (0.98, 6.77)
		Ref	Ref	Ref
	Alcohol use	1.07.(0.50.2.27)		1 11 (0 51 0 41)
	Low risk	1.07 (0.50, 2.27)	0.93 (0.33, 2.64)	1.11 (0.51, 2.41)
	High risk	1.89 (1.05, 3.40)	1.15 (0.51, 2.58)	2.09 (1.15, 3.81)

No Risk	Ref	Ref	Ref
Substance use			
Prior	2.80 (1.35, 5.82)	1.32 (0.50, 3.48)	3.31 (1.58, 6.96)
Current	0.99 (0.52,1.90)	0.58 (0.22, 1.54)	1.14 (0.58, 2.22)
Never	Ref	Ref	Ref
Other factors			
Site <sup>6</sup>			
UAB	0.49 (0.23, 1.05)	1.00 (0.30, 3.30)	0.44 (0.20, 0.95)
UNC	0.88 (0.36, 2.18)	2.00 (0.53, 7.49)	0.77 (0.31, 1.92)
JHU	0.78 (0.32, 1.87)	2.27 (0.63, 8.14)	0.61 (0.25, 1.50)
UW	Ref	Ref	Ref
Study arm			
Intervention	0.99 (0.60, 1.62)	1.0 (0.51, 1.98)	0.98 (0.59, 1.62)
Control	Ref	Ref	Ref

 $\overline{OR} = \text{odds ratio}; CI = \text{confidence interval}$ 

<sup>1</sup>ART – antiretroviral therapy

<sup>2</sup>VL value – viral load value

<sup>3</sup>Financial assistance category includes financial, employment, benefits assistance

<sup>4</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare

<sup>5</sup>Transmission risk – MSM – men who have sex with men, IDU – injection drug users

<sup>6</sup> Site: UAB – University of Alabama at Birmingham; UNC – University of North Carolina at Chapel Hill; JHU – Johns Hopkins

University at Baltimore; UW – University of Washington at Seattle

Variables	HIV Disclosure (Yes/No) Adjusted Model n=223	HIV Disclosure (Yes/No) Parsimonious Model n=348
	OR (95%CI)	OR (95%CI)
Age	0.98 (0.94, 1.02)	
Gender		
Male	0.14 (0.03, 0.75)	
Female	Ref	
Race		
Black	0.46 (0.15, 1.43)	0.28 (0.13, 0.58)
Other <sup>1</sup>	1.24 (0.15, 9.96)	1.77 (0.35, 9.01)
White	Ref	Ref
Ethnicity		
Hispanic	3.43 (0.24, 49.16)	
Non-Hispanic	Ref	
Substance use		
Prior	4.07 (1.02, 16.14)	
Current	0.30 (0.07 1.33)	
Never	Ref	
Alcohol use		
Low risk	1.18 (0.28, 4.98)	
High risk	2.31 (0.79, 6.72)	
No risk	Ref	
Depression		
Yes	0.83 (0.26, 2.65)	
No	Ref	
Anxiety		
Yes	1.21 (0.41, 3.53)	
No	Ref	
Supportive services		
in last 6 months		
Substance use	2.59 (0.91, 7.40)	2.07 (1.05, 4.07)
treatment or		( ), ,
counseling		
Housing	0.71 (0.27, 1.82)	
expenditure <sup>2</sup>		
Baseline CD4 count,		
cells/ml of blood		
200-350	0.69 (0.19, 2.59)	
>350	0.79 (0.24 2.66)	
<200	Ref	
Coping behavior		

Table 3: Adjusted logistic regression models for HIV disclosure status at the 4 USHIV clinics enrolled in the iENGAGE study during 2013-2016.

Active coping	1.37 (1.04, 1.82)	
Use of emotional	1.61 (1.22, 2.11)	1.62 (1.39, 1.89)
support		
Acceptance	0.89 (0.64, 1.23)	
Positive Reframing	0.78 (0.57, 1.07)	
Anticipated Stigma	1.01 (0.67, 1.50)	
from friends		
Social support score	1.01 (0.99, 1.02)	
Transmission risk <sup>3</sup>		
MSM	1.80 (0.50, 6.44)	
IDU	3.45 (0.44, 27.08)	
Heterosexual	Ref	
Sex partners		
1	0.30 (0.06, 1.40)	
2	0.38 (0.08, 1.89)	
3	0.40 (0.06, 2.62)	
4-5	0.68 (0.12, 3.93)	
$\geq 6$	0.39 (0.07, 2.27)	
0	Ref	
Site <sup>4</sup>		
UAB	0.77 (0.20, 3.03)	
UNC	3.27 (0.64, 16.55)	
JHU	2.07 (0.42, 10.24)	
UW	Ref	
OR = odds ratio: CI = co	nfidence interval	

 $\overline{OR} = \text{odds ratio}; CI = \text{confidence interval}$ <sup>1</sup>Other race category includes Native American, Asian or other

<sup>2</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare

 $^{3}$  Transmission risk – MSM – men who have sex with men, IDU – injection drug users <sup>4</sup> Site: UAB – University of Alabama at Birmingham; UNC – University of North

Carolina at Chapel Hill; JHU – Johns Hopkins University at Baltimore; UW – University of Washington at Seattle

Variables	Patterns of HIV disclosure Adjusted Model n = 234		Patterns of HI Parsimonic n = 3	ous Model
	n – Selective disclosure	Broad disclosure	Selective disclosure	Broad disclosure
	OR (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)
Age	0.98 (0.93, 1.03)	0.98 (0.95, 1.02)	· · · · · ·	\$ * *
Gender				
Male	0.27 (0.05, 1.43)	0.39 (0.12, 1.60)	0.28 (0.09, 0.85)	0.54 (0.21, 1.42)
Female	Ref	Ref	Ref	Ref
Race				
Black	0.62 (0.13, 3.05)	0.30 (0.10, 0.94)	0.66 (0.22, 2.03)	0.23 (0.10, 0.53)
Other <sup>1</sup>	3.21 (0.26, 40.19)	1.21 (0.15, 10.15)	4.75 (0.67, 33.61)	1.74 (0.32, 9.30)
White	Ref	Ref	Ref	Ref
Ethnicity				
Hispanic	0.70 (0.02, 23.16)	3.40 (0.25, 46.75)		
Non-Hispanic	Ref	Ref		
Social support	1.01 (0.99, 1.04)	1.00 (0.98, 1.02)		
score				
Alcohol use				
Low risk	0.78 (0.13, 4.72)	0.79 (0.20, 3.18)		
High risk	1.16 (0.34, 3.95)	1.74 (0.68, 4.43)		
Supportive				
services needed				
in last 6 months				
Substance use treatment or counseling	0.48 (0.11, 2.08)	2.49 (0.95, 6.53)	0.58 (0.19, 1.84)	2.47 (1.12, 5.51)

Table 4: Adjusted multinomial logistic regression models for patterns of HIV disclosure at the 4 US HIV clinics enrolled in the IENGAGE study during 2013-2016.

Household expenditure <sup>2</sup> Baseline CD4 count (cells/ml of blood)	0.69 (0.21, 2.24)	0.92 (0.37, 2.30)		
200-350	0.64 (0.10, 4.05)	0.75 (0.22, 2.49)		
>350	2.92 (0.65, 13.14)	0.73 (0.24, 2.22)		
<200	Ref	Ref		
Coping				
Active coping	1.34 (0.90, 1.99)	1.19 (0.91, 1.55)	1.43 (1.07, 1.90)	1.07 (0.88, 1.32)
Use of	1.36 (0.97, 1.89)	1.73 (1.34, 2.23)	1.42 (1.13, 1.79)	1.75 (1.45, 2.12)
emotional				
support				
Behavioral	0.94 (0.32, 2.76)	1.62 (0.79, 3.31)		
disengagement				
Acceptance	0.59 (0.37, 0.92)	0.80 (0.56, 1.13)	0.73 (0.55, 0.96)	0.95 (0.75, 1.19)
Positive	0.82 (0.55, 1.21)	0.88 (0.66, 1.18)		
Reframing				
Religion	1.88 (0.9, 3.64)	1.01 (0.64, 1.60)		
Anticipated	1.10 (0.57, 2.12)	0.92 (0.58, 1.46)		
Stigma from				
friends				
Site <sup>3</sup>				
UAB	0.41(0.05, 3.18)	0.83 (0.22, 3.15)		
UNC	1.32 (0.16, 11.32)	2.99 (0.63, 14.15)		
JHU	0.78 (0.10, 6.10)	0.90 (0.21, 3.87)		
UW	Ref	Ref		
Stigma				
Disclosure	2.10 (0.57, 7.69)	0.89 (0.35, 2.26)		
concerns				

Negative self- 0.97 (0.38, 2.48) 0.58 (0.27, 1.24)

image

 $\overline{OR} = \text{odds ratio}; CI = \text{confidence interval}$ 

<sup>1</sup>Other includes Native American, Asian and other race

<sup>2</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare

<sup>3</sup>Site: UAB – University of Alabama at Birmingham; UNC – University of North Carolina at Chapel Hill; JHU – Johns Hopkins University at Baltimore; UW – University of Washington at Seattle

# IMPACT OF HIV DISCLOSURE ON RETENTION IN CARE AND VIRAL LOAD SUPPRESSION AMONG NEW TO HIV CARE PATIENTS

by

# RIDDHI A. MODI, GERALD MCGWIN JR., JAMES H. WILLIG, RUSSELL L. GRIFFIN, KIMBERLY D. MARTIN, MICHAEL J. MUGAVERO

In Preparation for 'AIDS Care'

Format adapted for dissertation

#### ABSTRACT

**Introduction:** Viral load (VL) suppression plays an important role in achieving better survival outcomes and HIV prevention. HIV disclosure is identified as a barrier to engage and retain patients early in HIV care to achieve VL suppression. We aimed to evaluate the impact of any HIV disclosure and patterns of HIV disclosure on 48-week VL suppression, time to VL suppression, visit adherence and 4-month visit constancy among patients enrolled in iENGAGE (integrating ENGagement and Adherence Goals upon Entry) study.

**Methods:** We conducted a retrospective cohort study using iENGAGE participants where HIV disclosure was recorded at the enrollment visit. The primary outcome was 48 week VL suppression (<200 copies per ml of blood). Secondary outcomes were time to VL suppression, during 48 weeks, visit adherence (100% versus not) and 4-month visit constancy. Logistic Regression, Ordinal Logistic Regression, and Cox Proportional Hazards models were used to evaluate the appropriate associations.

**Results:** In the adjusted analysis, the odds of 48-week VL suppression for any disclosure (OR = 0.97; 95%CI = 0.28, 3.39), selective (OR = 1.26; 95%CI = 0.20, 7.85) and broad (OR = 0.92; 95%CI = 0.26, 3.30) disclosure were similar and non-significant. Any disclosure (HR = 0.65; 95%CI = 0.44, 0.94), selective (HR = 0.77; 95%CI = 0.45, 1.32) and broad disclosure (HR = 0.63; 95%CI = 0.43, 0.92) groups were less likely to achieve VL suppression over time compared to non-disclosure group. The estimates for perfect visit adherence and 4-month visit constancy were similar for any and broad disclosure. Among selective disclosers the estimates for perfect visit adherence were higher (OR = 1.85; 95%CI = 0.57, 6.02)

and for 4-month visit constancy were minimally lower (OR = 0.65; 95%CI = 0.30, 1.42) compared to nondisclosure but were statistically non-significant

**Conclusion:** HIV disclosure did not improve retention in care (RIC) and VL suppression among new to HIV care patients. Nevertheless, adding a component for disclosure counseling to existing counseling services at initial appointment may be beneficial for new diagnosis adjustment and HIV prevention. Developing a new instrument for disclosure to capture intimacy of participants with their social network in future studies may be beneficial.

**Keywords:** HIV disclosure, VL suppression, RIC, 4-month constancy, visit adherence, new to HIV care

#### **INTRODUCTION**

People living with HIV (PLWH) continue to grow and survive longer with the availability of advanced antiretroviral therapy (ART) combinations. [1, 2]. According to CDC, of the 1.2 million PLWH about 50% are retained in care, and 28% are achieving viral load (VL) suppression [3]. The US National HIV/AIDS Strategy emphasizes the importance of engagement and retention in HIV care (RIC) [4] which ultimately helps lower the cumulative VL burden [5] and improve survival outcomes [6, 7]. Longitudinal VL suppression measure has been shown to have important consequences on health outcomes and the spread of HIV infection [5]. HIV disclosure remains one of the major psycho-social barriers to engage and retain patients early in HIV care to achieve VL suppression [8].

Few studies have evaluated the relationship of HIV disclosure with RIC and VL suppression. However, the participant selection criteria for duration of HIV and results vary. Breger (2017) showed marginal likelihood of RIC associated with HIV disclosure prior to ART initiation among ART naïve patients [9]. Elopre (2015) showed that the new to care patients who did not disclose their HIV status were 2 times more likely to be poorly retained in care during the initial year [10] and have detectable viral load values (≥200 copies/ml of blood) [11]. Conversely, other studies among patients already in HIV care, showed no association between non-disclosure and detectable VL (>50 copies /ml of blood after 6 months of ART) [1]. Prior studies suggest during the first year of HIV care, non-disclosure in HIV patients is associated with higher risk of missed appointments resulting in poor retention [10, 12] which is associated in turn with double the risk of mortality compared to those who are attended all appointments and were

retained in care [13]. A study conducted among HIV patients diagnosed in the initial 6 months of care showed that 66.7% of those who disclosed their HIV serostatus were retained in HIV care at year 1 and 2 compared to 33.3% at year 1 and 11.1% at year 2 among those who did not disclose [14]. The same study demonstrated that 66.7% of those who disclosed had visit constancy of 80% or more compared to 22.2% who chose non-disclosure [14].

HIV disclosure has been shown to be associated with components of HIV care continuum like linkage to HIV care and ART adherence [8, 9, 15] but no clear relationship has been demonstrated for other critical components like RIC and VL suppression [9] especially among new to care patients. Addressing this gap in the literature is important to achieve better HIV related health outcomes. To our knowledge, no study has examined the role of early HIV disclosure status and time to VL suppression and suitable RIC measures (visit adherence and 4-month visit constancy) among new to care patients using a multisite data. Hence, in this study we evaluated 1) the association of HIV disclosure status and patterns of disclosure with 48-week VL suppression 2) the association of HIV disclosure status and patterns of disclosure with time to VL suppression and RIC measured using visit adherence and 4-month visit constancy among new to HIV care patients enrolled within 14 days of their HIV primary care visit across 4 urban HIV clinics. We believe that gauging this relationship will delineate the role of early HIV disclosure and may improve rates of VL suppression and RIC.

#### **METHODS**

## Study Design and Setting

We conducted a retrospective cohort study using iENGAGE (integrating ENGagement and Adherence Goals upon Entry) study funded by the National Institute of Allery and Infectious Diseases (NIAID) - R01 AI 103661and clinical trials.gov NCT01900236. The iENGAGE randomized behavioral intervention trial was implemented at the 4 US HIV clinics: the University of Alabama at Birmingham (UAB), the University of North Carolina at Chapel Hill (UNC), the John Hopkins University (JHU) and the University of Washington at Seattle (UW). Institutional Review Board (IRB) approved study protocol and recruitment activities at each site and participants went through the informed consented process prior to enrollment. iENGAGE enrollment criteria included age  $\geq 18$ years with confirmed HIV infection, no prior outpatient HIV care, English speaking, not moving in next one year and willing to provide informed consent. Rationale and design of the iENGAGE study are available elsewhere [16].

#### Participant Cohort

All participant data was collected electronically using a customized web application designed for the study and stored at UAB [17].

Overall, 941 new to HIV care patients were screened, 372 participants were enrolled across sites from Dec 2013-Apr 2016 and followed for the next 48 weeks. One participant was later found to have had prior HIV care and was withdrawn resulting in a final sample of 371. At baseline and at 48-week final visits participants completed a set of questionnaires on mental health variables (depression, anxiety), alcohol use, substance use, quality of life, sexual risk assessment, coping, social support, HIV stigma, HIV disclosure, HIV related self-efficacy, and unmet needs. At the final 48-week visit patient completed additional questionnaires on HIV visit adherence and ART adherence questions and a blood draw for VL values.

# Outcomes

The primary dependent variable of the study was 48-week plasma VL suppression defined as a VL value of <200copies/ml of blood [18]. As defined by the iENGAGE study protocol 48-week VL value was collected between 46-72 weeks from date of randomization. The VL values were obtained from the central electronic database designed for the iENGAGE study [17] and Center for AIDS Research (CFAR) Network of Integrated Clinical Systems (CNICS) [19].

The secondary dependent variables of the study were: 1) time to VL suppression 2) RIC measures – visit adherence and 4-month visit constancy.

Time to VL suppression: We calculated the time to VL suppression (defined as <200 copies/ml) as the time in days from the randomization date to the first date with VL suppression during the study period. Participants were administratively censored at 48 weeks from date of randomization. We conducted sensitivity analysis for time to VL suppression using 72 weeks as the censoring time.

RIC measures: Measuring retention in care is complex and there is no gold standard established [20]. We used visit adherence and 4-month visit constancy measures. Visit adherence was used as a dichotomous variable as perfect adherence (100%) vs. not perfect (<100%). Visit constancy evaluates the proportion of pre-specified time intervals with at least one attended clinic visit during an observation period of interest [20]. As per the treatment guidelines, the time intervals ranged between 3 and 6 months [13, 21-23]. We used a 4-month interval for this study as patients newly initiating HIV outpatient HIV medical care have more frequent scheduled visits than established HIV patients.

#### Independent Variables

# Disclosure and Disclosure patterns

To assess HIV disclosure participants answered a 3-item HIV disclosure questionnaire. The first question captured disclosure to anyone: 'Have you told anyone about your HIV status, not including your health provider?' If participants responded 'yes' to the first question, the next 2 follow up questions captured information on patterns of disclosure: 'Have you told more than 1 person about your HIV status?' and 'Who have you told about your HIV status?' [choices: Spouse/ significant other, current sexual partner(s), past sexual partner(s), family member(s), friend(s), religious leader(s) (e.g., priest, rabbi, pastor/ No response/ NA - skip question)]. For data analysis, we have used HIV disclosure as dichotomous (non-disclosure and any HIV disclosure and non-disclosure) and 3-level variable [patterns of HIV disclosure – non-disclosure (disclosed to no one), selective (disclosure to only 1 group) and broad disclosure (disclosed to > 1 group)]

## Other covariates

Information on covariates was obtained using the iENGAGE screening form, questionnaires completed at enrollment visits and using the CFAR (Centers for AIDS Research) Network of Integrated Clinical Systems (CNICS) database.

### Socio-demographic variables

Socio-demographic variables included age (years), gender (Male, Female/Transgender), race (White, Black, Other (Native American, Asian), ethnicity (Hispanic, Non-Hispanic) and insurance (Yes/No).

## HIV related risk factors

ART use at enrollment (Yes (started ART prior to or on the date of enrollment)/No), baseline CD4 count (<200, 200-350cells, >350cells), and baseline VL values (<200 cells/ml of blood,  $\geq$ 200 cells/ml of blood and missing values) were captured for all participants using CNICS repository. Baseline laboratory value for plasma VL value and CD4 values were obtained closest to enrollment (timeframe: -90days, +14 days). In instances where multiple baseline VL values were available, the greater value was selected.

#### Sexual risk factors

HIV transmission risk factors [men who have sex with men (MSM), intravenous drug user (IDU), heterosexuals)] were captured for all participants using the CNICS database. If multiple transmission risk factors were reported, precedence was given to IDU followed by MSM and then heterosexuals. Substance use (cocaine/crack, amphetamines, opiates, injection drug use) was measured using the Alcohol, Smoking, and Substance Involvement Screening Test (ASSIST) [24] and was categorized as never (no drug use ever), prior (no drug use in past 3 months) and current (drug use once or twice, weekly, monthly or daily in last 3 months) [25]. Alcohol consumption in the past year was measured using a 3-item Alcohol Use Disorders Identification Test (AUDIT–C) questionnaire and was categorized as no risk [score=0-2 for men (M), 0-1 for women (W)], low risk [score = 3 (M), 2 (W)] and high risk [score = 4 (M), 3 (W)] [26]. The number of sexual partners in past 6 months was assessed using The HIV Risk Assessment for Positives (HRAP) and was categorized as 0, 1, 2, 3, 4-5,  $\geq$  6 partners.

# Psychosocial factors

Depression experienced in last 2 weeks was assessed using an 8-item Patient Health Questionnaire (PHQ)-8; rated using a 4-point Likert-like scale ('not at all'= 0 to 'nearly every day'= 3) with a score range of 0-24 and interpreted as a score  $\geq 10$  - depression and <10 - no depression [27, 28]. Anxiety experienced in the last 4 weeks was captured using a 5-item PHQ-5 questionnaire [29] with an overall score range of 0-5. Scores were categorized as no anxiety (overall score = 0) and anxiety (overall score 1-5). Perceived social support (informational, tangible, positive social interaction, and affectionate) was assessed using a 4-item abbreviated Medical Outcomes Study Social Support Survey (MOS-4) [30, 31]. A 5-point Likert scale ('none of the time' = 1 to 'all of the time' = 5). A composite score ranged from 0-100 [32]. Higher composite score, greater the perceived support. Participant's coping skills were measured using an adapted brief cope questionnaire to assess 9/14 domains: active coping, positive reframing, acceptance, religion, using emotional support, denial, substance use, behavioral disengagement, and self-blame. Each domain was measured using 2 items [33-35] and score using a 4-point Likert scale ('not doing this at all' = 1, to 'doing this all the time' = 4). An average score was recorded for each domain. Supportive services needed in last 6 months was assessed with 'unmet needs' instrument used in CDC RIC trial [15] and were categorized into 3 broad categories: counseling/substance abuse treatment; housing expenditure (housing, transportation, food, groceries, meals and childcare) and financial assistance (financial, employment, and benefits assistance). EuroQoL (EQ)-5D was used to measure the five health-related quality of life: mobility, self-care, usual activities, pain/discomfort, anxiety/depression, and responses ranged from 'no problems' = 1 to 'severe problems' =

3 [36]. Each domain was dichotomized as 'Yes' (moderate or severe problems) 'No' (no problems). A 12-item HIV Adherence Self-Efficacy Scale (HIV-ASES) was used to measure patient's confidence to accomplish treatment-related behaviors [37] with responses ranging from 'cannot do it at all' = 0 to 'certain can do it' = 10. Higher composite score, greater the adherence self-efficacy [37].

### Other factors

UAB, UNC, UW, and JHU consisted of the 4 sites and participants were randomized to either intervention arm or control arm in the study.

### **Statistical Analysis**

Descriptive statistics were presented as means and standard deviation for continuous variables and numbers and percentages for categorical variables. Normality of continuous variables was tested using Q-Q plots. Variance Inflation Factor was not >5 for any of the variables included in the models and hence multi-collinearity was not an issue [38, 39]. In addition, we avoided variables if the condition index was  $\geq$  30 [40] and checked correlation estimates for variables to ensure correct estimates. Supportive services needed in last 6 months for housing expenditure and financial assistance showed moderate correlation (R = 0.5) and hence we added only one of the variables in the model [41]. *48-week VL suppression* 

Logistic regression Model was used to estimate odds ratios (ORs) and their respective 95% confidence intervals (CIs) to examine the association of any disclosure and patterns of disclosure with 48-week VL suppression.

### Time to VL suppression

Cox Proportional Hazards Model was used to evaluate the association of HIV disclosure status and patterns of HIV disclosure with time to VL suppression for a study period of 48 weeks. Proportional Hazards assumption was checked using log (-log) survival plots which was further tested using an interaction term between independent variables and time (natural log) and was met. The interaction term was further excluded from the analysis. We generated Kaplan-Meier curves to visually present time to VL suppression among disclosed anyone vs. non-disclosed group and among selective, broad disclosers vs non-disclosers. We conducted sensitivity analysis where participants were followed for a study period of 72 weeks.

### *4-month visit constancy*

Ordinal Logistic regression model was used to calculate OR and 95%CI to estimate the association of disclosure and patterns of disclosure with the 4-month visit constancy where the 48 weeks' study period was divided into 3 intervals each of 4 months each. If participants had no arrived visits in all three intervals, then score = 0%; had at least 1 arrived visit in just one interval, then score = 33%; at least 1 arrived visit in exactly two intervals, then score = 67%; and at least 1 arrived visit in each of the three intervals, then score = 100%.

### Visit adherence

Logistic regression model was used to obtain ORs and corresponding CIs to assess the relationship of any disclosure and patterns of disclosure with visit adherence (100%t adherence vs. <100% adherence).

Adjusted models were generated to account for potential confounders which were selected based on clinical significance, prior literature and statistical significance (p-value <0.10) from unadjusted models. A two-sided p-value of 0.05 was considered statistically significant for all adjusted analysis.

### RESULTS

Baseline participant characteristics by 48-week VL suppression and RIC measures are described in Tables 1 and 2 respectively. Among 371 participants, overall 78.4% disclosed their HIV status. Percentages of 48-week VL suppression were similar among non-disclosure (84.9%), any HIV disclosure (86.6%), selective (83.3%) and broad disclosure (87.2%) (Table 1). Among participants who disclosed to anyone, 57% displayed perfect (100%) visit adherence and 50% scored 100% on 4-month constancy. The results were similar among non-disclosure, selective and broad disclosure.

### 48-week VL suppression

The odds of 48-week VL suppression were similar and statistically non-significant for any disclosure (OR = 0.97; 95%CI = 0.28, 3.39), selective disclosure (OR = 1.26; 95%CI = 0.20, 7.85) broad disclosure (OR = 0.92; 95%CI = 0.26, 3.30) compared to non-disclosure in the adjusted analysis (Table 3).

### Time to VL suppression

The final analytical sample for time to VL suppression was 333. Participants who were virally suppressed at baseline (n = 25) and had no follow-up data (n = 13) were excluded from the analysis. Participants were censored at death date if deceased during the study

period (n = 2), on withdrawal dates (n = 2) and administratively censored at 48 weeks from date of randomization into the study. The median follow up time was 72 days. There were 285 total VL suppression events. In the adjusted model, any disclosure (HR = 0.66; 95%CI = 0.46, 0.96), selective disclosure (HR = 0.82; 95%CI = 0.49, 1.37) and broad disclosure (HR = 0.64; 95%CI = 0.44, 0.93) groups were less likely to achieve VL suppression over time compared to non-disclosure group. Results were statistically significant for any HIV disclosure and broad disclosure but not for selective disclosure (Table 4). The Kaplan Meir curves demonstrated no real difference with insignificant log rank p –values between any HIV disclosure ys. non-disclosure groups (p = 0.71) and selective, broad and non-disclosure groups (p = 0.57) (Figures 1 and 2).

In the sensitivity analysis, we censored participants at 72 weeks and the results were similar for all models (data not presented).

### *4-month visit constancy*

In the adjusted analysis, the odds of 4-month visit constancy similar among any disclosure compared to non-disclosure (OR = 0.85; 95%CI = 0.47, 1.53) and broad disclosure compared to selective and non-disclosure (OR = 0.92; 95%CI = 0.50, 1.69). The odds of the same was minimally lower among selective disclosure compared to broad and non-disclosure (OR = 0.65; 95%CI = 0.30, 1.42) but statistically non-significant (Table 5).

### Visit adherence

Similarly, in the adjusted analysis, the odds of perfect visit adherence were similar for any (OR = 1.12; 95%CI = 0.50, 2.55) and broad disclosure (OR = 0.96; 95%CI = 0.42, 2.22) compared to non-disclosure. The odds of the same were slightly higher among selective disclosure compared to non-disclosure (OR = 1.85; 95%CI = 0.57, 6.02) (Table 6).

### DISCUSSION

In the present study among patients initiating outpatient HIV care, disclosure did not significantly improve 48-week VL suppression, visit adherence and 4-month visit constancy when adjusted for sociodemographic factors, HIV related risk factors, psychosocial and other factors. Any HIV disclosure, selective and broad disclosure groups were significantly less likely to achieve VL suppression over time compared to non-disclosure in the adjusted analysis. The odds of visit adherence was higher among selective disclosers whereas the odds of 4-month constancy was lower among selective disclosers compared to non-disclosers but results were not statistically significant and lack precision with wide CIs.

Our results from the adjusted analysis showed nearly no association of 48-week VL suppression with any HIV disclosure and broad disclosure. Our findings are consistent with prior studies where non-disclosure was not associated with VL suppression [1, 11]. With the newer one pill ART regimens with fewer side effects, ART adherence is better resulting in VL suppression [42, 43]. In this study, new to care participants were in good health with 75% of those had a baseline VL value <200 copies/ml of blood and 88% had CD4 counts >350 cells/ml of blood and waning the

necessity to disclose HIV serostatus with disease progression [11, 44]. Additionally, lack of association in this study could be due to the modest sample size. The odds of the 48week VL suppression was a little higher among selective disclosers but statistically nonsignificant. One possible explanation is individuals who selectively disclose may strategically select confidents to gain the emotional support required and have favorable outcomes [1, 45]. Our results differ from Elopre (2015) who reported selective disclosure to family was associated with detectable VL[11]. One possible explanation for inconsistent results could be patients in the study had disease progressed enough necessitating disclosure to family. Also, there was differential missing of VL values among disclosure categories as noted by the authors. Future studies with larger new to HIV care cohort, capturing the extent of disclosure in each disclosure category and further clarify this association. Future qualitative studies to understand barriers to VL suppression among new to care patients who disclosed and understanding cultural frameworks around HIV disclosure among new to care patients would help clarify the unexpected findings of the study. In addition, developing a new instrument for disclosure to capture the intimacy of participants with their social network in future studies may be beneficial.

To our knowledge, this is the first study to evaluate the association of disclosure with time to VL suppression. Interestingly, our results showed that the hazard of time to VL suppression over 48 weeks' study period was less likely among any HIV disclosure, selective and broad disclosure. Results were paradoxical to what we initially hypothesized. The results could be attributed to inconsistent HIV care during the initial year. Inconsistent care has been shown to be associated with detectable VL over time [5].

Especially among new to care patients, coming to appointments at regular intervals to initiate ART or to make changes to the ART regimen to achieve sustained VL suppression is critical. Another possible explanation could be social desirability bias where having disclosed HIV serostatus was overstated by patients [46]. In this study, any disclosure and broad disclosure groups, there was nearly no association with 4-month constancy and the estimates little lower among selective disclosers. Modest sample size of the study and residual confounding due to unknown behavioral factors not controlled for could be another reasons contentious results. Future studies with larger sample size and longer follow up periods, capturing the number of people patients disclosed to and change of disclosure over time may provide further insights into this association.

Our results showed HIV disclosure did not significantly improve visit adherence and 4-month constancy among any HIV disclosure and broad disclosure group. About 78.2% participants reported having disclosed their HIV status at enrollment visit which could have concealed the difference between the disclosure and non-disclosure groups [9]. Our results were nearly similar to Breger (2017) study among ART naïve patients where authors reported a weak but not statistically significant association of HIV disclosure with RIC [9]. The observed difference could be because the study was based in sub-Sahara region which included a different cohort of HIV population and use of different retention measure (at least one arrived visit within 180 days of initial visit). Our results were inconsistent with other prior studies [10, 14, 47]. Elopre (2015) reported non-disclosure was associated with poor RIC among patients initiating HIV care [10]. Wohl (2011) reported disclosure as a major predictor of RIC among Latino and African American patients established in care [47]. Our results possibly differ due to controlling for potential confounders (coping behaviors, need for supportive services, stigma, and substance use) in the analysis which were not controlled for in prior results. The odds of visit adherence were higher among selective disclosers as shown by another prior study [11]. However, our results lack precision with wide CIs and statistical non-significance. Additionally, in this study, we used visit adherence and 4-month constancy as measures of RIC whereas prior studies measured RIC as more than 180 days' gap between arrived HIV care visits. Halperin (2013) showed that patients who disclosed were significantly more likely to have a visit constancy (80% or higher) [14]. Visit constancy was measured at 6-months interval for five intervals over 2.5 years in this study, which is different from the 4-month constancy that we used in our study considered more appropriate for new to HIV care patients.

### Strengths

The strengths of the study include a geographically diverse cohort of new to HIV outpatient care patients from across four US HIV clinics. Next, our results quantify the association of HIV disclosure status and patterns of HIV disclosure with sustainable VL measure (time to VL suppression) which has not been studied before in addition to single 48-week VL suppression. Finally, our study is the first to gauge the relationship of HIV disclosure and patterns of disclosure with appropriate measures of retention for the new to care population which contributes to the gaps identified in the literature.

### Limitations

HIV disclosure may have been over-reported due to social desirability bias. However, our rates were comparable to US national estimates. The iENGAGE participants may have moved from the area and retained in care someplace elsewhere which we may have

missed resulting in exaggerated percentage on PLWH not retained in care and a possible misclassification. However, we anticipate there were only a handful of participants across each site. During the screening process for the iENGAGE study participants were asked if they had plans to move in the next 12 months and if they responded yes, they were not enrolled in the study. Every attempt was made to be in touch with the enrolled participants if they moved to help them complete study activities and obtain 48-week VL value. Information bias may have been imposed due to missing data. However, we used CNICS data in addition to iENGAGE study data for participants across sites to obtain the VL values throughout the year including the 48-week VL values. There are rigorous data standards for data collection and storage for quality control at CNICS sites and central CNICS data repository to minimize information bias. Study findings may not be generalized to other HIV patient populations. However, the demographics are largely consistent with national figures.

### **CONCLUSION**

HIV disclosure may play an important role in overall HIV care and prevention, however, it did not significantly improve RIC and VL suppression. Our results suggest that adding a component of HIV disclosure counseling for HIV prevention along with other counseling services may be sufficient at the initial appointment. Future studies with larger sample size and longer follow up periods among new to HIV patients looking at the extent of disclosure to the social network may provide further insight in the understanding association of HIV disclosure and HIV related outcomes. Future qualitative studies to understand barriers of RIC and VL suppression among new to care patients who disclosed their HIV serostatus may be beneficial.

### ACKNOWLEDGEMENTS

We are appreciative of the UAB 1917 Clinic patients who participated in the study. We thank the Research and Informatics Center (RISC), the UAB 1917 Clinic investigators and administrative team for their help with this project. Thanks to all UAB School of Public Health faculty and staff for the doctoral training. The National Institutes of Allergy and Infectious Diseases (NIAID 5R01AI103661-05) funded the iENGAGE study.

# REFERENCES

- 1. Daskalopoulou, M., et al., *Non-Disclosure of HIV Status and Associations with Psychological Factors, ART Non-Adherence, and Viral Load Non-Suppression Among People Living with HIV in the UK.* AIDS Behav, 2017. **21**(1): p. 184-195.
- 2. Deeks, S.G., S.R. Lewin, and D.V. Havlir, *The end of AIDS: HIV infection as a chronic disease*. Lancet, 2013. **382**(9903): p. 1525-33.
- 3. Morbidity and Mortality Weekly Report (MMWR), *Vital signs: HIV prevention through care and treatment-United States*. 2011. **60**(47): p. 1618-23.
- 4. Centers for Disease Control and Prevention, *HIV/AIDS : The National HIV/AIDS Strategy*.
- 5. Mugavero, M.J., et al., *Early retention in HIV care and viral load suppression: implications for a test and treat approach to HIV prevention.* Journal of acquired immune deficiency syndromes (1999), 2012. **59**(1): p. 86.
- 6. Giordano, T.P., et al., *Retention in care: a challenge to survival with HIV infection.* Clin Infect Dis, 2007. **44**(11): p. 1493-9.
- 7. Tripathi, A., et al., *The impact of retention in early HIV medical care on viro-immunological parameters and survival: a statewide study.* AIDS Res Hum Retroviruses, 2011. **27**(7): p. 751-8.
- Govindasamy, D., N. Ford, and K. Kranzer, *Risk factors, barriers and facilitators for linkage to antiretroviral therapy care: a systematic review*. Aids, 2012.
   26(16): p. 2059-2067.
- 9. Breger, T.L., et al., *Self-disclosure of HIV status, disclosure counseling, and retention in HIV care in Cameroon.* AIDS Care, 2017. **29**(7): p. 838-845.
- 10. Elopre, L., et al., *The Role of Early HIV Status Disclosure in Retention in HIV Care*. AIDS Patient Care STDS, 2015. **29**(12): p. 646-50.
- 11. Elopre, L., et al., *The Role of HIV Status Disclosure in Retention in Care and Viral-Load Suppression*. Conference on Retroviruses and Opportunistic Infections (CROI), Boston, Massachusetts, March 4–7, 2018, 2015.
- 12. Mellins, C.A., et al., *Longitudinal study of mental health and psychosocial predictors of medical treatment adherence in mothers living with HIV disease.* AIDS Patient Care STDS, 2003. **17**(8): p. 407-16.
- 13. Mugavero, M.J., et al., *Missed visits and mortality among patients establishing initial outpatient HIV treatment*. Clin Infect Dis, 2009. **48**(2): p. 248-56.
- 14. Halperin, J., I. Pathmanathan, and L.E. Richey, *Disclosure of HIV status to social networks is strongly associated with increased retention among an urban cohort in New Orleans*. AIDS Patient Care STDS, 2013. **27**(7): p. 375-7.
- 15. Katz, M.H., et al., *Prevalence and predictors of unmet need for supportive services among HIV-infected persons: impact of case management.* Med Care, 2000. **38**(1): p. 58-69.
- 16. Modi, R., et al., Assessing effects of behavioral intervention on treatment outcomes among patients initiating HIV care: Rationale and design of iENGAGE intervention trial. Contemp Clin Trials, 2018. **69**: p. 48-54.
- 17. Modi, R., et al., A Web-Based Data Collection Platform for Multisite Randomized Behavioral Intervention Trials: Development, Key Software Features, and Results of a User Survey. JMIR research protocols, 2017. 6(6): p. e115.

- 18. Centers for Disease Control and Prevention, *National HIV Prevention Progress Report*. 2013.
- 19. Kitahata, M.M., et al., *Cohort profile: the Centers for AIDS Research Network of Integrated Clinical Systems.* Int J Epidemiol, 2008. **37**(5): p. 948-55.
- 20. Mugavero, M.J., et al., *Measuring retention in HIV care: the elusive gold standard*. J Acquir Immune Defic Syndr, 2012. **61**(5): p. 574-80.
- 21. Keruly, J.C., R. Conviser, and R.D. Moore, *Association of medical insurance and other factors with receipt of antiretroviral therapy*. American Journal of Public Health, 2002. **92**(5): p. 852-857.
- 22. Lucas, G.M., R.E. Chaisson, and R.D. Moore, *Highly active antiretroviral therapy in a large urban clinic: risk factors for virologic failure and adverse drug reactions*. Annals of internal medicine, 1999. **131**(2): p. 81-87.
- 23. Mugavero, M.J., et al., *From access to engagement: measuring retention in outpatient HIV clinical care.* AIDS patient care and STDs, 2010. **24**(10): p. 607-613.
- 24. World Health Organization, *Management of Substance Abuse: The WHO ASSIST Project.* 2006.
- 25. Organization, W.H., *The Alcohol, Smoking and Substance Involvement Screening Test (ASSIST): manual for use in primary care.* Geneva: World Health Organization, 2010.
- 26. Bradley, K.A., et al., *Two brief alcohol-screening tests From the Alcohol Use Disorders Identification Test (AUDIT): validation in a female Veterans Affairs patient population*. Arch Intern Med, 2003. **163**(7): p. 821-9.
- 27. Kroenke, K., et al., *The PHQ-8 as a measure of current depression in the general population.* Journal of affective disorders, 2009. **114**(1): p. 163-173.
- 28. Monahan, P.O., et al., *Validity/reliability of PHQ-9 and PHQ-2 depression scales among adults living with HIV/AIDS in western Kenya*. Journal of General Internal Medicine, 2009. **24**(2): p. 189-197.
- Spitzer, R.L., K. Kroenke, and J.B. Williams, Validation and utility of a self-report version of PRIME-MD: the PHQ primary care study. Primary Care Evaluation of Mental Disorders. Patient Health Questionnaire. Jama, 1999. 282(18): p. 1737-44.
- Gjesfjeld, C.D., C.G. Greeno, and K.H. Kim, A confirmatory factor analysis of an abbreviated social support instrument: The MOS-SSS. Research on Social Work Practice, 2008. 18(3): p. 231-237.
- 31. Dour, H.J., et al., *Perceived social support mediates anxiety and depressive symptom changes following primary care intervention*. Depression and anxiety, 2014. **31**(5): p. 436-442.
- 32. Health, R., Social Support Survey Instrument Scoring Instructions.
- 33. Carver, C.S., *You want to measure coping but your protocol' too long: Consider the brief cope.* International Journal of Behavioral Medicine, 1997. **4**(1): p. 92.
- 34. Carver, C.S., M.F. Scheier, and J.K. Weintraub, *Assessing coping strategies: a theoretically based approach*. J Pers Soc Psychol, 1989. **56**(2): p. 267-83.
- 35. Meyer, B., *Coping with Severe Mental Illness: Relations of the Brief COPE with Symptoms, Functioning, and Well-Being.* Journal of Psychopathology and Behavioral Assessment, 2001. **23**(4): p. 265-277.

- 36. Mathews, W.C. and S. May, *EuroQol (EQ-5D) measure of quality of life predicts mortality, emergency department utilization, and hospital discharge rates in HIV-infected adults under care.* Health Qual Life Outcomes, 2007. **5**: p. 5.
- 37. Johnson, M.O., et al., *The role of self-efficacy in HIV treatment adherence: validation of the HIV Treatment Adherence Self-Efficacy Scale (HIV-ASES).* Journal of behavioral medicine, 2007. **30**(5): p. 359-370.
- 38. Kutner, M., C. Nachtsheim, and J. Neter, *Applied Linear Statistical Models*. 4th. McGraw-Hill; Irwin, 2004.
- 39. Mondesir, F.L., et al., *Diabetes, diabetes severity, and coronary heart disease risk equivalence: REasons for Geographic and Racial Differences in Stroke (REGARDS).* Am Heart J, 2016. **181**: p. 43-51.
- 40. Prentice, P. and H. Publishing, *Advanced Diagnostics for Multiple Regression: A Supplement to Multivariate Data Analysis.* Multivariate Data Analysis.
- 41. Mindrila, D. and P. Balentyne, *Scatterplots and Correlation*.
- 42. O'Connor, J., et al., *Durability of viral suppression with first-line antiretroviral therapy in patients with HIV in the UK: an observational cohort study.* Lancet HIV, 2017. **4**(7): p. e295-e302.
- 43. Boender, T.S., et al., Long-term Virological Outcomes of First-Line Antiretroviral Therapy for HIV-1 in Low- and Middle-Income Countries: A Systematic Review and Meta-analysis. Clin Infect Dis, 2015. **61**(9): p. 1453-61.
- 44. Hult, J.R., et al., *Disclosure and nondisclosure among people newly diagnosed with HIV: an analysis from a stress and coping perspective.* AIDS Patient Care STDS, 2012. **26**(3): p. 181-90.
- 45. Bouillon, K., et al., Factors correlated with disclosure of HIV infection in the French Antilles and French Guiana: results from the ANRS-EN13-VESPA-DFA Study. AIDS, 2007. **21 Suppl 1**: p. S89-94.
- 46. Grimm, P., *Social Desirability Bias*. Wiley International Encyclopedia of Marketing, 2010.
- 47. Wohl, A.R., et al., Do social support, stress, disclosure and stigma influence retention in HIV care for Latino and African American men who have sex with men and women? AIDS Behav, 2011. **15**(6): p. 1098-110.

# TABLES

Variables	Overall n = 371	48-week VL s	uppression
		Yes (<200 copies/ml of blood) n = 269	No (≥200 copies/ml of blood) n = 43
Socio-demographic factors			
Age (years)	37.1 (±12)	37.5 (±12.4)	34.2 (±10.8)
Sex			
Male	294 (79.3)	217 (87.2)	32 (12.9)
Female	71 (19.1)	47 (82.5)	10 (17.5)
Transgender	6 (1.6)	5 (83.3)	1 (16.7)
Race	~ /		. /
Black	231 (62.3)	160 (82.9)	33 (17.1)
White	109 (29.4)	84 (91.3)	8 (8.7)
Other	31 (8.4)	25 (92.6)	2 (7.4)
Ethnicity	( )		( )
Hispanic	20 (5.4)	17 (100)	0
Non-Hispanic	351 (94.6)	252 (85.4)	43 (14.9)
Insurance			( )
None	87 (23.6)	71 (92.2)	6 (7.8)
Private	107 (29.1)	83 (90.2)	9 (9.9)
Public	174 (47.3)	113 (80.1)	28 (19.9)
HIV Related Risk Factors			
HIV disclosure status			
Yes	290 (78.4)	213 (86.6)	33 (13.4)
No	80 (21.6)	56 (84.9)	10 (15.2)
Patterns of disclosure			()
Non-disclosure	80 (21.7)	56 (84.9)	10 (15.2)
Selective disclosure	56 (15.2)	35 (83.3)	7 (16.7)
Broad disclosure	233 (63.1)	177 (87.2)	26 (12.8)
ART <sup>1</sup>	(00.1)		_= (1)
Yes	114 (30.7)	83 (88.3)	11 (11.7)
No	257 (69.3)	186 (85.3)	32 (14.7)
CD4 count	207 (05.5)	100 (00.0)	52 (1117)
<200	85 (24.9)	60 (84.5)	11 (15.5)
200-300	83 (24.3)	65 (89)	8 (11)
>350	174 (50.9)	125 (88)	17 (12)
$VL value^2$	1,1(000)	120 (00)	1, (12)
<200	16 (4.3)	6 (75)	2 (25)
>=200	348 (93.8)	260 (87.3)	38 (12.8)

Table 1. Baseline patient characteristics by 48-week VL suppression at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 (n = 371).

Missing	7 (1.9)	3 (50)	3(50)
Sexual risk factors			
Transmission risk <sup>3</sup>			
MSM	219 (60)	164 (85.9)	27 (14.1)
Heterosexual	117 (32.1)	83 (87.4)	12 (12.6)
IDU	29 (8)	18 (81.8)	4 (18.2)
Sex behavior		(2, (01, 2))	(0,0)
0	76 (20.5)	62 (91.2)	6 (8.8)
1	100 (27)	63 (81.8)	14 (18.2)
2	55 (14.8)	39 (83)	8 (17)
3	38 (10.2)	26 (86.7)	4 (13.3)
4-5	40 (10.8)	29 (85.3)	5 (14.7)
$\geq 6$	62 (16.7)	50 (89.3)	6 (10.7)
Alcohol use	101 (50 5)	100 (00 5)	
No risk	191 (52.5)	133 (83.7)	26 (16.4)
Low risk	46 (12.6)	36 (90)	4 (10)
High risk	127 (34.9)	95 (88)	13 (12)
Substance use			
Never	198 (55.8)	152 (85.9)	25 (14.1)
Prior	93 (26.2)	73 (94.8)	4 (5.2)
Current	64 (18)	33 (75)	11 (25)
Psychosocial factors	/		
Social support score	57 (±29.1)	59.4 (±28.5)	47 (±28.5)
Quality of life			
No Mobility	317 (85.9)	228 (85.7)	38 (14.3)
No Self-Care	358 (97.3)	258 (86)	42 (14)
No Usual activities	300 (81.1)	216 (86.4)	34 (13.6)
No Pain	209 (57)	159 (88.8)	20 (11.2)
No Depression / Anxiety	165 (44.7)	127 (87.6)	18 (12.4)
Stigma			
Enacted stigma	2.2 (±0.7)	2.1 (±0.7)	2.2 (±0.8)
Disclosure concerns	3.1 (±0.6)	3.1 (±0.6)	3.0 (±0.6)
Internalized stigma	2.3 (±0.7)	2.3 (±0.7)	2.3 (±0.8)
Public stigma	2.7 (±0.7)	2.7 (±0.7)	2.8 (±0.7)
Anticipated stigma			
Family	2.7 (±1.4)	2.7 (±1.4)	2.8 (±1.4)
Friends	2.8 (±1.3)	2.7 (±1.3)	2.8 (±1.3)
Healthcare provider	1.8 (±0.9)	1.8 (±0.9)	$1.8 (\pm 0.7)$
Coping			
Active coping	6.7 (±1.7)	6.9 (±1.6)	6.2 (±1.9)
Denial	3.6 (±1.9)	3.5 (±1.9)	3.5 (±1.7)
Substance use	3.1 (±1.8)	3 (±1.7)	3.4 (±2.1)
Emotional support	5.2 (±2.2)	5.3(±2.2)	5 (±2.1)
Behavioral disengagement	2.7 (±1.2)	2.6 (±1.2)	2.7 (±1.2)
Positive reframing	5.7 (±2)	5.8 (±1.9)	5.6 (±1.8)
Acceptance	6.8 (±1.5)	7 (±1.5)	6.3 (±1.7)

Religion	5.5 (±2.2)	5.5 (±2.3)	5.6 (±2.2)
Self-blame	4.7 (±2.1)	4.6 (±2.1)	4.7 (±2.1)
HIV treatment self-efficacy	9 (±1.5)	9.1 (±1.4)	8.4 (±1.9)
score			
Supportive service needs in			
last 6 months			
Financial assistance <sup>4</sup>	179 (49.2)	117 (79.1)	31 (21)
Housing expenditure <sup>5</sup>	194 (52.9)	125 (78.6)	34 (21.4)
Substance use treatment and	125 (34)	89 (84)	17(16)
counseling			
Depression			
Yes	107 (30.8)	73 (84.9)	13 (15.1)
No	241(69.3)	180 (87)	27 (13)
Anxiety			
Yes	113 (31.4)	77 (86.5)	12 (13.5)
No	247 (68.6)	185 (86.5)	29 (13.6)
Other factors			
Site <sup>6</sup>			
UAB	153 (41.2)	106 (83.5)	21 (16.5)
UNC	76 (20.5)	58 (90.6)	6 (9.4)
JHU	78 (21)	49 (77.8)	14 (22.2)
UW	64 (17.3)	56 (96.6)	2 (3.5)
Study arm			
Control	186 (50.1)	136 (86.6)	21 (13.4)
Intervention	185 (49.9)	133 (85.8)	22 (14.2)

 $^{1}$ ART – antiretroviral therapy  $^{2}$ VL value – viral load value

<sup>3</sup>Transmission risk – MSM – men who have sex with men, IDU – injection drug users <sup>4</sup>Financial assistance category includes financial, employment, benefits assistance <sup>5</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare

<sup>6</sup> Site - UAB – University of Alabama at Birmingham; UNC – University of North Carolina at Chapel Hill; JHU – Johns Hopkins University at Baltimore; UW – University of Washington at Seattle

Variables	Visit adherence			4-month visit constancy <sup>*</sup>			
	100%	<100%	0%score	33%score	66%score	100%score	
	adherence	adherence					
	n = 206	n = 160	n = 15	n = 50	n = 120	n = 186	
Socio-							
demographic							
factors							
Age (years)	38.4 (±12.2)	35.3 (±11.5)	34.9 (±12.4)	35.9 (±11.5)	35.3 (±10.8)	38.8 (±12.7)	
Sex							
Male	166 (57)	125 (43)	12 (4.1)	37 (12.6)	101 (34.3)	144 (49)	
Female	36 (52.2)	33 (47.8)	3 (4.2)	12 (16.9)	16 (22.5)	40 (56.3)	
Transgender	4 (66.7)	2 (33.3)	0	1 (16.7)	3 (50)	2 (33.3)	
Race						. ,	
Black	116 (50.9)	112 (49.1)	10 (4.3)	36 (15.6)	72 (31.2)	113 (48.9)	
White	72 (67.3)	35 (32.7)	3 (2.8)	13 (11.9)	38 (34.9)	55 (50.5)	
Other	18 (58.1)	13 (41.9)	2 (6.5)	1 (3.2)	10 (32.3)	18 (58.1)	
Ethnicity							
Hispanic	12 (60)	8 (40)	1 (5)	0	11 (55)	8 (40)	
Non-Hispanic	194 (56.1)	152 (43.9)	14 (4)	50 (14.3)	109 (31.1)	178 (50.7)	
Insurance							
None	70 (81.4)	16 (18.6)	1 (1.2)	11 (12.6)	22 (25.3)	53 (60.9)	
Private	64 (60.4)	42 (39.6)	3 (2.8)	12 (11.2)	49 (45.8)	43 (40.2)	
Public	70 (40.7)	102 (59.3)	10 (5.8)	26 (14.9)	49 (28.2)	89 (51.2)	
HIV related							
risk factors							
HIV disclosure							
status							
Yes	163 (57)	123 (43)	11 (3.8)	40 (13.8)	95 (32.8)	144 (50)	

 Table 2: Baseline patient characteristics by visit adherence and 4-month visit constancy at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016.

No	42 (53.2)	37 (46.8)	4 (5)	9 (11.3)	25 (31.3)	42 (52.5)
Patterns of						
disclosure						
Non-disclosure	42 (53.2)	37 (46.8)	4 (5)	9 (11.3)	25 (31.3)	42 (52.5)
Selective	32 (59.3)	22 (40.7)	3 (5.4)	10 (17.9)	18 (32.1)	25 (44.6)
disclosure						
Broad	131 (56.5)	101 (43.5)	7 (3)	30 (12.9)	77 (33.1)	119 (51.1)
disclosure						
$ART^1$						
Yes	49 (43)	65 (57)	0	17 (14.9)	43 (37.7)	54 (47.4)
No	111 (44.1)	141 (56)	15 (5.8)	33 (12.8)	77 (30)	132 (51.4)
CD4 count						
<200	50 (58.8)	35 (41.2)	0	11 (12.9)	24 (28.2)	50 (58.8)
200-300	49 (59)	34 (41)	3 (3.6)	8 (9.6)	31 (37.4)	41 (49.4)
>350	94 (55)	77 (45)	10 (5.8)	29 (16.7)	57 (32.8)	78 (44.8)
VL value <sup>2</sup>						
<200	7 (46.7)	8 (53.3)	2 (12.5)	6 (37.5)	6 (37.5)	2 (12.5)
>=200	199 (57.8)	145 (42.2)	13 (3.7)	44 (12.6)	111 (31.9)	180 (51.7)
Missing	0	7 (100)	0	0	3 (42.9)	4 (57.1)
Sexual risk						
factors						
Transmission						
risk <sup>3</sup>						
MSM	131 (60.1)	87 (39.9)	6 (2.7)	24 (11)	81 (37)	108 (49.3)
Heterosexual	61 (53)	54 (47)	5 (4.3)	20 (17.1)	29 (24.8)	63 (53.9)
IVDU	11 (39.3)	17 (60.7)	3 (10.3)	5 (17.2)	9 (31)	12 (41.4)
Sex behavior						
0	47 (61.8)	29 (38.2)	1 (1.3)	7 (9.2)	18 (23.7)	50 (65.8)
1	51 (52.6)	46 (47.4)	5 (5)	16 (16)	30 (30)	49 (49)
2	29 (54.7)	24 (45.3)	4 (7.3)	7 (12.7)	20 (36.4)	24 (43.6)
3	21 (55.3)	17 (44.7)	1 (2.6)	6 (15.8)	14 (36.8)	17 (44.7)

4-5	27 (67.5)	13 (32.5)	1 (2.5)	7 (17.5)	14 (35)	18 (45)	
≥6	31 (50)	31 (50)	3 (4.8)	7 (11.3)	24 (38.7)	28 (45.2)	
Alcohol use							
No risk	110 (58.2)	79 (41.8)	8 (4.2)	21 (11)	65 (34)	97 (50.8)	
Low risk	30 (65.2)	16 (34.8)	1 (2.2)	7 (15.2)	16 (34.8)	22 (47.8)	
High risk	64 (71.4)	60 (38.7)	6 (4.7)	20 (15.8)	36 (28.4)	65 (51.2)	
Substance use		× /	~ /				
Never	121 (61.1)	77 (39)	6 (3)	19 (9.6)	69 (34.9)	104 (52.5)	
Prior	54 (60)	36 (40)	5 (5.4)	17 (18.3)	23 (24.7)	48 (51.6)	
Current	22 (34.9)	41 (65.1)	3 (4.7)	12 (18.8)	25 (39.1)	24 (37.5)	
Psychosocial							
factors							
Social support	60.5 (±28.8)	52.6 (±29.2)	47.3 (±30.5)	53.5 (±29.5)	58.6 (±27.7)	57.7 (±29.7)	
score							
Quality of life							
No Mobility	181 (57.8)	132 (42.2)	13 (4.1)	41 (12.9)	104 (32.8)	159 (50.2)	
No Self-Care	200 (56.7)	153 (43.3)	15 (4.2)	49 (13.7)	116 (32.4)	178 (49.7)	
No Usual activities	166 (56.3)	129 (43.7)	15 (5)	42 (14)	97 (32.3)	146 (48.7)	
No Pain	125 (60.7)	81 (39.3)	10 (4.8)	26 (12.4)	68 (32.5)	105 (50.2)	
No Depression	102 (63.4)	59 (36.7)	8 (4.9)	16 (9.7)	58 (35.2)	83 (50.3)	
/ Anxiety							
Stigma							
Enacted stigma	2.2 (±0.7)	2.2 (±0.7)	2.4 (±0.7)	2.3 (±0.7)	2 (±0.6)	2.2 (±0.7)	
Disclosure	3.1 (±0.6)	3 (±0.6)	3 (±0.6)	3 (±0.6)	3 (±0.6)	3.1 (±0.6)	
concerns							
Internalized stigma	2.3 (±0.7)	2.3 (±0.7)	2.2 (±0.6)	2.3 (±0.7)	2.3 (±0.7)	2.3 (±0.8)	
Public stigma	2.7 (±0.7)	2.7 (±0.7)	2.6 (±0.8)	2.8 (±0.8)	2.6 (±0.6)	2.7 (±0.7)	
Anticipated stigma				. ,			

Family	2.7 (±1.4)	2.7 (±1.4)	2.8 (±1.5)	2.7 (±1.5)	2.7 (±1.4)	2.7 (±1.4)
Friends	2.8 (±1.3)	2.7 (±1.3)	2.9 (±1.1)	2.8 (±1.4)	2.7 (±1.3)	2.8 (±1.3)
Healthcare	1.8 (±1)	1.8 (±0.9)	2.0 (±1.2)	$1.9(\pm 1)$	1.7 (±0.8)	1.8 (±0.9)
provider			( )		( )	× /
Coping						
Active coping	6.9 (±1.6)	6.5 (±1.9)	5.9 (±2.2)	6.4 (±1.8)	6.7 (±1.8)	6.8 (±1.6)
Denial	3.5 (±1.9)	3.6 (±1.9)	3.5 (±2.3)	3.4 (±1.7)	3.5 (±1.7)	3.6 (±2)
Substance use	3.0 (±1.8)	3.2 (±1.8)	3.8 (±2)	3.2 (±1.9)	3 (±1.7)	3.1 (±1.8)
Emotional	5.3 (±2.1)	5.0 (±2.2)	4.5 (±2.1)	5.3 (±2.1)	5.2 (±2)	5.2 (±2.3)
support			( )		~ /	
Behavioral	2.6 (±1.3)	2.7 (±1.2)	3.5 (±2.4)	2.7 (±1.3)	2.7 (±1.2)	2.6 (±1.2)
disengagement			( )	( )	( )	
Positive	5.9 (±1.9)	5.5 (±2)	5.1 (±2.1)	5.8 (±2.1)	5.7 (±1.8)	5.7 (±2)
reframing			( )	( )	( )	( )
Acceptance	6.9 (±1.6)	6.8 (±1.5)	6.5 (±1.6)	6.8 (±1.8)	6.8 (±1.6)	6.9 (±1.5)
Religion	5.6 (±2.2)	5.3 (±2.3)	5.6(±2.3)	5.7 (±2.1)	5.2 (±2.2)	5.6 (±2.3)
Self-blame	4.7 (±2.1)	4.7 (±2.1)	5.9 (±2.4)	4.8 (±2.2)	4.7 (±2)	4.5(±2.2)
HIV treatment	9.3 (±1.2)	8.7 (±1.7)	8.7 (±1.8)	9 (±1.3)	8.9 (±1.7)	9.1 (±1.3)
self-efficacy	, (		()		( )	, , , , , , , , , , , , , , , , , , , ,
score						
Supportive						
service needs in						
last 6 months						
Financial	86 (48.6)	91 (51.4)	9 (5)	30 (16.7)	57 (31.8)	83 (46.4)
assistance <sup>4</sup>	00 (1010)		) (0)	50 (10.7)	0, (0110)	00 (1011)
Housing	87 (45.3)	105 (54.7)	9 (4.6)	34 (17.5)	60 (30.9)	91 (46.9)
expenditure <sup>5</sup>	07 (1010)	100 (0)	) (110)	51(17.5)	00 (00.00)	<i>(</i> 101 <i>)</i>
Substance use	63 (50.8)	61 (49.2)	5 (4)	18 (14.4)	45 (36)	57 (45.6)
treatment or	00 (00.0)	01 (1912)	5 (1)	10 (1)	10 (00)	07 (1010)
counseling						
Depression						
Depression						

Yes	51 (48.1)	55 (51.9)	5 (4.7)	18 (16.8)	31 (29)	53 (49.5)
No	146 (61.3)	92 (38.7)	8 (3.3)	28 (11.6)	82 (34)	123 (51)
Anxiety						
Yes	57 (51.8)	53 (48.2)	6 (5.3)	21 (18.6)	29 (25.7)	57 (50.4)
No	145 (59.2)	100 (40.8)	9 (3.6)	28 (11.3)	89 (36)	121 (49)
<b>Other factors</b>						
Site <sup>6</sup>						
UAB	84 (55.6)	67 (44.4)	6 (3.9)	22 (14.4)	57 (37.3)	68 (44.4)
UNC	57 (77)	17 (23)	2 (2.6)	13 (17.1)	17 (22.4)	44 (57.9)
JHU	28 (36.4)	49 (63.6)	5 (6.4)	13 (16.7)	26 (33.3)	34 (43.6)
UW	37 (57.8)	27 (42.2)	2 (3.1)	2 (3.1)	20 (31.3)	40 (62.5)
Study arm						
Control	99 (53.8)	85 (46.2)	6 (3.2)	24 (12.9)	68 (36.6)	88 (47.3)
Intervention	107 (58.8)	75 (41.2)	9 (4.9)	26 (14.1)	52 (28.1)	98 (53)
*~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~						

\*Score = 0% – no arrived visits in all three intervals; score = 33% – at least 1 arrived visit in just one interval, score = 67% – at least 1 arrived visit in exactly two intervals; and score = 100% – at least 1 arrived visit in each of the three intervals

<sup>1</sup>ART – antiretroviral therapy

<sup>2</sup>VL value – viral load value

<sup>3</sup>Transmission risk – MSM – men who have sex with men, IDU – injection drug users

<sup>4</sup>Financial assistance category includes financial, employment, benefits assistance

<sup>5</sup>Household expenditure category includes housing, transportation, food, groceries, meals and childcare

<sup>6</sup> Site - UAB – University of Alabama at Birmingham; UNC – University of North Carolina at Chapel Hill; JHU – Johns Hopkins

University at Baltimore; UW – University of Washington at Seattle

Table 3: Logistic Regression models for association between 48-week viral load (VL) suppression and HIV disclosure status/ patterns of HIV disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016

	HIV disclosure (Yes)	e status	Patterns of HIV Disclosure				
	Any disclosure vs. Nondisclosure		Selective disclosure vs. Nondisclosure		Broad disclosure vs. Nondisclosure		
	OR (95%CI)	p-value	OR (95%CI)	p-value	OR (95%CI)	p-value	
Unadjusted model <sup>a</sup>	1.15 (0.54, 2.48)	0.72	0.89 (0.31, 2.56)	0.83	1.22 (0.55, 2.68)	0.63	
Adjusted model <sup>b</sup>	0.97 (0.28, 3.39)	0.96	1.26 (0.20, 7.85)	0.80	0.92 (0.26, 3.30)	0.90	

<sup>a</sup>Unadjusted model n = 312 for HIV disclosure status (Yes); n = 311 for Patterns of HIV disclosure

<sup>b</sup> Adjusted model 2 = Adjusted for socio-demographic factors (age, gender, race, insurance) + HIV related and sexual risk factors (ART use, baseline CD4 count, transmission risk, substance use) + psychosocial and other factors (active coping and acceptance, social support score, HIV related self-efficacy score, supportive services for housing expenditure and site); n = 227

Table 4: Cox Proportional Hazards models for association between time to VL suppression censored at 48 weeks and HIV disclosure status/patterns of HIV disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016

HIV disclosure status (Yes)	Patterns of HI	V Disclosure
Any disclosure vs.	Selective disclosure vs.	Broad disclosure vs.
Nondisclosure	Nondisclosure	Nondisclosure

	HR (95%CI)	p-value	HR (95%CI)	p-value	HR (95%CI)	p-value
Unadjusted model <sup>a</sup>	1.05 (0.80, 1.39)	0.72	1.21 (0.82, 1.78)	0.34	1.03 (0.77, 1.36)	0.87
Adjusted model <sup>b</sup>	0.66 (0.46, 0.96)	0.03	0.82 (0.49, 1.37)	0.45	0.64 (0.44, 0.93)	0.02

<sup>a</sup>Unadjusted model n = 333 for HIV disclosure status (Yes); n = 332 for Patterns of HIV disclosure

<sup>b</sup>Adjusted model = Adjusted for socio-demographic factors (age, gender, race, ethnicity, insurance) + HIV related and sexual risk factors (ART use, baseline CD4 count, transmission risk, substance use, number of sexual partners) + psychosocial and other factors (religion and acceptance, supportive services for housing expenditure, qualify of life indicators for pain and mobility, enacted stigma, anticipated stigma from friends and site); n = 236

# Table 5: Logistic Regression models for association between visit adherence (Yes vs. No) and HIV disclosure status/patterns of HIV disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016

	HIV disclosure status (Yes)		Patterns of HIV Disclosure				
	Any disclosure vs. Nondisclosure		Selective disclosure vs. Nondisclosure		Broad disclosure vs. Nondisclosure		
	OR (95%CI)	p-value	OR (95%CI)	p-value	OR (95%CI)	p-value	
Unadjusted model <sup>a</sup>	1.17 (0.71, 1.93)	0.54	1.28 (0.64, 2.58)	0.49	1.14 (0.68, 1.91)	0.61	
Adjusted model <sup>b</sup>	1.12 (0.50, 2.55)	0.78	1.85 (0.57, 6.02)	0.30	0.96 (0.42, 2.22)	0.93	

<sup>a</sup>Unadjusted model n = 365;

<sup>b</sup>Adjusted model = Adjusted for socio-demographic factors (age, gender, race, insurance)

+ HIV related and sexual risk factors (ART use, baseline CD4, substance use, transmission risk) + psychosocial and other risk factors (active coping, positive reframing, social support score, supportive service for housing expenditure, stigma associated with disclosure concerns, quality of life measures - pain, anxiety/depression, depression and site); n = 240 for HIV disclosure status and n = 242 for patterns of disclosure.

Table 6: Ordinal Logistic Regression models for association between 4-month visit constancy and HIV disclosure status/patterns of HIV disclosure among new to HIV care patients at the 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016

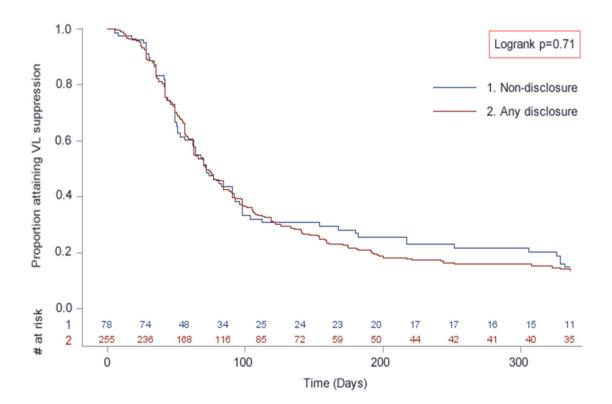
	HIV disclosure status (Yes) Any disclosure vs. Nondisclosure		Patterns of HIV disclosure			
			Selective disclosure vs. Nondisclosure		Broad disclosure vs. Nondisclosure	
	OR (95%CI)	p- value	OR (95%CI)	p- value	OR (95%CI)	p-value
Unadjusted model <sup>a</sup>	0.91 (0.57, 1.45)	0.68	0.70 (0.37, 1.34)	0.28	0.98 (0.60, 1.58)	0.92
Adjusted model <sup>b</sup>	0.85 (0.47, 1.53)	0.76	0.65 (0.30, 1.42)	0.28	0.92 (0.50, 1.69)	0.78

<sup>a</sup>Unadjusted model 1 n = 370 for HIV disclosure status; n = 369 for patterns of HIV disclosure

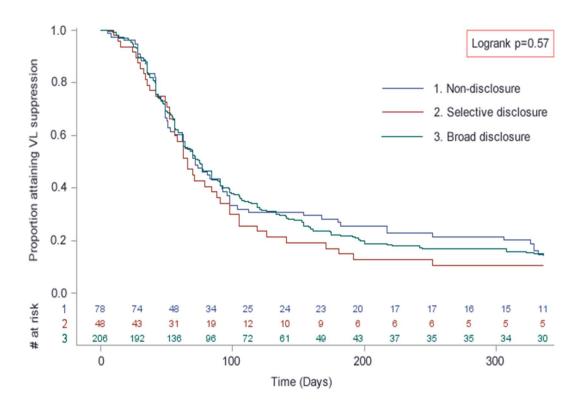
<sup>b</sup>Adjusted model = Adjusted for socio-demographic factors (age, gender, race, insurance (Yes/No) + HIV related and sexual risk factors (baseline CD4 count, substance use, transmission risk factor, sexual behavior) + psychosocial and other risk factors (supportive services for housing expenditure, stigma associated with disclosure concerns and site); n = 301

# **FIGURES**

Figure 1. Kaplan Meier survival curves for days to 48-week viral load (VL) suppression for new to HIV care patients across 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 for any HIV disclosure vs. non-disclosure group



# Figure 2. Kaplan Meier survival curves for days to 48-week viral load (VL) suppression for new to HIV care patients across 4 US HIV clinics enrolled in the iENGAGE study during 2013-2016 for any broad, selective and non-disclosure groups



# HIV DISCLOSURE: A POTENTIAL EFFECT MODIFIER OF THE ASSOCIATION BETWEEN THE IENGAGE INTERVENTION COMPONENT AND HIV VIRAL LOAD SUPPRESSION

by

RIDDHI A. MODI, GERALD MCGWIN JR., JAMES H. WILLIG, RUSSELL L. GRIFFIN, KIMBERLY D. MARTIN, MICHAEL J. MUGAVERO

In Preparation for 'BMC Public Health'

Format adapted for dissertation

### ABSTRACT

**Introduction:** Early HIV disclosure is an important behavior among newly diagnosed HIV patients, which may impact viral load (VL) suppression. The mechanisms through which HIV disclosure impact VL suppression is unclear. The aim of this study is to evaluate if HIV disclosure if an effect modifier of the association of integrating ENGagement and Adherence Goals upon Entry (iENGAGE) intervention with 48-week VL suppression among patients newly initiating HIV care.

**Methods:** We obtained information on iENGAGE study arm and HIV disclosure from enrollment visit. The primary outcome was 48-week VL suppression (<200 copies/ml of blood). The relationship of iENGAGE intervention with 48-week VL suppression was obtained using Logistic Regression analysis. Stratified analysis was conducted to obtain stratum-specific odds ratios (ORs) and 95% confidence intervals (CIs) to evaluate potential effect modification.

**Results:** There was nearly no association between iENGAGE intervention arm and 48 week VL suppression (OR = 0.93; 95%CI = 0.49, 1.78). Stratified analysis with HIV disclosure status variable showed that the odds of 48-week VL suppression for the stratum specific OR were similar between any HIV disclosure group (OR = 0.92; 95%CI = 0.44, 1.91) and non-disclosure group (OR = 1.00; 95%CI = 0.26, 3.84) suggesting no evidence of effect modification.

Stratified analysis by patterns of HIV disclosure variable also showed no effect modification. The stratum-specific OR for 48-week VL suppression differed a little for selective disclosure group (OR = 0.71; 95%CI = 0.14, 3.64) compared to broad disclosure (OR = 0.97; 95%CI = 0.42, 2.20) and non-disclosure (OR = 1.00; 95%CI = 0.26, 3.84) but lack precision **Conclusion:** Achieving VL suppression at 48 weeks in iENGAGE intervention participants did not differ between HIV disclosed and non-disclosed groups suggesting no effect modification. We recommend future studies with larger sample size and longer follow up periods to clarify the direct and indirect role of disclosure with VL suppression. **Keywords:** HIV disclosure, effect modifier, iENGAGE, new to HIV care, VL suppression, stratified analysis

### **INTRODUCTION**

Despite the advances in antiretroviral therapy (ART) regimens, not all people living with HIV (PLWH) achieve viral load (VL) suppression. Sustained VL suppression has important implications at the individual and population level [1]. At the individual level, virally suppressed state improves the overall quality of life [1] by reducing morbidity and mortality [2]. At the population level, virally suppressed individuals do not spread HIV infection [1-4] and prevent new HIV infections [5]. According to CDC, in the US, only about half of the PLWH have undetectable viral loads [6] and new HIV cases continue to occur with about 37,600 new HIV infections were estimated in the USA in 2014 [7] which is a public health problem. For newly diagnosed HIV patients, early retention is associated with attaining virally suppressed state and lower cumulative VL [8]. Missed clinic appointments among new to care are common resulting in unfavorable health related outcomes [9]. Behavioral intervention strategies have been shown to have positive implications on linkage and retention in care (RIC).

Randomized nGage (Network Supported HIV Care Engagement for Younger Black Men Who Have Sex with Men and Transgender Persons) trial among young black men who have sex with men (YMSM) implemented intervention comprising of identifying a friend to support and receiving 4 intervention sessions delivered by social worker showed that intervention participants were 3 times more likely to attend 3 clinic appointments over a year [10, 11]. RIC behavioral intervention which incorporated personal contact to participants by case managers showed fewer gaps in HIV care [12] and enhance RIC to achieve VL suppression. Participating and Communicating Together (PACT), an ART adherence intervention showed 2.8 times statistically significant higher odds of achieving >95% ART adherence in the intervention group compared to controls [13]. A brief strength-based case management intervention encouraged patients to identify and use their own skills to arrive at a clinic appointment have helped link patients to HIV care [14, 15]. However, the impact of evidence-based intervention on achieving timely VL suppression among patients newly initiating care is lacking [12]. Hence, integrating ENGagement and Adherence Goals upon Entry (iENGAGE) behavioral intervention trial focused on offering support through personal contact and phone reminders through study interventionists to new to outpatient HIV care patients to retain in care for better HIV related outcomes [16].

In addition to intervention strategies, important determinants to achieve VL suppression include missed clinic appointments, poor ART adherence, low CD4 count, substance use suggesting diverse mechanisms influencing achieving VL suppression [17, 18]. Existing literature suggests that patient-level factor, HIV disclosure may affect VL suppression [19]. Implications of the HIV disclosure on RIC have been reported [20-22] but the literature is scant on the association of HIV disclosure with VL suppression [19]. Further, the role of HIV disclosure as an effect modifier remains unknown. The causality of the same is uncertain with varying findings across studies [23-28]. It is likely that patients, who did not disclose their HIV status during the initial year of diagnosis may be burdened with the HIV diagnosis, not come to HIV care clinic for primary care appointments or to intervention visits with study interventionists with fear of being seen and distanced from the family or local community with HIV associated stigma.

intervention effect would be higher to eventually achieve greater VL suppression. This pathway seems plausible but remains unexplored.

To address this gap, we investigated whether the magnitude of iENGAGE intervention on 48-week VL suppression was modified by HIV disclosure/patterns of disclosure among patients newly initiating HIV care. We hypothesized that HIV disclosure will modify the association between the iENGAGE intervention and VL suppression.

### METHODS

### Setting

The iENGAGE study is a randomized controlled behavioral intervention trial implemented at four academically affiliated HIV clinical sites: the University of Alabama at Birmingham (UAB), the University of North Carolina at Chapel Hill (UNC), the John Hopkins University (JHU), and the University of Washington at Seattle (UW). Patients ≥18 years, with documented HIV infection, initiating HIV care at one of the four sites, English speaking, with no plan to move in next year and able/willing to provide informed consent were enrolled in the study. Patients with prior HIV primary care, non-English speaking or understanding and unable to complete informed consent process were excluded. Each site obtained the Institutional Review Board (IRB) approvals for this study.

Study assessments included completing a set of questionnaires at baseline and at 48 weeks from enrollment using CASI (computer-administered self-interview). Questions regarding mental health, alcohol use, substance use, sexual risk, HIV disclosure, social support, supportive services needed, coping, and stigma were asked at both the visits.

Additionally, at 48-week visit patients also responded to questions regarding visit adherence, ART adherence and completed a blood draw to obtain VL values. Additional details for the iENGAGE study were published separately [16].

### Participant cohort

Overall, 372 participants were recruited across all sites and of those 186 participants were assigned to the intervention arm. One participant after being enrolled and randomized to intervention arm was identified to be not new to HIV care and was withdrawn. The final analytical sample size was 371 with 185 participants in the intervention arm of the study.

# iENGAGE intervention component

iENGAGE used several intervention strategies from CDC RIC [29] and Participating and Communicating Together (PACT) ART adherence [13] interventions to provide opportunities to build participant knowledge, motivation, and skills for dynamic self-care demands (entry into care, adjustment to a new HIV diagnosis, initiation of ART, retention in care over time, early and on-going ART adherence). The iENGAGE intervention offered support to participants through face-to-face counseling visits and phone reminders. Face-to-face sessions were usually in line with clinic appointments and were scheduled at enrollment or between 0-2 weeks, between 2-12 weeks, 12-24 weeks and 24-48 weeks after randomization. Phone call component included appointment reminder calls (7-day and 2-day reminders), interim phone contacts (at about 2 weeks after each session) and missed visit calls (within 1-2days of missed visit). Ad-hoc calls were allowed as appropriate from the participants. We will categorize the intervention component as a dichotomous variable (Yes/No) for this secondary analyses.

#### Outcome

The primary outcome of the study is 48-week plasma VL suppression. A VL value of <200copies/ml of blood was considered as undetectable VL or virally suppressed state [30] and ≥200copies/ml was considered as detectable VL. The ideal window period to obtain 48-week VL was 46-52 weeks from date of randomization and extending up to 72 weeks from date of randomization as needed.

### Effect modifier

HIV disclosure was measured using a 3-item questionnaire during the enrollment visit for all participants. The first question asked if participant disclosed HIV status to anyone besides health care provider and the answer choices were yes/no/no response. If the participant answered 'yes' to Q1; the next two questions asked if participant chose to disclose their HIV status to more than 1 person (choices: yes/no/no response) followed by to whom did the participant disclosed to (choices: Spouse/ significant other, current sexual partner(s), past sexual partner(s), family member(s), friend(s), religious leader(s) (e.g., priest, rabbi, pastor/ No response/ NA - skip question). HIV disclosure was used as a dichotomous variable (Yes/No) and a 3-level patterns of HIV disclosure variable (broad disclosure, selective disclosure, non-disclosure) for data analysis.

### Statistical analysis

Descriptive statistics are shown as numbers and percentages. Logistic regression analysis was used to present the odds ratios (ORs) and corresponding 95% confidence intervals (CIs) to examine the association of primary exposure of interest iENGAGE intervention

with the outcome 48-week VL suppression. Stratified logistic regression analysis was conducted to examine potential effect modification by 2-level HIV disclosure (any HIV disclosure vs. non-disclosure) and 3-level patterns of HIV disclosure (selective disclosure vs. non-disclosure, broad disclosure vs. non-disclosure) variables.

### RESULTS

There was nearly no association between iENGAGE intervention arm and 48 week VL suppression (OR = 0.93; 95%CI = 0.49, 1.78) (Table 2). Stratified analysis with a two level HIV disclosure status variable showed that the odds of 48-week VL suppression for the stratum specific OR were similar between any HIV disclosure group (OR = 0.92; 95%CI = 0.44, 1.91) and non-disclosure group (OR = 1.00; 95%CI = 0.26, 3.84) and to the crude estimate (OR = 1.15; 95%CI = 0.54, 2.48) suggesting no evidence of effect modification (Table 2 and 3).

Stratified analysis by patterns of HIV disclosure variable also showed no effect modification. The stratum specific OR for 48-week VL suppression differed a little for selective disclosure group (OR = 0.71; 95%CI = 0.14, 3.64) compared to broad disclosure (OR = 0.97; 95%CI = 0.42, 2.20) and non-disclosure (OR = 1.00; 95%CI = 0.26, 3.84) but were statistically non-significant and lack precision (Table 4).

### DISCUSSION

Although evidence-based behavioral interventions have been shown to have a positive impact on key components of HIV care continuum related outcomes [11-13], it remains unknown if new to HIV care patients with disclosed HIV serostatus benefit greater from these interventions. To our knowledge, this is the first study to evaluate the role of HIV disclosure and patterns of HIV disclosure as a potential effect modifier on the association

of the iEGAGE intervention with 48-week VL suppression. In this study, among patients newly initiating HIV care enrolled in the iENGAGE study, we found nearly no independent effect of the iENGAGE intervention on 48-week VL suppression. The stratum-specific ORs did not differ between any HIV disclosure group and nondisclosure group suggesting on effect modification by 2-level HIV disclosure variable. Similarly, there was no effect modification observed with 3-level HIV disclosure variable. The stratum-specific ORs for selective disclosure differed marginally compared to broad and non-disclosure but lack precision with wide confidence intervals and statistical non-significance.

Despite the fact that there was no association between the iENGAGE intervention and 48-week VL suppression, the iENGAGE data shows that approximately 73% of the intervention arm participants came to the clinic to receive all 4-intervention sessions during the study period. This finding suggests that evidence-based interventions are critical during the initial year of HIV care. The emphasis on tailored behavioral interventions like iENGAGE should continue as the burden of new HIV infections continue. The components of the iENGAGE intervention based on motivational interviewing (MI) strategies would still be beneficial in educating new to care patients on HIV, address barriers to HIV care and ART adherence and impart skills for self-care [16]. This tailored client-centered intervention would equip patients with the skills to collaborate with healthcare providers and effectively participate in treatment management [16]. The interventions targeted to new to HIV care patients were relatively new and we recommend future research to evaluate the effect evidence-based interventions on VL suppression among larger new to HIV care cohorts, with extended follow-up time for more reliable results. Knowing the uptake of specific components by participants at each session during the study period may help further improve the overall intervention to have positive implications on VL suppression.

We initially hypothesized among any HIV disclosers, selective and broad disclosers, iENGAGE intervention participants will have higher odds of VL suppression compared to non-disclosers. However, the results were paradoxical. With advances in HIV treatment, HIV disclosure may not be a necessity due to disease progression for individuals. Other possible explanation could be social desirability bias and in order to respond favorably, participants reported disclosure over non-disclosure while selfreporting. We suggest interpreting results cautiously owing to the small sample size of the study and low power to detect the difference. It is not precisely clear what may have led to these findings in the study but we recommend future studies with larger sample size and longer follow-up periods to clarify the direct and indirect role of disclosure on VL suppression.

#### Strengths

Contrast to other studies, our study explored an alternative dimension of HIV disclosure and patterns of HIV disclosure on the association of 48-week VL suppression among new to HIV care patients.

#### Limitations

This is a secondary data analysis of the original iENGAGE behavioral intervention trial which was not designed to test the effect modification of the variables we report. Despite the limitations, we believe our study provides insight on the plausible mechanism through which HIV disclosure may impact VL suppression. We used self-reported data for this analysis, which may be subject to social desirability bias due to under or over reporting of study variables. Prior research has shown that the HIV behaviors captured using the selfreport were acceptable. Results of the study may not be generalized beyond the geographic areas covered but the sites were representative of national estimates.

## CONCLUSION

In summary, we found no statistically significant effect modification by HIV disclosure or patterns of HIV disclosure on the association between the iENGAGE intervention and 48-week VL suppression. With modest sample size, results of the study should be interpreted cautiously. As the occurrence of new HIV infections still continue it is important to recognize the importance of early HIV disclosure and its role in HIV prevention.

#### ACKNOWLEDGEMENTS

We are thankful to all patients who participated in the iENGAGE study, study investigators and study staff across sites without whom the study would not be possible. Special thanks to the Research and Informatics Service Center (RISC) team for all administrative support. We extend thanks to the UAB School of public health faculty and staff who have helped through the Ph.D. training to accomplish this research.

## REFERENCES

- 1. UNAIDS, Public health and HIV viral load suppression. 2017.
- 2. Cohen, M.S., et al., *Prevention of HIV-1 infection with early antiretroviral therapy*. N Engl J Med, 2011. **365**(6): p. 493-505.
- 3. Rodger, A.J., et al., Sexual Activity Without Condoms and Risk of HIV Transmission in Serodifferent Couples When the HIV-Positive Partner Is Using Suppressive Antiretroviral Therapy. JAMA, 2016. **316**(2): p. 171-81.
- 4. Grulich, A.E., et al., *HIV Transmission in Male Serodiscordant Couples in Australia, Thailand and Brazil.* Conference on Retroviruses and Opportunistic Infections (CROI), Seattle, USA, 2015.
- 5. *Healthy People 2020: HIV.* The U.S. Department of Health and Human Services.
- 6. Centers for Disease Control and Prevention, *More people with HIV have the virus under control.* 2017.
- 7. Centers for Disease Control and Prevention, *HIV in the United States: At a Glance* HIV/AIDS.
- 8. Mugavero, M.J., et al., *Early retention in HIV care and viral load suppression: implications for a test and treat approach to HIV prevention.* Journal of acquired immune deficiency syndromes (1999), 2012. **59**(1): p. 86.
- 9. Mugavero, M.J., et al., *Missed visits and mortality among patients establishing initial outpatient HIV treatment*. Clin Infect Dis, 2009. **48**(2): p. 248-56.
- 10. Bouris, A., et al., *Project nGage: Results of a Randomized Controlled Trial of a Dyadic Network Support Intervention to Retain Young Black Men Who Have Sex With Men in HIV Care.* AIDS Behav, 2017. **21**(12): p. 3618-3629.
- 11. Bouris, A., et al., *Project nGage: Network Supported HIV Care Engagement for Younger Black Men Who Have Sex with Men and Transgender Persons.* J AIDS Clin Res, 2013. **4**.
- 12. Cabral, H.J., et al., *Outreach program contacts: do they increase the likelihood of engagement and retention in HIV primary care for hard-to-reach patients?* AIDS Patient Care STDS, 2007. **21 Suppl 1**: p. S59-67.
- 13. Golin, C.E., et al., *A 2-arm, randomized, controlled trial of a motivational interviewing-based intervention to improve adherence to antiretroviral therapy (ART) among patients failing or initiating ART.* J Acquir Immune Defic Syndr, 2006. **42**(1): p. 42-51.
- 14. Gardner, L.I., et al., *Efficacy of a brief case management intervention to link recently diagnosed HIV-infected persons to care.* Aids, 2005. **19**(4): p. 423-31.
- 15. Craw, J.A., et al., *Brief strengths-based case management promotes entry into HIV medical care: results of the antiretroviral treatment access study-II.* J Acquir Immune Defic Syndr, 2008. **47**(5): p. 597-606.
- 16. Modi, R., et al., Assessing effects of behavioral intervention on treatment outcomes among patients initiating HIV care: Rationale and design of iENGAGE intervention trial. Contemp Clin Trials, 2018. **69**: p. 48-54.
- 17. Blank, A.E., et al., *Factors associated with retention and viral suppression among a cohort of HIV+ women of color.* AIDS Patient Care STDS, 2015. **29 Suppl 1**: p. S27-35.

- 18. Robbins, G.K., et al., *Predicting virologic failure in an HIV clinic*. Clin Infect Dis, 2010. **50**(5): p. 779-86.
- 19. Elopre, L., et al., *The Role of HIV Status Disclosure in Retention in Care and Viral-Load Suppression*. Conference on Retroviruses and Opportunistic Infections (CROI), Boston, Massachusetts, March 4–7, 2018, 2015.
- 20. Arrive, E., et al., *HIV status disclosure and retention in care in HIV-infected adolescents on antiretroviral therapy (ART) in West Africa.* PLoS One, 2012. 7(3): p. e33690.
- 21. Breger, T.L., et al., *Self-disclosure of HIV status, disclosure counseling, and retention in HIV care in Cameroon.* AIDS Care, 2017. **29**(7): p. 838-845.
- 22. Elopre, L., et al., *The Role of Early HIV Status Disclosure in Retention in HIV Care*. AIDS Patient Care STDS, 2015. **29**(12): p. 646-50.
- 23. Bingman, C.R., G. Marks, and N. Crepaz, *Attributions about one's HIV infection and unsafe sex in seropositive men who have sex with men.* AIDS and Behavior, 2001. **5**(3): p. 283-289.
- 24. Buma, D., et al., *The Influence of HIV-Status Disclosure on Adherence, Immunological and Virological Outcomes among HIV-Infected Patients Started on Antiretroviral Therapy in Dar-es-Salaam, Tanzania.* 2015.
- 25. Crepaz, N. and G. Marks, *Serostatus disclosure, sexual communication and safer sex in HIV-positive men.* AIDS care, 2003. **15**(3): p. 379-387.
- 26. Daskalopoulou, M., et al., *Non-Disclosure of HIV Status and Associations with Psychological Factors, ART Non-Adherence, and Viral Load Non-Suppression Among People Living with HIV in the UK.* AIDS Behav, 2017. **21**(1): p. 184-195.
- 27. Marks, G., J.L. Richardson, and N. Maldonado, *Self-disclosure of HIV infection to sexual partners*. American Journal of Public Health, 1991. **81**(10): p. 1321-1322.
- 28. Mucheto, P., et al., *Determinants of nondisclosure of HIV status among women attending the prevention of mother to child transmission programme, Makonde district, Zimbabwe, 2009.* Pan Afr Med J, 2011. **8**: p. 51.
- 29. Gardner, L.I., et al., *A low-effort, clinic-wide intervention improves attendance for HIV primary care.* Clin Infect Dis, 2012. **55**(8): p. 1124-34.
- 30. Centers for Disease Control and Prevention, *National HIV Prevention Progress Report*. 2013.

## **TABLES**

Variables	HIV disclosure status (n =370) n (%)		Patterns of HIV disclosure (n = 369)			
			n (%)			
	Any HIV	Non-	Non-	Selective	Broad	
	disclosure	disclosure	disclosure	disclosure	disclosure	
Intervention	144 (78.3)	40 (21.7)	40 (21.9)	28 (15.3)	115 (62.8)	
Control	146 (78.5)	40 (21.5)	40 (21.5)	28 (15.1)	118 (63.4)	

 Table 1. The iENGAGE study arm and HIV disclosure status/patterns of HIV disclosure patient demographics

\*Percentages were rounded

<sup>#</sup>iENGAGE = integrating ENGagement and Adherence Goals upon Entry

Table 2. Logistic regression model to examine the independent association study arm, HIV disclosure status and patterns of disclosure with 48-week viral load (VL) suppression

Variables	48 week VL suppression			
	OR (95%CI)	p-value		
Intervention vs. control	0.93 (0.49, 1.78)	0.83		
Any HIV disclosure vs. nondisclosure	1.15 (0.54, 2.48)	0.72		
Selective disclosure vs. nondisclosure	0.89 (0.31, 2.56)	0.83		
Broad disclosure vs. nondisclosure	1.22 (0.55, 2.68)	0.63		

Table 3. Stratified analysis by HIV disclosure status to generate stratum specificodds ratios (ORs) and 95% confidence intervals (CIs) for iENGAGE study arm and48- week viral load (VL) suppression

Study arm	Any HIV disclosure		Non-disclos	ure
	OR (95%CI)	p-value	OR (95%CI)	p-value
Intervention	0.92 (0.44, 1.91)	0.81	1.00 (0.26, 3.84)	1.00
Control	Ref		Ref	

Table 4. Stratified analysis by patterns of HIV disclosure to generate stratum specific odds ratios (ORs) and 95% confidence intervals (CIs) for iENGAGE study arm and 48- week viral load (VL) suppression

Study arm	Non-disclos	ure	Selective disc	Selective disclosure Broad disclosure		sure
	OR (95%CI)	p-value	OR (95%CI)	p-value	OR (95%CI)	p-value
Intervention	1.00 (0.26, 3.84)	1.00	0.71 (0.14, 3.64)	0.68	0.97 (0.42, 2.20)	0.94
Control	Ref		Ref		Ref	

### **SUMMARY**

Regardless of advances in ART treatment, new HIV cases continue to occur. Early HIV disclosure has been identified as an important barrier to HIV treatment and prevention. The goal of this dissertation was to determine predictors of HIV disclosure status and patterns of disclosure and impact of these variables on RIC and VL suppression among new to HIV care patients.

In cross-sectional analysis, using iENGAGE data on patients newly initiating HIV care enrolled from Dec 2013- Jun 2016 across 4 US HIV clinics, we reported that Black race, emotional support, and unmet needs predicted any HIV and broad disclosure, whereas males, emotional support, active coping and acceptance were associated with selective disclosure. Our results suggest interventions to promote early HIV disclosure among new to HIV care patients should focus on coping strategies and unmet needs as intervention components.

Using a retrospective cohort study, we evaluated the impact of HIV disclosure on RIC and VL suppression. We found that HIV disclosure did not significantly improve 48week VL suppression, visit adherence and 4-month visit constancy in the adjusted analysis. Additionally, in the adjusted analysis, any HIV disclosure, selective and broad disclosure groups were significantly less likely to achieve VL suppression over time compared to non-disclosure. Our results suggest that adding a component of HIV disclosure counseling for HIV prevention along with other counseling services may be

102

sufficient at the initial appointment. Future studies among new to HIV patients looking at the extent of disclosure to social network and longer follow-up study periods may provide further insight in understanding the association of between HIV disclosure and HIV related outcomes. Designing a new instrument for disclosure to capture intimacy of participants with their social network in future studies may be beneficial. Additionally, qualitative studies to determine barriers to 48 week VL suppression among those who disclosed HIV status and understanding societal and cultural frameworks around HIV disclosure among new to HIV patients may provide further clarification.

Finally, among patients newly initiating HIV care enrolled in the iENGAGE study, we found nearly no independent effect of the iENGAGE intervention on 48-week VL suppression. Our results showed that there was no effect modification by HIV disclosure or patterns of HIV disclosure on the association of iENGAGE intervention with 48-week VL suppression. With modest sample size, results of the study should be interpreted cautiously. Also, the iENGAGE behavioral intervention trial was not specifically designed to evaluate effect modifier role of HIV disclosure. Future studies with larger HIV new to care cohorts specifically designed to evaluate the direct and indirect role of HIV disclosure would contribute to the literature of HIV disclosure among new to care patients.

Our findings have clinical and public health relevance. From clinical standpoint, the risk factors identified may be used to identify at risk of non-disclosure patients to initiate timely discussion on HIV disclosure. The results provide information to HIV health care providers that disclosure may impact other critical components of HIV care continuum, adjustment to new diagnosis and HIV prevention efforts but did not have

103

significant positive implications on RIC and 48-week VL suppression. From public health standpoint, our results suggest that unmet needs and coping behaviors should be the components interventions targeted new to HIV care population to promote HIV disclosure to reduce HIV transmission by embracing safe sex behaviors. Public health efforts and resources should be allocated to develop evidence-based interventions like iENGAGE are important to help patients embrace the new HIV diagnosis, navigate through HIV care and ART adherence barriers and develop self-care skills.

In conclusion, our findings report risk factors of HIV disclosure and patterns of HIV disclosure among new to HIV care patients and its impact on HIV related outcomes. We recommend future quantitative and qualitative studies among new to HIV care patient cohorts to further explore the direct and indirect role of HIV disclosure with HIV related outcomes.

## REFERENCES

- 1. UNAIDS, Fact Sheet 2016: Global Statistics 2015. Geneva, Switzerland: The Joint United Nations Programme on HIV/AIDS 2016.
- 2. World Health Organization, *Media Center: Factsheet 2017: HIV/AIDS.* 2017.
- 3. The Henry J. Kaiser Family Foundation, *Factsheet 2017: Filling the need for trusted information on national health issues: The Global HIV/AIDS Epidemic.* 2017.
- 4. Centers for Disease Control and Prevention, *HIV in the United States: At a Glance* HIV/AIDS.
- 5. Daskalopoulou, M., et al., *Non-Disclosure of HIV Status and Associations with Psychological Factors, ART Non-Adherence, and Viral Load Non-Suppression Among People Living with HIV in the UK.* AIDS Behav, 2017. **21**(1): p. 184-195.
- 6. Deeks, S.G., S.R. Lewin, and D.V. Havlir, *The end of AIDS: HIV infection as a chronic disease*. Lancet, 2013. **382**(9903): p. 1525-33.
- 7. Centers for Disease Control and Prevention, *HIV/AIDS : The National HIV/AIDS Strategy.*
- 8. Mugavero, M.J., et al., *The state of engagement in HIV care in the United States: from cascade to continuum to control.* Clin Infect Dis, 2013. **57**(8): p. 1164-71.
- 9. Centers for Disease Control and Prevention, *Vital Signs: HIV Diagnosis, Care, and Treatment Among Persons Living with HIV United States, 2011.* 2014: Morbidity adn Mortality Weekly Report.
- 10. HIV Care Continuum, Policies and Issues What is HIV Care Continuum.
- Bradley, H., et al., *Vital Signs: HIV diagnosis, care, and treatment among persons living with HIV--United States, 2011.* MMWR Morb Mortal Wkly Rep, 2014.
   63(47): p. 1113-7.
- 12. Giordano, T.P., et al., *Retention in care: a challenge to survival with HIV infection.* Clin Infect Dis, 2007. **44**(11): p. 1493-9.
- 13. Centers for Disease Control and Prevention, *National HIV Prevention Progress Report*. 2013.
- 14. Kalichman, S.C., et al., *Stress, social support, and HIV-status disclosure to family and friends among HIV-positive men and women.* J Behav Med, 2003. **26**(4): p. 315-32.
- Emlet, C.A., A comparison of HIV stigma and disclosure patterns between older and younger adults living with HIV/AIDS. AIDS Patient Care STDS, 2006. 20(5): p. 350-8.
- 16. Medley, A., et al., *Rates, barriers and outcomes of HIV serostatus disclosure among women in developing countries: implications for prevention of mother-tochild transmission programmes.* Bull World Health Organ, 2004. **82**(4): p. 299-307.

- Go, V.F., et al., Variations in the Role of Social Support on Disclosure Among Newly Diagnosed HIV-Infected People Who Inject Drugs in Vietnam. AIDS Behav, 2016. 20(1): p. 155-64.
- Hightow-Weidman, L.B., et al., Patterns of HIV disclosure and condom use among HIV-infected young racial/ethnic minority men who have sex with men. AIDS Behav, 2013. 17(1): p. 360-8.
- 19. Adejumo, A.O., *Perceived HIV stigmatization, HIV/AIDS cognition and personality as correlates of HIV self-disclosure among people living with HIV in Ibadan, Nigeria.* Gender and Behaviour, 2011. **9**(2): p. 3854-3869.
- 20. Chaudoir, S.R., J.D. Fisher, and J.M. Simoni, *Understanding HIV disclosure: a review and application of the Disclosure Processes Model.* Soc Sci Med, 2011. **72**(10): p. 1618-29.
- 21. World Health Organization, *HIV/AIDS Programme: Guideline on HIV disclosure counselling for children up to 12 years of age.* 2011.
- 22. World Health Organization, *HIV Status Disclosure to Sexual Partners: Rates, Barriers and Outcomes for Women.* 2004.
- 23. Buma, D., et al., *The Influence of HIV-Status Disclosure on Adherence, Immunological and Virological Outcomes among HIV-Infected Patients Started on Antiretroviral Therapy in Dar-es-Salaam, Tanzania.* 2015.
- 24. Simoni, J.M. and D.W. Pantalone, *Secrets and safety in the age of AIDS: Does HIV disclosure lead to safer sex?* Topics in HIV Medicine, 2004. **12**: p. 109-118.
- 25. Maman, S. and A. Medley, *Gender dimensions of HIV status disclosure to sexual partners : rates, barriers and outcomes.* Geneva : World Health Organization, 2004.
- 26. Bouillon, K., et al., Factors correlated with disclosure of HIV infection in the French Antilles and French Guiana: results from the ANRS-EN13-VESPA-DFA Study. AIDS, 2007. 21 Suppl 1: p. S89-94.
- 27. Obermeyer, C.M., P. Baijal, and E. Pegurri, *Facilitating HIV disclosure across diverse settings: a review.* American journal of public health, 2011. **101**(6): p. 1011-1023.
- 28. Ogoina, D., et al., *Types and predictors of partner reactions to HIV status disclosure among HIV-infected adult Nigerians in a tertiary hospital in the Niger Delta*. Afr Health Sci, 2015. **15**(1): p. 10-8.
- 29. Curriculum, N.H., *Linkage to HIV Care.* 2018.
- 30. Stirratt, M.J., et al., *The role of HIV serostatus disclosure in antiretroviral medication adherence*. AIDS Behav, 2006. **10**(5): p. 483-93.
- 31. UNAIDS, Public health and HIV viral load suppression. 2017.
- 32. Ding, Y., L. Li, and G. Ji, *HIV disclosure in rural China: predictors and relationship to access to care.* AIDS care, 2011. **23**(9): p. 1059-1066.
- 33. Gari, T., D. Habte, and E. Markos, *HIV positive status disclosure among women attending art clinic at Hawassa University Referral Hospital, South Ethiopia.* East Afr J Public Health, 2010. 7(1): p. 87-91.
- Govindasamy, D., N. Ford, and K. Kranzer, *Risk factors, barriers and facilitators for linkage to antiretroviral therapy care: a systematic review*. Aids, 2012.
   26(16): p. 2059-2067.

- 35. Winchester, M.S., et al., *Early HIV disclosure and nondisclosure among men and women on antiretroviral treatment in Uganda*. AIDS Care, 2013. **25**(10): p. 1253-8.
- 36. Sullivan, K.M., *Male self-disclosure of HIV-positive serostatus to sex partners: a review of the literature.* J Assoc Nurses AIDS Care, 2005. **16**(6): p. 33-47.
- 37. Pool, R., S. Nyanzi, and J.A. Whitworth, *Attitudes to voluntary counselling and testing for HIV among pregnant women in rural south-west Uganda*. AIDS Care, 2001. **13**(5): p. 605-15.
- 38. Derlega, V.J., et al., *Perceived HIV-related stigma and HIV disclosure to relationship partners after finding out about the seropositive diagnosis.* Journal of health psychology, 2002. 7(4): p. 415-432.
- 39. Elopre, L., et al., *Predictors of HIV Disclosure in Infected Persons Presenting to Establish Care.* AIDS Behav, 2016. **20**(1): p. 147-54.
- 40. Siegel, K., H. Lune, and I.H. Meyer, *Stigma management among gay/bisexual men with HIV/AIDS*. Qualitative Sociology, 1998. **21**(1): p. 3-24.
- 41. Mattson, C.L., Freedman, M., Beer, L., Sullivan, P., Skarbinski, J., *Prevalence* and *Predictors of HIV Disclosure Among Adults Receiving Medical Care in the United States.* 2009, Centers for Disease Control and Prevention (CDC).
- 42. O'Connell, A.A., S.J. Reed, and J.A. Serovich, *The efficacy of serostatus disclosure for HIV transmission risk reduction*. AIDS and Behavior, 2015. **19**(2): p. 283-290.
- 43. Pinkerton, S.D. and C.L. Galletly, *Reducing HIV transmission risk by increasing serostatus disclosure: a mathematical modeling analysis.* AIDS Behav, 2007. 11(5): p. 698-705.
- 44. Marks, G., et al., *Are HIV care providers talking with patients about safer sex and disclosure?: A multi-clinic assessment.* AIDS, 2002. **16**(14): p. 1953-7.
- 45. De Rosa, C.J. and G. Marks, *Preventive counseling of HIV-positive men and selfdisclosure of serostatus to sex partners: New opportunities for prevention.* Health Psychology, 1998. **17**(3): p. 224.
- 46. Abler, L., et al., *Depression and HIV Serostatus Disclosure to Sexual Partners Among Newly HIV-Diagnosed Men Who Have Sex with Men.* AIDS Patient Care STDS, 2015. **29**(10): p. 550-8.
- 47. Crosby, R.A., L. Mena, and T. Arnold, *Disclosure of newly diagnosed HIV infection and condom use at first sex after diagnosis: a study of young Black men who have sex with men.* Sex Health, 2017. **14**(4): p. 325-330.
- 48. Hart, T.A., et al., *Partner awareness of the serostatus of HIV-seropositive men who have sex with men: impact on unprotected sexual behavior.* AIDS Behav, 2005. **9**(2): p. 155-66.
- 49. Hightow-Weidman, L.B., et al., *Baseline clinical characteristics, antiretroviral therapy use, and viral load suppression among HIV-positive young men of color who have sex with men.* AIDS Patient Care STDS, 2011. **25 Suppl 1**: p. S9-14.
- 50. Conserve, D.F., et al., *Determinants of HIV serostatus disclosure to sexual* partner among HIV-positive alcohol users in Haiti. AIDS Behav, 2014. **18**(6): p. 1037-45.

- 51. Armistead, L., et al., *African-American women and self-disclosure of HIV infection: Rates, predictors, and relationship to depressive symptomatology.* AIDS and Behavior, 1999. **3**(3): p. 195-204.
- 52. Clum, G.A., et al., Strategies and outcomes of HIV status disclosure in HIV-positive young women with abuse histories. AIDS Patient Care STDS, 2013.
   27(3): p. 191-200.
- 53. Comer, L.K., et al., *Illness disclosure and mental health among women with HIV/AIDS*. Journal of Community & Applied Social Psychology, 2000. **10**(6): p. 449-464.
- 54. Eustace, R.W. and P.R. Ilagan, *HIV disclosure among HIV positive individuals: a concept analysis.* Journal of advanced nursing, 2010. **66**(9): p. 2094-2103.
- 55. Hult, J.R., et al., *Disclosure and nondisclosure among people newly diagnosed with HIV: an analysis from a stress and coping perspective.* AIDS Patient Care STDS, 2012. **26**(3): p. 181-90.
- Olley, B.O., S. Seedat, and D.J. Stein, *Self-disclosure of HIV serostatus in recently diagnosed patients with HIV in South Africa*. Afr J Reprod Health, 2004. 8(2): p. 71-6.
- 57. Skunodom, N., et al., *Factors associated with non-disclosure of HIV infection status of new mothers in Bangkok*. Southeast Asian J Trop Med Public Health, 2006. **37**(4): p. 690-703.
- 58. Hays, R.B., et al., *Disclosing HIV seropositivity to significant others*. Aids, 1993. 7(3): p. 425-432.
- 59. Simoni, J.M., et al., *Women's self-disclosure of HIV infection: rates, reasons, and reactions.* Journal of consulting and clinical psychology, 1995. **63**(3): p. 474.
- 60. Trinh, T.T., et al., *Partner Disclosure and Early CD4 Response among HIV-Infected Adults Initiating Antiretroviral Treatment in Nairobi Kenya*. PLoS One, 2016. **11**(10): p. e0163594.
- 61. Mugavero, M.J., et al., *Early retention in HIV care and viral load suppression: implications for a test and treat approach to HIV prevention.* Journal of acquired immune deficiency syndromes (1999), 2012. **59**(1): p. 86.
- 62. Tripathi, A., et al., *The impact of retention in early HIV medical care on viro-immunological parameters and survival: a statewide study.* AIDS Res Hum Retroviruses, 2011. **27**(7): p. 751-8.
- 63. Horberg, M.A., et al., *Missed office visits and risk of mortality among HIVinfected subjects in a large healthcare system in the United States.* AIDS Patient Care STDS, 2013. **27**(8): p. 442-9.
- 64. Ulett, K.B., et al., *The therapeutic implications of timely linkage and early retention in HIV care*. AIDS Patient Care STDS, 2009. **23**(1): p. 41-9.
- 65. Kapiamba, G., T. Masango, and D. Mphuthi, *Antiretroviral adherence and virological outcomes in HIV-positive patients in Ugu district, KwaZulu-Natal province.* Afr J AIDS Res, 2016. **15**(3): p. 195-201.
- 66. Meintjes, G., G. Maartens, and O.b.o.t. Southern African HIV Clinicians Society, *Guidelines for antiretroviral therapy in adults*. 2012, 2012. **13**(3): p. 20.
- 67. Sendagala, S., Factors affecting the adherence to antiretroviral therapy by HIV positive patients treated in a community based HIV/AIDS care programme in rural Uganda. A case of Tororo district. MPH dissertation, Unisa, Pretoria, 2010.

- 68. Zea, M.C., et al., *Disclosure of HIV status and psychological well-being among Latino gay and bisexual men.* AIDS Behav, 2005. **9**(1): p. 15-26.
- 69. Breger, T.L., et al., *Self-disclosure of HIV status, disclosure counseling, and retention in HIV care in Cameroon.* AIDS Care, 2017. **29**(7): p. 838-845.
- 70. Elopre, L., et al., *The Role of Early HIV Status Disclosure in Retention in HIV Care*. AIDS Patient Care STDS, 2015. **29**(12): p. 646-50.
- 71. Elopre, L., et al., *The Role of HIV Status Disclosure in Retention in Care and Viral-Load Suppression*. Conference on Retroviruses and Opportunistic Infections (CROI), Boston, Massachusetts, March 4–7, 2018, 2015.
- 72. Halperin, J., I. Pathmanathan, and L.E. Richey, *Disclosure of HIV status to social networks is strongly associated with increased retention among an urban cohort in New Orleans*. AIDS Patient Care STDS, 2013. **27**(7): p. 375-7.

# APPENDIX A

# INSTITUTIONAL REVIEW BOARD

	MS Word, click in the white boxes and type your text; double-click c Federal regulations require IRB approval before implementing propos Investigators for additional information. Change means any change, in content or form, to the protocol, conse Brochure, questionnaires, surveys, advertisements, etc.). See Item 4 f	ed changes. See Section 14 of th	
1.	Today's Date 5/4/2017	33713	
2.	Principal Investigator (PI)		
	Name (with degree) Michael J. Mugavero, MD,	Blazer ID	mmugavero
	Department Medicine	Division (if applicable)	Infectious Diseases
	Office Address BBRB 206H	Office Phone	6-5822
	E-mail mmugavero@uabmc.edu	Fax Number	
Co	ntact person who should receive copies of IRB correspon	dence (Optional)	4-3600
	Name Riddhi Modi	E-Mail	rmodi@uabmc.edu
	Phone 4-1284	Fax Number	4-5600
	Office Address (if different from PI)	RBRR 206 42	-1.5000
-			
3.	UAB IRB Protocol Identification		1997/
	3.a. Protocol Number X120501005		
	3.b. Protocol Title Integrating ENGagement an	d Adherence Goals upon	Entry: iENGAGE to
	Control HIV		
	3.c. Current Status of Protocol-Check ONE box at left; p	rovide numbers and dates	where applicable
H	Study has not yet begun No participants, c	lata, or specimens have b	een entered.
	In progress, open to accrual Number of parti	cipants, data, or specimer	ns entered: 153
	Enrollment temporarily suspended by sponsor		
$\boxtimes$	Closed to accrual, but procedures continue as defined in visits, etc.)	n the protocol (therapy, in	tervention, follow-up
		participants receiving inte	montioner 76
		icipants in long-term follo	
Π	Closed to accrual, and only data analysis continues	icipants in long-term lono	w-up only: 77
	D. J. J. J.	otal number of participan	is entered.
			io cintereu.
4.	Types of Change Check all types of change that apply, and describe the ch avoid delay in IRB review, please ensure that you provide type of change checked.	the required materials an	is applicable. To help d/or information for each
	D 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
	Protocol revision (change in the IRB-approved protocol)		
	In Item 5.c., if applicable, provide sponsor's protocol version	number amendment numb	er, update number, etc.
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved protection)	number, amendment number	
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume	number, amendment number	
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved proto In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel	number, amendment numb ocol) ent from sponsor, as well as	sponsor's protocol version
A	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved proto In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division	number, amendment numb pool) ent from sponsor, as well as institutional effiliation, and re	sponsor's protocol version
A	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved proto In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest.	number, amendment numb pool) ent from sponsor, as well as institutional effiliation, and re	sponsor's protocol version
A	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest. Guidebook if the principal investigator is being changed	number, amendment numb col) ant from sponsor, as well as institutional affiliation, and ro See "Change in Principal Ir	sponsor's protocol version ole(s) in research, and avestigator" in the IRB
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest. <u>Guidebook</u> if the principal investigator is being changed. Add graduate student(s) or postdoctoral fellow(s) w	number, amendment numb col) ent from sponsor, as well as institutional affiliation, and ro See "Change in Principal Ir orking toward thesis, diss	sponsor's protocol version
A	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, i address whether new personnel have any conflict of interest. <u>Guidebook</u> if the principal investigator is being changed. Add graduate student(s) or postdoctoral fellow(s) w In Item 5.c., (a) identify these individuals by name; (b) p publication; and (c) indicate whether or not the student's	number, amendment number, col) ent from sponsor, as well as institutional affiliation, and re See "Change in Principal Ir orking toward thesis, diss rovide the working title of the spanyars differs in any way.	sponsor's protocol version ole(s) in research, and westigator" in the IRB ertation, or publication a thesis, dissertation, or
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest. Guidebook if the principal investigator is being changed. Add graduate student(s) or postdoctoral fellow(s) w In Item 5.c., (a) Identify these individuals by name; (b) p publication; and (c) indicate whether or not the student's research described in the IRB-approved HSP (a.g. a.g.	number, amendment number, col) ent from sponsor, as well as institutional affiliation, and re See "Change in Principal Ir orking toward thesis, diss rovide the working title of the spanyars differs in any way.	sponsor's protocol version ole(s) in research, and westigator" in the IRB ertation, or publication a thesis, dissertation, or
	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest. Guidebook if the principal investigator is being changed. Add graduate student(s) or postdoctoral fellow(s) w In Item 5.c., (a) identify these individuals by name; (b) p publication; and (c) indicate whether or not the student's research described in the IRB-approved HSP (e.g., a se Change in source of funding: change or add funding	number, amendment numb col) ant from sponsor, as well as institutional affiliation, and ro See "Change in Principal Ir orking toward thesis, diss rovide the working title of the analysis differs in any way condary analysis of data ob	sponsor's protocol version ole(s) in research, and ivestigator" in the <u>IRB</u> ertation, or publication of thesis, dissertation, or from the purpose of the tained under this HSP).
A	In Item 5.c., if applicable, provide sponsor's protocol version Protocol amendment (addition to the IRB-approved prote In Item 5.c., if applicable, provide funding application docume number, amendment number, update number, etc. Add or remove personnel In Item 5.c., include name, title/degree, department/division, address whether new personnel have any conflict of interest. Guidebook if the principal investigator is being changed. Add graduate student(s) or postdoctoral fellow(s) w In Item 5.c., (a) Identify these individuals by name; (b) p publication; and (c) indicate whether or not the student's research described in the IRB-approved HSP (a.g. a.g.	number, amendment numb col) ent from sponsor, as well as institutional affiliation, and ro See "Change in Principal Ir orking toward thesis, diss rovide the working title of the analysis differs in any way condary analysis of data ob the annirable OSP propos	sponsor's protocol version ole(s) in research, and ivestigator" in the <u>IRB</u> ertation, or publication a thesis, dissertation, or from the purpose of the tained under this HSP).

FOR 224 06/26/2012

Page 1 of 3

-	Add or remove performance sites
	In item 5.c., identify the site and location, and describe the research-related procedures performed there. If addin site(s), attach notification of permission or IRB approval to perform research there. Also include copy of subcontr if applicable. If this protocol includes acting as the Coordinating Center for a study, attach IRB approval from any non-UAB site added
	Add or change a genetic component or storage of samples and/or data component—this could include da submissions for Genome-Wide Association Studies (GWAS) To assist you in revising or preparing your submission, please see the IRB Guidebook for Investigators or call the IRB office at 934-3789.
	Suspend, re-open, or permanently close protocol to accrual of individuals, data, or samples (IRB approva
	In Item 5.c., indicate the action, provide applicable dates and reasons for action; attach supporting documentation
	Revise or amend consent, assent form(s)
Ø	Complete item 5.d. Addendum (new) consent form Complete item 5.d.
	Add or revise recruitment materials Complete item 5.d.
	Other (e.g., investigator brochure) Indicate the type of change in the space below, and enough the time of the space below.
	Include a copy of all affected documents, with revisions highlighted as applicable.
5 D	escription and Rationale
11	I item 5.a. and 5 h check Voc or No and and the state of the
	the character and explain the reason for the character is the
Y	
	inter the Boosthant and the standard wind direct mose participants.
<u> </u>	services, etc.?
	Services, etc.? If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u> . Identify the FAP-designated unit in Item 5 c.
	Services, etc.? If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u> . Identify the FAP-designated unit in Item 5 c.
i.c. P	services, etc.? If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u> . Identify the FAP-designated unit in Item 5.c. For more details on the UAB FAP, see www.uab.edu/cto. rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the
i.c. P	services, etc.? If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u> . Identify the FAP-designated unit in Item 5.c. For more details on the UAB FAP, see www.uab.edu/cto. rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the Please add the following personnel on this study protocol
5.c. P pr	services, etc.? If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u> . Identify the FAP-designated unit in Item 5.c. For more details on the UAB FAP, see www.uab.edu/cto. rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the please add the following personnel on this study protocol a. Gerald McGwin Jr, PhD, School of Public Health (COPH) Departments (5.1)
.c. P	<ul> <li>services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u>. Identify the FAP-designated unit in Item 5.c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto</li> <li>rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol.</li> <li>Please add the following personnel on this study protocol</li> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publiching manuscripts for 1600 and 1600 a</li></ul>
5.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5.c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> <li>rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol.</li> <li>Please add the following personnel on this study protocol</li> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported.</li> </ul>
5.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5.c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> </ul> rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol. Please add the following personnel on this study protocol <ul> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported. We would like to request approval for conducting interviewer will conduct interviews to gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review.</li></ul>
.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5 c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> </ul> rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol. Please add the following personnel on this study protocol <ul> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported. We would like to request approval for conducting interventionist interviews to gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interventionist interviews over phone or using WebEx/skype/go to meeting or similar software. Interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review.</li></ul>
.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5 c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> </ul> rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol. Please add the following personnel on this study protocol <ul> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported. We would like to request approval for conducting interviewer will conduct interviews to gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Intervientionist information sheet and interview guide attached for review. Using iENGAGE data for dissertation: <ul> <li>a. Student Name: Riddhi Modi. She is a UAB site coordinates of the data data analysis later</li> </ul></li></ul>
5.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5.c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> </ul> rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol. Please add the following personnel on this study protocol <ul> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported. We would like to request approval for conducting interviewer will conduct interviews stog ain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review. Using iENGAGE data for dissertation: <ul> <li>a. Student Name: Riddhi Modi. She is a UAB site coordinator on this study protocol and is a PhD student in School of Public Health (OPH) at UAB is the Part on this study protocol and is a PhD</li> </ul></li></ul>
5.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to fap@uab.edu. Identify the FAP-designated unit in Item 5 c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> <li>rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol.</li> <li>Please add the following personnel on this study protocol</li> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported.</li> <li>We would like to request approval for conducting interviewer will conduct interviews sto gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interventionist interviews over phone or using WebEx/skype/go to meeting or similar software. Interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review.</li> <li>Using iENGAGE data for dissertation: <ul> <li>a. Student Name: Riddhi Modi. She is a UAB site coordinator on this study protocol and is a PhD student in School of Public Health (SOPH) at UAB in the Department of Epidemiology.</li> <li>b. As a part of dissertation thesis. she will be using the ising of the ising the</li></ul></li></ul>
5.c. P pr 1.	<ul> <li>Section of the services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u>. Identify the FAP-designated unit in Item 5 c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto</li> <li>rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol.</li> <li>Please add the following personnel on this study protocol</li> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interest reported.</li> <li>We would like to request approval for conducting interventionist interviews to gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interventionist interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review.</li> <li>Using iENGAGE data for dissertation: <ul> <li>a. Student Name: Riddhi Modi. She is a UAB site coordinator on this study protocol and is a PhD student in School of Public Health (SOPH) at UAB in the Department of Epidemiology.</li> <li>b. As a part of dissertation thesis, she will be using the iENGAGE data to conduct her research on HIV status disclosure and HIV related outcomes (Viral Load cuarded to conduct her research on HIV status disclosure and HIV related outcomes (Viral Load cuarded to conduct her research on HIV status disclosure and HIV related outcomes (Viral Load cuarded to conduct her research on HIV status disclosure and HIV related outcomes (Viral Load cuarded to conduct her research on HIV status disclosure and HIV</li></ul></li></ul>
.c. P pr 1.	<ul> <li>Services, etc.?</li> <li>If yes, FAP-designated units complete a FAP submission and send to <u>fap@uab.edu</u>. Identify the FAP-designated unit in Item 5 c.</li> <li>For more details on the UAB FAP, see www.uab.edu/cto.</li> </ul> rotocol Changes: In the space below, briefly describe—and explain the reason for—all change(s) to the otocol. Please add the following personnel on this study protocol <ul> <li>a. Gerald McGwin Jr, PhD, School of Public Health (SOPH), Department of Epidemiology. Dr. McGwin will advise on data analysis and publishing manuscripts for iENGAGE. He will also be a mentor to the PhD student who is planning to conduct her dissertation thesis using iENGAGE data (details added below). No conflicts of interventionist interviews to gain their perspective on implementing iENGAGE intervention. A trained interviewer will conduct interventionist interviews over phone or using WebEx/skype/go to meeting or similar software. Interviews will be recorded using a digital recorder or using the recording options available on WebEx or Skype. These interviews will be uploaded to UABHS secure FTP server (powered by GLobalscape) to allow secure storage and data analysis later on. Interventionist information sheet and interview guide attached for review.</li> </ul>

c. Her mentor in SOPH is Dr. Gerald McGwin and her advisor for iENGAGE project is Dr. Michael Mugavero who is the PI for this study.
<ul> <li>5.d. Consent and Recruitment Changes: In the space below,</li> <li>(a) describe all changes to IRB-approved forms or recruitment materials and the reasons for them;</li> <li>(b) describe the reasons for the addition of any materials (e.g., addendum consent, recruitment); and</li> <li>(c) indicate either how and when you will reconsent enrolled participants or why reconsenting is not necessary (not applicable for recruitment materials).</li> <li>Also, indicate the number of forms changed or added. For new forms, provide 1 copy. For revised documents, provide 3 copies:</li> <li>* a copy of the currently approved document (changes to the section).</li> </ul>
• a revised copy for the IRB approval stamp.
Signature of Principal Investigator
FOR IRB USE ONLY
Received & Noted Approved Expedited*     To Convened IRB
Signature (Chair, Vice-Chair, Designee) Date
DOLA 5/2/17
Change to Expedited Category Y / N / NA
*No change to IRB's previous determination of approval criteria at 45 CFR 46.111 or 21 CFR 56.111

FOR 224 06/26/2012

Page 3 of 3